



**Guam  
Annual Action Plan**  
Program Year 2021 (Fiscal Year 2022)

For use of HUD Community Planning and Development (CDBG) Funds,  
Emergency Solutions Grant (ESG) Funds,  
and Home Investment Partnerships (HOME) Funds

Prepared by U.S. Department of Housing and Urban Development  
Submitted to: Federal Annual Plan Review Process of 24 CFR Part 91.200

Prepared by: Guam Housing and Urban Renewal Authority  
on behalf of the Government of Guam

## Executive Summary

### AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

#### 1. Introduction

The Government of Guam is an annual recipient of three entitlement grants. These entitlement grants are the Community Development Block Grant (CDBG), Home Investment Partnerships Grant (HOME), and the Emergency Solutions Grant (ESG). GovGuam as the Grantee recipient of these funds proposes projects to be funded by the three grants that will address needs in our community for housing, community improvement, and the needs of the homeless. The needs, goals, and objectives set out for Guam are articulated in a 5-year Strategic Plan document known as the 2020-2024 Consolidate Plan (ConPlan). Py2021 is the second year of the current ConPlan.

This Py2021 Annual Action Plan (AAP) report is the proposal to the U.S. Dept of Housing and Urban Development Office of Community Planning and Development to use these funds. Guam Housing and Urban Renewal Authority (GHURA) is tasked to compile this report to propose projects for funding that will meet Guam's needs and meet the requirements and regulations governing the grant funds. GHURA is also responsible for the routine administration of the grant funds and, particular to this AAP, the process of engaging the public and stakeholders in the development of the report. This process is referred to as Citizen Participation.

In the PY2021 AAP, Guam proposes 14 community projects and programs to address needs for improved public facilities and the provision of public services to meet the needs of the homeless, low- very-low and extremely-low income residents, persons with disabilities, those needing workforce preparation for gainful employment, and housing development for people who own land but are without the means to build on that land. Another three programs are intended to fund the administration effort of GHURA for these grant funds. Detailed descriptions are contained in the section entitled AP-38 of this report.

Geographically, projects are located in northern, southern, and central Guam. In northern Guam, a proposed community facility to increase opportunities for persons to participate in healthy activities sponsored by community groups. In central Guam, the construction of an improved fire station and the completion of a community performing arts center are proposed. Southern Guam will see improved response time by law enforcement for residents of the southeast sector of the island.

Typical AAPs have contained new projects to address needs. However, in this year, Guam has proposed to utilize the full 2021 funding to support ongoing projects and programs approved from prior years. The intention is to provide additional funds to move forward slow-moving construction projects and other programs to continue providing needed services in the community to benefit homeless persons, the disabled, and those who are eligible at or below the low/mod income threshold as established for Guam. Funds have also been proposed to support housing development of three units to fund their construction on privately held lands.

We encourage readers to familiarize themselves with the objective projects and programs for this AAP year.

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Guam's objectives for Py2021 are largely centered around completing several public facilities and continuing public service programs that improve communities by improving access to or making new access available to low income residents of these facilities or services. In this way, Guam is able to sustain (strengthen) healthy living environments. Guam also proposes to utilize funds to support making decent housing affordable to income eligible persons through construction of three homes.

## **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Recent past performance has shown of slow-moving projects especially development projects. Guam has struggled over recent years and the backlog of unfinished projects is the indicator of a need to revamp its usual processes. Pandemic conditions of the past year merely exacerbated the situation but did not cause it. As a result, we are doubling efforts to address this backlog and see projects move forward more steadily. In addition, Guam is also working to address funding for Covid-related necessities to prepare, prevent, and respond to Covid-19. These additional projects also pose an opportunity for

Guam to address needs identified in the Plan and increased by the unusual conditions of the pandemic (job loss, retraining, rental assistance, etc.)

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The Notice of the Proposed Use of CPD funds was made available on July 28, 2021. The public was given 14 days to review the proposed Annual Action Plan for Program Year 2021. A public hearing was scheduled for public comments was on August 2, 2021.

Guam engaged in an abbreviated consultation effort having utilized the 5-day Citizen Participation process authorized under pandemic conditions. The Py2021 AAP projects were advertised twice in local newspapers, made available on the GHURA website, by notifying the Guam Homeless Coalition and sharing the meeting date with other community and government partners. One-to-one consultations were held with a number of individuals from both the non-profit and government sectors.

In person meeting were not yet authorized when the public hearing was scheduled. Thus, a virtual public hearing was scheduled for August 02, 2021 but was not attended but for GHURA. We believe this can be attributed to the slate of projects composed of prior-approved projects for which many had received comment when first proposed.

For Py2021, Guam elected to provide additional funding to a number of development projects awarded in prior years (Py2017, 2018, 2019, and 2020) and to continue public service and homeless assistance programs from Py2020. Covid-19 contributed to the delivery of assistance by a number of providers standing up programs which are still much needed in the community supporting workforce readiness, homelessness assistance, and other activities. Development projects were also delayed but also have been impacted by substantial cost increases.

All proposed activity in this AAP have been selected in support of Guam's 5-Year ConPlan, goals and needs assessment determined. This AAP accounts for year 2 of the current ConPlan.

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No formal comments were submitted or received for the Py2021 AAP.

#### **6. Summary of comments or views not accepted and the reasons for not accepting them**

No formal comments were submitted or received for the Py2021 AAP.

## **7. Summary**

No new projects or programs are proposed in this Py2021 AAP. Rather, Guam is working to move forward projects which have been hampered by challenges due to a lack of resources including labor shortages and construction materials. Cost increases have also necessitated that we look to focus on existing projects and not engage new ones at this time. Programs which were slowed due to pandemic restrictions are now resuming their efforts and will make better progress in Py2021. These programs to serve some of Guam's most vulnerable populations are as much if not more needed today than a year ago. Public participation has been less formal than in past cycles which may be attributable to the fact that the proposed projects are all prior year discussed and approved.

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

| Agency Role           |      | Name | Department/Agency                                 |
|-----------------------|------|------|---|
| Lead Agency           |      | GUAM |   |
| CDBG Administrator    | GUAM |      | Guam Housing and Urban Renewal Authority, GovGuam |
| HOPWA Administrator   |      |      |   |
| HOME Administrator    | GUAM |      | Guam Housing and Urban Renewal Authority, GovGuam |
| ESG Administrator     | GUAM |      | Guam Housing and Urban Renewal Authority, GovGuam |
| HOPWA-C Administrator | GUAM |      | Research, Planning, and Evaluation Division       |
|                       |      |      | N/A   |

**Table 1 – Responsible Agencies**

**Narrative (optional)**

Guam assumes the lead role in administering the CDBG, HOME and ESG grant programs. Guam’s Community Planning and Development Division is responsible for preparing this Annual Action Plan and the corresponding Consolidate Plan (2020-2024).

**Consolidated Plan Public Contact Information**

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

Guam maintained communication with service providers and others within the community, continuing to collaborate on public service or construction projects. Due to COVID-19 restrictions during this planning year, Guam remained available to all partners in needs of technical assistance or other requested guidance pertaining to this year's Annual Action Plan. Guam responds affirmatively to all requests for meeting to discuss enhanced collaborations between agencies.

Guam Fire Department, Guam Police Department, Guam State Clearinghouse, Guam Veterans Affairs, Manelu, Sinajana and Inalahan Mayor's Office, Sanctuary, Inc., Salvation Army, Victim Advocates Reaching Out, Adyuda/Island Girl Power (IGP), Catholic Social Services and WestCare

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

Guam continues to formally participates in various coordinated efforts between housing providers and service agencies. This includes membership in planning councils such as the Guam Behavioral Health Planning Council and the Guam Developmental Disabilities Council, both of which help advise the Government of Guam on improving the quality of services for individuals with disabilities.

Furthermore, Guam is a member of the Guam Homeless Coalition, consisting of governmental housing, health, mental health, and other service providers. Members meet regularly and use the Coordinated Entry System to enhance services for individuals and families experiencing homelessness.

### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

### **Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

GHURA's role as the Collaborative Applicant for the CoC allows for ongoing consultation with members of the Guam Homeless Coalition. For example, the Guam Homeless Coalition is organized into several committees that meet regularly to carry out various functions.

- GHURA staff provide technical assistance to these committees that include the Strategic Planning Committee, which defines the direction of the organization and makes decisions on the allocation of CoC funds;
- Review and Ranking Committee, which comprises members who do not receive CoC funds and has the role of ranking proposed projects and arrives at the final ranking proposal; and the
- IT and Data Committee, which generates reports and provides trainings to users of the HMIS.
- GHA group is responsible for planning the Point-in-Time Count, which engages numerous community partners (in 2020, Virtual and in-Shelter counts were completed).

GHURA's close involvement in the GHC gives the agency greater insight and knowledge of the ESG and HMIS programs and allows for more nuanced evaluation of programs and development of strategies to address homelessness.

Guam's close consultation with CoC members extends to The Salvation Army, which continues to be the lead agency that operates and administers the HMIS. HMIS is used to generate reports on persons who are homeless or at-risk of homelessness and to assess annual performance in meeting goals and objectives. Data from HMIS is also used during the CoC competition for funding. HMIS is used by programs both funded by the CoC, as well as other organizations not in receipt of CoC funds. In addition, the coordinated entry system VISDAT, a tool used for assessment for partner organizations in doing outreach this is also used for emergency housing voucher. This system is what allow GHURA to partner with organizations allowing an additional 85 vouchers to those at risk or experiencing homelessness.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

|   |  |   |
|---|--|---|
| 1 | <b>Agency/Group/Organization</b>   | Guam Housing and Urban Renewal Authority  |
|   | <b>Agency/Group/Organization Type</b>  | Housing<br>PHA  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Public Housing Needs   |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | The Guam Housing and Urban Renewal Authority's (GHURA) Public Housing Division provides input on the needs for public housing. Their annual plan outlines activities to sustain affordable housing for low, very-low, and extremely low-income families. GHURA's Community Planning and Development (CPD) Division provides input on needs for public services and public facilities. CPD's HOME Program contributes its plans for homebuyers and homeowners.   |
| 2 | <b>Agency/Group/Organization</b>   | Guam Behavioral Health and Wellness Center  |
|   | <b>Agency/Group/Organization Type</b>  | Services-Persons with Disabilities<br>Other government - Local  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Persons with Disability  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | The Guam Behavioral Health and Wellness Center (GBHWC) met with GHURA staff to discuss housing needs and facilities for individuals with disabilities. Moreover, GHURA participates in the Guam Behavioral Health Planning Council, a group convened to improve access to mental health services. As part of this ongoing consultative body, GHURA coordinates with other member organizations to address relevant housing issues such as the lack of programs for individuals exiting correctional facilities with disabilities. |
| 3 | <b>Agency/Group/Organization</b>   | Mayors Council of Guam  |
|   | <b>Agency/Group/Organization Type</b>  | Regional organization   |



|   |  |  |
|---|--|--|
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> |  |
| 4 | <b>Agency/Group/Organization</b>   | Guam Police Department   |
|   | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Public Safety  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | GHURA coordinates with Guam Police Department relevant to public safety and identifies area needs that can be addressed through proposed plans to address facility need in able to provided much needed support with underserved communities.  |
| 5 | <b>Agency/Group/Organization</b>   | Guam Fire Department   |
|   | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Public Safety  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | GHURA coordinated with Guam Fire Department relevant to public facilities improvements and new construction schedule timelines. Project identifies area needs that will be addressed through proposed plans to support the underserved communities, allocating additional funding for project. |

**Identify any Agency Types not consulted and provide rationale for not consulting**

Guam responded affirmatively to all requests for consultations.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

| Name of Plan                  | Lead Organization       | How do the goals of your Strategic Plan overlap with the goals of each plan?  |
|-------------------------------|-------------------------|---|
| Continuum of Care             | Guam Homeless Coalition | Guams Consolidated Plan identifies goals that support the CoC's plan of addressing homelessness on Guam.  |
| PHA Annual Report             | GHURA                   | Guams Public Housing Authoritys goals relating to sustaining and expanding affordable housing, empowering PHA tenants, and increasing employment opportunities align with goals cited in the CONPLAN. |
| 5 Year Guam Consolidated Plan | GHURA                   | GHURA's Consolidated Plan PY2020-2024 identifies goals that support the 2021 Annual Action plan of addressing on Guam.  |

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

Guam engaged in an abbreviated consultation effort having utilized the 5-day Citizen Participation process authorized under pandemic conditions. The Py2021 AAP projects were advertised twice in local newspapers, made available on the GHURA website, by notifying the Guam Homeless Coalition and sharing the meeting date with other community and government partners. One-to-one consultations were held with a number of individuals from both the non-profit and government sectors.

In person meeting were not yet authorized when the public hearing was scheduled. Thus, a virtual public hearing was scheduled for August 02, 2021 but was not attended but for GHURA. We believe this can be attributed to the slate of projects composed of prior-approved projects for which many had received comment when first proposed.

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**Citizen Participation Outreach**

| Sort Order | Mode of Outreach  | Target of Outreach           | Summary of response/attendance   | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable)                              |
|------------|-------------------|------------------------------|--|------------------------------|--|--|
| 1          | Newspaper Ad      | Non-targeted/broad community | Guam published 2 advertisements announcing the PY 2021 projects: July 28, and Aug. 1, 2021. The advertisement announced the deadline for comments to be August 2, 2021 at 5pm. |                              |  |  |
| 2          | Internet Outreach | Non-targeted/broad community | Guam posted advertisement of the list of proposed projects on the GHURA website.   |                              |  | <a href="http://www.ghura.org">www.ghura.org</a> |
| 3          | Public Meeting    | Non-targeted/broad community | GHURA scheduled a public hearing for 10AM on August 2, 2021 via virtual link.  |                              |  |  |

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

This Action Plan year, Guam will receive \$4,476,268.00 in CPD funds. Of that, \$3,153,352 are CDBG funds, \$1,063,975 are HOME funds, and \$258,941 are ESG funds. Guam will use the available CPD funds as follows:

- Community Development Block Grant - \$3,153,352 for Public Services, Public Facilities and Improvement and Planning and Administration Activities.
- Home Investment Partnerships Program - \$1,063,975 for Home Construction for income qualified individuals, Planning and

Administration

- Emergency Solutions Grant - \$258,941 for Rapid Re-Housing Services/Homeless Prevention Services and Administration.

**Anticipated Resources**

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 |                       |                             |              | Expected Amount Available Remainder of ConPlan<br>\$ | Narrative Description |
|---------|-----------------|---------------|----------------------------------|-----------------------|-----------------------------|--------------|--|-----------------------|
|         |                 |               | Annual Allocation:<br>\$         | Program Income:<br>\$ | Prior Year Resources:<br>\$ | Total:<br>\$ |  |                       |
|         |                 |               |                                  |                       |                             |              |  |                       |

|      |                  |  |           |   |   |           |   |   |
|------|------------------|--|-----------|---|---|-----------|---|---|
| CDBG | public - federal | Acquisition<br>Admin and Planning<br>Economic Development<br>Housing<br>Public Improvements<br>Public Services | 3,153,352 | 0 | 0 | 3,153,352 | 0 | The full amount of Py2021 CDBG funds are proposed to provide additional funding for ongoing projects and admin/planning activities. While CDBG funds are committed to the construction of three of the proposed PFI projects, project sponsors are utilizing other funds in support of these developments. The Offices of the Mayors of Inalahan, Sinajana, and Talofofo identified properties within their inventories for the construction of facilities within their villages for a basketball court, arts hall, and police sub-station. The Sinajana Mayors Office has The Guam Fire Department will equip the new fire station with an Engine Unit, Medic Unit, other apparatus and moveable equipment to join the Advanced Life Support Unit presently dedicated to the current station. The Sinajana Mayor's Office applied for and received funds for the audio visual equipment for the arts hall through the Series 4 Qualifying Certificate Community Contribution Grant (QCCC) administered by the Guam Economic Development Authority. |
| HOME | public - federal | Acquisition<br>Homebuyer   | 1,063,975 | 0 | 0 | 1,063,975 | 0 | The full amount of HOME funds are proposed for three additional units, new  |



| Program | Source of Funds | Uses of Funds  | Expected Amount Available Year 1 |                    |                          |           | Expected Amount Available Remainder of ConPlan \$ | Narrative Description   |
|---------|-----------------|--|----------------------------------|--------------------|--------------------------|-----------|---|---|
|         |                 |  | Annual Allocation: \$            | Program Income: \$ | Prior Year Resources: \$ | Total: \$ |   |   |
|         |                 | assistance<br>Homeowner rehab<br>Multifamily rental new construction<br>Multifamily rental rehab<br>New construction for ownership<br>TBRA |                                  |                    |                          |           |   | construction for ownership. HOME monies for construction will be leveraged in a manner. Homebuyers will be utilizing their own properties for the project which eliminates site acquisition costs. HOME monies will also be utilized for administration of the program. |

| Program | Source of Funds  | Uses of Funds  | Expected Amount Available Year 1 |                    |                          |           | Expected Amount Available Remainder of ConPlan \$ | Narrative Description  |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|--|
|         |                  |  | Annual Allocation: \$            | Program Income: \$ | Prior Year Resources: \$ | Total: \$ |   |  |
| ESG     | public - federal | Conversion and rehab for transitional housing<br>Financial Assistance<br>Overnight shelter<br>Rapid re-housing (rental assistance)<br>Rental Assistance Services<br>Transitional housing | 258,941                          | 0                  | 0                        | 258,941   | 0   | The full Py2021 allocation is proposed for rapid re-housing (rental assistance), rental assistance (homeless prevention) and related services, and program administration. |

| Program | Source of Funds  | Uses of Funds  | Expected Amount Available Year 1 |                    |                          |           | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|-----------------------|
|         |                  |  | Annual Allocation: \$            | Program Income: \$ | Prior Year Resources: \$ | Total: \$ |   |                       |
| HTF     | public - federal | Acquisition<br>Admin and Planning<br>Homebuyer assistance<br>Multifamily rental new construction<br>Multifamily rental rehab<br>New construction for ownership | 0                                | 0                  | 0                        | 0         | 0   |                       |

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

HOME funds proposed for new construction for homeownership will be leveraged in a manner. Homebuyers will be utilizing their own properties for the project which eliminates site acquisition costs.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The 5 projects proposed for CDBG PFI funding will be or are being constructed on publicly-owned lands. Site control rests with GHURA for the construction of the Phase II Design and Development for PF and TH (2017) and the Sinajana Fire Station (2019), with the Mayors Council of Guam by Guam law (GCA Title 5 Chapter 40) for the \*Inalahan Basketball Court (Inalahan) and \*Central Community Arts Hall (Sinajana) and Eastern Sub-Station (Talofofo).

\*Indicates projects currently under contract.

**Discussion**

The Guam Police Department will enter into a Memorandum of Agreement with the Office of the Talofofo Mayor for the site of the Eastern Sub-Station.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

| Sort Order | Goal Name   | Start Year | End Year | Category  | Geographic Area | Needs Addressed  | Funding   | Goal Outcome Indicator |
|------------|---|------------|----------|---|-----------------|--|---|------------------------|
| 1          | Preserve and Maintain Existing Affordable Housing | 2020       | 2024     | Affordable Housing  |                 | Affordable Housing   | CDBG: \$30,000                                      | Other: 30 Other        |
| 2          | Planning and Administration                       | 2020       | 2024     | Grants Planning and Administration  |                 | Non-housing<br>Community Development<br>Homelessness<br>Non-homeless<br>Special Need<br>Affordable Housing | CDBG: \$630,670<br>HOME: \$159,596<br>ESG: \$19,420 |                        |
| 3          | Improve and Expand Economic Opportunities         | 2020       | 2024     | Homeless<br>Non-Homeless<br>Special Needs<br>Non-Housing<br>Community Development |                 | Non-housing<br>Community Development   | CDBG: \$89,240                                      |                        |

| Sort Order | Goal Name                                       | Start Year | End Year | Category   | Geographic Area | Needs Addressed                         | Funding                                 | Goal Outcome Indicator                            |
|------------|---|------------|----------|--|-----------------|---|---|---|
| 4          | Expand & Provide Svcs to Homeless/Non-Homeless  | 2020       | 2024     | Homeless<br>Non-Homeless<br>Special Needs<br>Non-Housing<br>Community<br>Development |                 | Homelessness<br>Affordable Housing      | CDBG:<br>\$232,687<br>ESG:<br>\$154,886 |   |
| 5          | Expand Affordable Housing                       | 2020       | 2024     | Affordable Housing<br>Homeless   |                 | Affordable Housing                      | HOME:<br>\$904,379                      | Homeowner Housing Added: 3 Household Housing Unit |
| 6          | Improve & Expand Comm Facilities & Improvements | 2020       | 2024     | Homeless<br>Non-Homeless<br>Special Needs<br>Non-Housing<br>Community<br>Development |                 | Non-housing<br>Community<br>Development | CDBG:<br>\$2,170,755                    |   |

**Table 6 – Goals Summary**

**Goal Descriptions**

|   |                         |   |
|---|-------------------------|---|
| 1 | <b>Goal Name</b>        | Preserve and Maintain Existing Affordable Housing |
|   | <b>Goal Description</b> |   |
| 2 | <b>Goal Name</b>        | Planning and Administration                       |
|   | <b>Goal Description</b> |   |

|          |                         |   |
|----------|-------------------------|---|
| <b>3</b> | <b>Goal Name</b>        | Improve and Expand Economic Opportunities       |
|          | <b>Goal Description</b> |   |
| <b>4</b> | <b>Goal Name</b>        | Expand & Provide Svcs to Homeless/Non-Homeless  |
|          | <b>Goal Description</b> |   |
| <b>5</b> | <b>Goal Name</b>        | Expand Affordable Housing                       |
|          | <b>Goal Description</b> |   |
| <b>6</b> | <b>Goal Name</b>        | Improve & Expand Comm Facilities & Improvements |
|          | <b>Goal Description</b> |   |

# Projects

## AP-35 Projects – 91.220(d)

### Introduction

Guam has struggled with a host of slow-moving projects and programs. While some have been impacted by local pandemic conditions, this is not the case for all. In Guam's attempt to move these projects forward, it has been determined to provide additional funding to projects awarded in prior years (Py2017, 2018, 2019, and 2020) and to continue public service and homeless assistance programs from Py2020. Covid-19 contributed to the slowed delivery of assistance by a number of providers standing up programs which are still much needed in the community supporting workforce readiness, homelessness assistance, and other activities. Development projects ongoing or in various stages of design and planning are experiencing substantial cost increases related to permitting slowdowns, materials cost increases, and the scarcity or absence of skilled labor.

### Projects

| #  | Project Name   |
|----|--|
| 1  | Phase II Design and Development for PF and TH (2017)     |
| 2  | Inalahan Basketball Court, new construction (2017)       |
| 3  | Central Community Arts Hall (2018)                       |
| 4  | Sinajana Fire Station (2019)                             |
| 5  | Eastern Sub-Station (2020)                               |
| 6  | Grant Planning   |
| 7  | Grant Administration                                     |
| 8  | GHURA Housing Counseling Program (2019)                  |
| 9  | The Opportunity Initiative 2020 (2020)                   |
| 10 | Homeless Management Information System (2020)            |
| 11 | Family Services Center (2020)                            |
| 12 | Lighthouse Recovery Center (2020)                        |
| 13 | Homebuyer New Construction Build On Your Own Land (2020) |
| 14 | Grant Administration & Planning                          |
| 15 | Emergency Solutions Grant Activities                     |

**Table 7 - Project Information**

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Funding priorities remain unchanged from those identified in the 2020-2024 Consolidated Plan/Strategic Plan. Guam still struggles to resolve underserved needs. NGO and Govt organizations providing much-



needed core services to low/mod populations, persons with disabilities, and special needs (homeless, elderly, etc.) struggle under the weight of the needs of their populations. Also challenging is the lack of non-federal resources to address community needs. The mix of appropriate housing is also lacking to address the various needs of persons at different readiness levels, from emergency housing for the street homeless to permanent unsupported housing at the opposite end of the housing needs continuum. Guam will continue to pursue acquisition of rental housing to add to the inventory of affordable housing. Through efforts of the Guam Homeless Coalition, the Guam Interagency Council on Homelessness, the ad-hoc committee formed to address the concerns of permitting delays and others, we hope to move forward from advocacy to action.

**AP-38 Project Summary**  
**Project Summary Information**

|                             |  |  |
|-----------------------------|--|--|
| <b>1</b>                    | <b>Project Name</b>  | Phase II Design and Development for PF and TH (2017)   |
|                             | <b>Target Area</b>   |  |
|                             | <b>Goals Supported</b>   | Improve and Expand Economic Opportunities  |
|                             | <b>Needs Addressed</b>   | Non-housing Community Development  |
|                             | <b>Funding</b>   | CDBG: \$705,755  |
|                             | <b>Description</b>   | GHURA will construct a public facility on land owned by GHURA in the Kaiser subdivision area of Dededo. The facility is planned as 3000sf (approximately) structure housing meeting rooms of different sizes, lavatories and common areas. The facility will be located adjacent to the Island Girl Power (IGP) operated Kurason YSengSong complex of 4 rehabilitated buildings used by IGP. GHURA intends to enter into a lease agreement with IGP to expand their thrift shop operations and increase meeting space for the various community organizations offering services to low/mod individuals and families. CDBG National Objective: 24 CFR Part 570.208(a)(1)(i) CDBG Eligibility: 24 CFR Part 570.201 Low/Mod Income Area Benefit Objective/Outcome: This project will make a suitable living environment available and accessible to the Dededo community. |
|                             | <b>Target Date</b>   |  |
|                             | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Absent results from Guam's 2020 Census, 2010 Census low/mod income calculations are used to document the service area. The new public facility will serve the service area of Dededo village consisting of a low/mod population of 64.80%. (28,777 individuals in a total population of 44,409).<br><br>Guam's Bureau of Statistics and Plans projects the 2021 population of Dededo to be 47,606 individuals. (Source: 2019 Guam Statistical Yearbook)  |
| <b>Location Description</b> | The public facility will be constructed on land owned by GHURA. (Catalina Court, Dededo)       |  |

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|          | <b>Planned Activities</b>  | GHURA will construct the first of two buildings on the remaining available land in Catalina Court, Dededo. This first building is a public facility that will provide a venue for the expansion of services provided by IGP, their thrift store operations and other community organizations. Government estimates for the project are completed, as are the preliminary drawings which are the bases for proceeding with the procurement, an RFP for architectural services and 30% design services.   |
| <b>2</b> | <b>Project Name</b>  | Inalahan Basketball Court, new construction (2017)  |
|          | <b>Target Area</b>   |   |
|          | <b>Goals Supported</b>   | Improve & Expand Comm Facilities & Improvements   |
|          | <b>Needs Addressed</b>   | Non-housing Community Development   |
|          | <b>Funding</b>   | CDBG: \$15,000  |
|          | <b>Description</b>   | The *Inalahan Basketball Court was first proposed and approved for funding in Py2017. The project consists of the construction of a new basketball court, restrooms, and ADA parking slots. The new basketball court will be operated and maintained by the Office of the Mayor of Inalahan. Py21 funds proposed will complete funding for the project. Groundbreaking for the project was held in July 2021. *In 2021, the village of Inarajan was renamed to Inalahan. CDBG National Objective: 24 CFR Part 570.208(a)(1)(i) CDBG Eligibility: 24 CFR Part 570.201 Low/Mod Income Area Benefit Objective/Outcome: The project will make a suitable living environment available and accessible. |
|          | <b>Target Date</b>   |   |
|          | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Absent results from Guam's 2020 Census, 2010 Census low/mod income calculations are used to document the service area. The village of Inalahan's low/mod population represent 51.43% of total residents (1169 persons out of a population of 2273 in 2010). Guam's Bureau of Statistics and Plans projects the 2021 population growth for the village of Inalahan to be 2,408 individuals. (Source: 2019 Guam Statistical Yearbook)   |
|          | <b>Location Description</b>  | The basketball court will be built on municipal property whose legal description is Tract 3620 Lot 15, Inalahan, Guam (131 San Isidro Street, Inalahan, Guam.)  |

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|  | <b>Planned Activities</b>  | GHURA will oversee the construction of an open air basketball court, the installation of light fixtures, restroom facilities, and ADA parking stalls. Although the project had been intended to include a canopy, cost considerations have eliminated the canopy.   |
| <b>3</b>   | <b>Project Name</b>  | Central Community Arts Hall (2018)  |
|  | <b>Target Area</b>   |   |
|  | <b>Goals Supported</b>   | Improve & Expand Comm Facilities & Improvements   |
|  | <b>Needs Addressed</b>   | Non-housing Community Development   |
|  | <b>Funding</b>   | CDBG: \$700,000   |
|  | <b>Description</b>   | The Central Community Arts Hall was first proposed and approved for funding in Py2018. Construction of the facility is underway. Additional funds are necessary to complete the funding of the project and to address cost increases and change orders to the project. Community-oriented activities such as community theater performances, educational programs, meetings, trainings, assemblies and similar community-oriented activities are planned for the new facility. The arts hall will be operated and maintained by the Office of the Mayor of Sinajana. CDBG National Objective: 24 CFR Part 570.208(a)(1)(i) CDBG Eligibility: 24 CFR Part 570.201(c) Low/Mod Income Area Benefit Objective/Outcome: This project will make a suitable living environment accessible and available to the combined central village communities. |
|  | <b>Target Date</b>   | 2/28/2022   |
| <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Absent results from Guam's 2020 Census, 2010 Census low/mod income calculations are used to document the service area. The new arts hall will serve a combined LMI population residing in the central villages of Guam including Sinajana, Mongmong-Toto-Maite, Barrigada, Yona, Mangilao, Hagatna, Agana Heights, Ordot-Chalan Pago, and Asan-Maina. The combined service area of the central villages consists of a low/mod population of 52.25%. (28,004 individuals in a total population of 52,166).<br><br>Guam's Bureau of Statistics and Plans projects the 2021 population growth for the this combined service area to be 56,968 individuals. (Source: 2019 Guam Statistical Yearbook) |   |
| <b>Location Description</b>  | The Sinajana Community Center located at 177-A Chalan Guma Yu'us (Tract 232, Block 10, Lot 27), Sinajana is the site of the new Central Community Arts Hall now under construction.  |   |

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|  | <b>Planned Activities</b>   | CDBG funds will be used to complete the construction of a 150-seat lecture/theater-style building that will include a 20 x 30-ft. stage, dressing facilities, a projection platform, and infrastructure improvements. The Sinajana Community Center is being retrofitted to accommodate the new performance hall.   |
| 4  | <b>Project Name</b>   | Sinajana Fire Station (2019)  |
|  | <b>Target Area</b>  |   |
|  | <b>Goals Supported</b>  | Improve & Expand Comm Facilities & Improvements   |
|  | <b>Needs Addressed</b>  | Non-housing Community Development   |
|  | <b>Funding</b>  | CDBG: \$250,000   |
|  | <b>Description</b>  | The Sinajana Fire Station project is the construction of a new larger facility to replace the current station no longer capable of meeting the needs of the service area covering four villages. The project was first approved for funding in the Py2019 cycle. The RFP process for the 30% design drawings is underway. The solicitation of proposals is complete and selection is pending. Funds had been reprogrammed away from the project in Py2020 due to delays in the project's progress and to reprioritize resources to acquire affordable rental housing.CDBG National Objective: 24 CFR Part 570.208(a)(1)(i)CDBG Eligibility: 24 CFR Part 570.201(c)Low/Mod Income Area BenefitObjective/Outcome: This project will make a suitable living environment accessible and available to the residents of four villages (Ordot/Chalan Pago, Mangilao, Agana Heights, and Sinajana). |
|  | <b>Target Date</b>  |   |
| <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Absent results from Guam's 2020 Census, 2010 Census low/mod income calculations are used to document the service area. The new fire station will serve a combined LMI population residing in the central villages of Sinajana, Mangilao, Agana Heights, and Ordot-Chalan Pago. The combined service area consists of a low/mod population of 52.44%. (15,041 individuals in a total population of 27,497).<br><br>Guam's Bureau of Statistics and Plans projects the 2021 population growth for the this combined service area to be 30,097 individuals. (Source: 2019 Guam Statistical Yearbook) |   |

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|   | <b>Location Description</b> | The new fire station will be constructed on property owned by GHURA in the village of Sinajana. Legal Description of the site is Lot P19.1 B1 and Lot P19.1A-11, Sinajana, Guam. The lots are located along Route 4, a main transit artery and outside of the village roadways where the current facility is located.   |
|   | <b>Planned Activities</b>   | GHURA will procure design services and construction services for a new fire station.<br><br>Upon completion, the new station will be permanently equipped with appropriate apparatus for the revised/expanded service area spanning four villages: a fire engine, medic unit (ambulance), and an advanced life support unit.  |
| 5 | <b>Project Name</b>         | Eastern Sub-Station (2020)  |
|   | <b>Target Area</b>          |   |
|   | <b>Goals Supported</b>      | Improve & Expand Comm Facilities & Improvements   |
|   | <b>Needs Addressed</b>      | Non-housing Community Development   |
|   | <b>Funding</b>              | CDBG: \$500,000   |
|   | <b>Description</b>          | The Eastern Sub-Station was proposed for funding in Py2020. A site has been selected and the environmental review process has begun. Design planning with the user agency is underway. Additional funds are proposed to continue through to the RFP for 30% design process. The new Sub-Station will make it easier for police response to CDBG National Objective: 24 CFR Part 570.208(a)(1)(i) CDBG Eligibility: 24 CFR Part 570.201(c) Low/Mod Income Area Benefit Objective/Outcome: This project will make a suitable living environment accessible and available to southern communities served by the Southern Police Precinct by extending their presence to the southeast residents of the covered beat areas. |
|   | <b>Target Date</b>          |   |

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|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | <p>Absent results from Guam's 2020 Census, 2010 Census low/mod income calculations are used to document the service area. The Eastern Sub-Station will serve a combined LMI population residing in the southern villages of Guam including Asan, Piti, Agat, Umatac, Merizo, Inalahan, Talofoto, Santa Rita, and portions of Yona. The combined service area indicates a low/mod population of 52.60%. (10,926 individuals in a total population of 21,431).</p> <p>Guam's Bureau of Statistics and Plans projects the 2021 population growth for the this combined service area to be an estimated 23,884 individuals. (Source: 2019 Guam Statistical Yearbook)</p> |
|   | <b>Location Description</b>  | The Talofoto Mayor has identified property within his jurisdiction and administration to site the Eastern Sub-Station. Legal Description Basic lot M11 Tract 2831 Block 15 Lot 1. The property is located adjacent to the Talofoto Gym and in the immediate vicinity of the Naputi Baseball Field. The basic lot sits across the street from the Talofoto Elementary School. Approximately 2 acres will be allocated for this project under the terms of an Memorandum of Agreement to be executed between the Police Dept and the Office of the Mayor.  |
|   | <b>Planned Activities</b>  | Guam will construct a police sub-station in the village of Talofoto on land approximately 2 acres in total. Surveying of the site will be required. The construction will consist of the sub-station itself between 5000sf-7000sf, secured parking, public parking, and perimeter fencing.   |
| 6 | <b>Project Name</b>  | Grant Planning   |
|   | <b>Target Area</b>   |  |
|   | <b>Goals Supported</b>   | Planning and Administration  |
|   | <b>Needs Addressed</b>   | Non-housing Community Development<br>Homelessness<br>Non-homeless Special Need<br>Affordable Housing   |
|   | <b>Funding</b>   | CDBG: \$315,335  |
|   | <b>Description</b>   | GHURA will use Grant Planning funds to support the development and planning of projects and activities under the CDBG program. Funds will cover activities to address Guam's ConPlan goals, needs, and objectives. This may include such activities as preparation of ConPlan reports, engaging studies, analyses, surveys and other means of analysis.  |
|   | <b>Target Date</b>   | 9/30/2024  |



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|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> |  |
|   | <b>Location Description</b>  | Guam will administer CDBG program planning activities from GHURA's main office at 117 Bien Venida Avenue, Sinajana, GU 96910. The office is located across the street from St. Jude Catholic Church in Sinajana and adjacent to the Sinajana Community Center.   |
|   | <b>Planned Activities</b>  | Guam will administer the planning activities of the CDBG program. This includes conducting all necessary studies to determine the feasibility of projects, monitoring the implementation of projects through reports, site visits and payment requests. The activities meet the regulatory requirements stipulated in 24 CFR 570.205, Eligible planning, urban environmental design and policy-planning-management-capacity building activities. |
| 7 | <b>Project Name</b>  | Grant Administration   |
|   | <b>Target Area</b>   |  |
|   | <b>Goals Supported</b>   | Planning and Administration  |
|   | <b>Needs Addressed</b>   | Non-housing Community Development<br>Homelessness<br>Non-homeless Special Need<br>Affordable Housing   |
|   | <b>Funding</b>   | CDBG: \$315,335  |
|   | <b>Description</b>   | Funds will pay for general administration costs. GHURA is responsible for the daily administration functions of the CDBG program for the Government of Guam. GHURA monitors projects through reports, site visits, and payment requests. CDBG activities are managed programmatically and fiscally by GHURA.   |
|   | <b>Target Date</b>   | 9/30/2024  |
|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> |  |

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|                           | <b>Location Description</b>   | Guam will conduct CDBG program administration activities from GHURA's main office at 117 Bien Venida Avenue, Sinajana, GU 96910. The office is located across the street from St. Jude Catholic Church in Sinajana and adjacent to the Sinajana Community Center.  |
|                           | <b>Planned Activities</b>   | Guam will administer the activities of CDBG-funded projects. This includes fiscal and program management of grant funds and activities in accordance with 24 CFR Part 570.206 for Program Administration Costs and 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. |
| <b>8</b>                  | <b>Project Name</b>   | GHURA Housing Counseling Program (2019)  |
|                           | <b>Target Area</b>  |  |
|                           | <b>Goals Supported</b>  | Preserve and Maintain Existing Affordable Housing<br>Expand & Provide Svcs to Homeless/Non-Homeless  |
|                           | <b>Needs Addressed</b>  | Affordable Housing   |
|                           | <b>Funding</b>  | CDBG: \$30,000   |
|                           | <b>Description</b>  | Housing counseling services will be conducted by GHURA who recently obtained the designation of HUD-approved Housing Counseling Agency. CDBG National Objective; 24 CFR Part 570.208(a)(2)(i)(A)Eligibility Criteria: 24 CFR Part 570.201(e)   |
|                           | <b>Target Date</b>  | 9/30/2022  |
|                           | <b>Estimate the number and type of families that will benefit from the proposed activities</b>  | GHURA will assist 20-30 low/mod income qualified borrowers and renters with incomes no greater than 80% of Area Median Income in need of Housing Counseling services.  |
|                           | <b>Location Description</b>   | Guam will conduct Housing Counseling services from its Main Office at 117 Bien Venida Avenue, Sinajana, Guam. The main office is located across the street from St. Jude Church and adjacent to the Sinajana Community Center.   |
| <b>Planned Activities</b> | Housing Counseling Services will include one-to-one counseling, homebuyer education, group education, case management and related support activities for eligible families primarily under the HOME, Section 8, and Public Housing Programs. Limited assistance will be extended to non-GHURA program homebuyer, homeowner, and rental programs. Only CDBG income-eligible participants will be assisted with CDBG funds. |  |

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| <b>9</b>                    | <b>Project Name</b>   | The Opportunity Initiative 2020 (2020)  |
|                             | <b>Target Area</b>  |   |
|                             | <b>Goals Supported</b>  | Improve and Expand Economic Opportunities   |
|                             | <b>Needs Addressed</b>  | Non-housing Community Development   |
|                             | <b>Funding</b>  | CDBG: \$89,240  |
|                             | <b>Description</b>  | The Opportunity Initiative is proposed to provide low and moderate income participants with intensive workforce development inclusive of soft-skills, career-readiness and employability curricula, enrichment activities engaging by the youth and their families, youth mentorship and adult workplace mentorship, and family literacy programs. Manelu (formerly Big Brother Big Sisters) will conduct their programs in low/mod housing areas and neighborhoods across the island. National Objective: 24 CFR Part 570.208(a)(2)(b) Limited Clientele Activities (51% or more low/mod participation) CDBG Eligibility: 24 CFR Part 570.201(e) |
|                             | <b>Target Date</b>  |   |
|                             | <b>Estimate the number and type of families that will benefit from the proposed activities</b>  | An estimated 100 individuals will participate in the program and services of The Opportunity Initiative. Most if not all of the participants will be residents of one or another low/mod neighborhood targeted by Manelu. With the easing of Covid-19 restrictions across the island, Manelu will resume in-person program activities. Participant eligibility is based on income qualification of 80% Area Median Income.  |
| <b>Location Description</b> | Activities will be conducted on-site at the following locations as they re-open and begin again to accept service organizations. They are: Guam Facilities Foundation managed properties in Dededo, Tamuning, and Yigo; GHURA Public Housing developments located in Yona, Toto, Agat, and Mongmong, Merizo; Dept of Youth Affairs Learning Resource Centers in Dededo, Agat, and Mangilao; and the *Guam Department of Corrections; and Ironwood Homes sites in Dededo and Tamuning.<br><br>*Guam Dept of Corrections on-site activities benefits the family members of the incarcerated but not the incarcerated individuals. |   |

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|           | <b>Planned Activities</b>  | <p>CDBG funds will be used to support the cost of operations for the program including staff salaries, benefits, office supplies, program supplies and services, transportation and related program delivery costs. The activities to be conducted by Manelu for The Opportunity Initiative will be eligible costs under CDBG requirements in 24 CFR Part 570 and 2 CFR Part 200.</p> <p>Guam may authorize pre-award cost for this program if deemed reasonable to achieving the goals and objectives of the Consolidated Plan Py2020-2025 and the Py2021 Annual Action Plan. Pre-award will be determined in accordance with program regulations and guidance, reference 24 CFR Part 570.200(a)(5)(v).</p> |
| <b>10</b> | <b>Project Name</b>  | Homeless Management Information System (2020)  |
|           | <b>Target Area</b>   |  |
|           | <b>Goals Supported</b>   | Expand & Provide Svcs to Homeless/Non-Homeless   |
|           | <b>Needs Addressed</b>   | Homelessness   |
|           | <b>Funding</b>   | CDBG: \$29,287   |
|           | <b>Description</b>   | HMIS is the database system program administered by The Salvation Army (TSA) to assist homeless service providers to tracking client progress toward permanent housing. TSA is the operator of the system. Recipients of Continuum of Care funds are mandated to participate in the data service.CDBG National Objective: 2 CFR Part 570.208(a)(2)(a)CDBG Eligibility: 24 CFR Part 570.201(e)  |
|           | <b>Target Date</b>   |  |
|           | <b>Estimate the number and type of families that will benefit from the proposed activities</b> |  |
|           | <b>Location Description</b>  | TSA will operate HMIS out of their main office at 15503 Corsair Avenue, Tiyon, Guam.   |

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|           | <b>Planned Activities</b>  | <p>CDBG funds will be used to fulfill the programmatic requirement of matching funds to TSA for the award of Continuum of Care funds. Costs for operations, supplies, salaries and benefits and related eligible expenses are budgeted and will be paid in accordance with regulatory requirements of 2 CFR Part 570.201(e) and 2 CFR Part 200.</p> <p>Guam may authorize pre-award cost for this project/program when deemed reasonable to achieving the goals and objectives of the Consolidated Plan Py2020-2025 and the Py2021 Annual Action Plan. Pre-award will be determined in accordance with program regulations and guidance, reference 24 CFR Part 570.200(a)(5)(v).</p> |
| <b>11</b> | <b>Project Name</b>  | Family Services Center (2020)  |
|           | <b>Target Area</b>   |  |
|           | <b>Goals Supported</b>   | Expand & Provide Svcs to Homeless/Non-Homeless   |
|           | <b>Needs Addressed</b>   | Homelessness<br>Affordable Housing   |
|           | <b>Funding</b>   | CDBG: \$30,000   |
|           | <b>Description</b>   | The Family Service Center provides rapid re-housing assistance, homeless prevention assistance, temporary rental and/or utility relief to income eligible individuals and families through an award of Emergency Solutions Grant funds. CDBG public service funds provide for the salaries of program staff who conduct case management and outreach services. CDBG National Objective: 24 CFR Part 570.208(a)(2)(i)(B) CDBG National Objective: 24 CFR Part 570.201(e) Outcome/Objective: To make decent housing affordable to income eligible program participants.  |
|           | <b>Target Date</b>   |  |
|           | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | FSC provides assistance to persons whose incomes are at or below 30% of area median income and to homeless individuals and families.   |
|           | <b>Location Description</b>  | TSA provides the services of FSC at their main office located at 155003 Corsair Avenue, Tiyan Guam. The site of the former Tiyan Chapel in Barrigada.  |

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|           | <b>Planned Activities</b>  | CDBG funds will support the cost of FSC operations, salaries and benefits, office supplies, program supplies and other related costs.<br><br>Guam may authorize pre-award cost for this program if deemed reasonable to achieving the goals and objectives of the Consolidated Plan Py2020-2025 and the Py2021 Annual Action Plan. Pre-award will be determined in accordance with program regulations and guidance, reference 24 CFR Part 570.200(a)(5)(v). |
| <b>12</b> | <b>Project Name</b>  | Lighthouse Recovery Center (2020)  |
|           | <b>Target Area</b>   |  |
|           | <b>Goals Supported</b>   | Expand & Provide Svcs to Homeless/Non-Homeless   |
|           | <b>Needs Addressed</b>   | Homelessness<br>Non-homeless Special Need  |
|           | <b>Funding</b>   | CDBG: \$173,400  |
|           | <b>Description</b>   | The LRC is a 30bed inpatient drug and alcohol recovery facility and program for men operated by The Salvation Army Guam. The LRC program offers inpatient and also outpatient services to both men and women.  |
|           | <b>Target Date</b>   |  |
|           | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | LRC provides residential treatment to an estimated 80 men (low/mod income eligible) and 10 men (presumed low/mod-homeless).  |
|           | <b>Location Description</b>  | The Lighthouse Recovery Center is located adjacent to the main office of The Salvation Army at 15003 Corsair Avenue, Tiyan, Guam.  |
|           | <b>Planned Activities</b>  | CDBG funds will be used to provide support for operations of the LRC, an residential recovery center program for men in recovery from substance abuse. Outpatient services are also offered for men and women.   |
| <b>13</b> | <b>Project Name</b>  | Homebuyer New Construction Build On Your Own Land (2020)   |
|           | <b>Target Area</b>   |  |
|           | <b>Goals Supported</b>   | Expand Affordable Housing  |
|           | <b>Needs Addressed</b>   | Affordable Housing   |
|           | <b>Funding</b>   | HOME: \$904,379  |
|           | <b>Description</b>   | GHURA will assist  |

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|                           | <b>Target Date</b>   |   |
|                           | <b>Estimate the number and type of families that will benefit from the proposed activities</b>   | Families will be determined eligible based on a household income at or below 80% of Area Median Income. Participant families will be those with title to land but without the means to develop that land for housing.   |
|                           | <b>Location Description</b>  | Program management will be conducted at the GHURA main office, 117 Bien Venida Avenue, Sinajana. Project activities will be determined based on income-eligible participants and are available island-wide.   |
|                           | <b>Planned Activities</b>  | GHURA will construct three homes on land owned by eligible home buyers. Project costs include the cost of development, design, construction, environmental review, sight assessment, professional services (legal, survey, appraiser, etc), salaries, other direct costs and other related development costs. |
| <b>14</b>                 | <b>Project Name</b>  | Grant Administration & Planning   |
|                           | <b>Target Area</b>   |   |
|                           | <b>Goals Supported</b>   | Planning and Administration   |
|                           | <b>Needs Addressed</b>   | Affordable Housing  |
|                           | <b>Funding</b>   | HOME: \$159,596   |
|                           | <b>Description</b>   | Guam will administer the activities of the HOME program in accordance with program regulations located at 24 CFR Part 92.   |
|                           | <b>Target Date</b>   |   |
|                           | <b>Estimate the number and type of families that will benefit from the proposed activities</b>   |   |
|                           | <b>Location Description</b>  | GHURA will administer the HOME program activities from their main office at 117 Bien Venida Avenue, Sinajana, Guam. The office is located across the street from St. Jude Church and adjacent to the Sinajana Community Center.   |
| <b>Planned Activities</b> | Funds will support the general administrative and planning activities of the HOME program. GHURA manages the activities of the HOME program under the 2020-2024 Consolidated Plan. GHURA conducts site visits, research, analyses, interviews, and such other tasks related to program management. |   |

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| <b>15</b>  | <b>Project Name</b>   | Emergency Solutions Grant Activities  |
|  | <b>Target Area</b>  |   |
|  | <b>Goals Supported</b>  | Expand & Provide Svcs to Homeless/Non-Homeless<br>Planning and Administration   |
|  | <b>Needs Addressed</b>  | Homelessness<br>Affordable Housing  |
|  | <b>Funding</b>  | ESG: \$258,941  |
|  | <b>Description</b>  | 2021-15 Family Services Center/One-Stop Homeless Assistance Center (2020) - The Salvation Army operates the Family Services Center/One-Stop Homeless Assistance Center providing homeless prevention and rapid re-housing assistance to the homeless and extremely-low income individuals and families. Assistance includes help with rental assistance, security deposits, utility arrears and other aspects of obtaining or retaining housing. Participants are provide case management as a condition of receiving assistance.2021-16 Guma Manhoben (2020) - Sanctuary, Inc provides permanent housing assistance to 4 homeless individuals with disabilities. This ESG component is part of a related assistance to Sanctuary under the Continuum of Care.2021-17 Grant Administration - GHURA conducts program administration activities of the ESG program.Program Compliance References: 24 CFR Part 576 Subpart B, 2 CFR Part 200 |
|  | <b>Target Date</b>  | 9/30/2023   |
| <b>Estimate the number and type of families that will benefit from the proposed activities</b> | FSC estimates they will provide assistance to 25 individuals or families for rapid-rehousing assistance and 25 individuals or households for homeless prevention assistance. Participants either meet the definition of homeless or are households at extremely-low income of 30% of Area Median Income.<br><br>Guma' Manhoben will assist 4 homeless individuals or households to receive rapid-rehousing. |   |



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|------------------------------------|---|
| <p><b>Location Description</b></p> | <p>TSA operates the FSC One-Stop Homeless Assistance Center out of their main office at 155003 Corsair Avenue, Tiyan, Guam. Assistance is available to eligible persons island wide.</p> <p>Sanctuary Inc will administer the Guma Manhoben program out of their main office at 406 Chalan Mai Mai, Chalan Pago, Guam. Assistance is available to eligible homeless persons island wide.</p> <p>GHURA administers the ESG program from their main office at 117 Bien Venida Avenue, Sinajana, GU 96910.</p>   |
| <p><b>Planned Activities</b></p>   | <p>ESG funds for the FSC One-Stop Homeless Assistance Center will provide direct assistance on behalf of the program participants. (Compliance reference: 24 CFR Part 576 Subpart B, Program Components and Eligible Activities)</p> <p>ESG funds for Sanctuary's Guma Manhoben program will fund rapid re-housing activities, financial literacy training, employment education, life skills support and similar program activities. (Compliance reference: 24 CFR Part 576 Subpart B, Program Components and Eligible Activities)</p> <p>ESG funds for program administration will fund salaries and benefits of GHURA program staff and other administration related duties in line with 24 CFR Part 576 and 2 CFR Part 200.</p> |

## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Proposed CDBG-funded projects and activities that will take place this Program Year meet the eligibility requirement of serving area populations at 51% or higher LMI. Both Public Facilities and Improvement projects qualify as an area benefit. (Geographic Distribution Table reflects avg. percent of proposed funding for PY21 activities)

The projects proposed under HOME does not target a specific area, but will allow for the construction of affordable homes for income-eligible families. (Not represented in Geographic Distribution Table.)

The ESG program will assist LMI persons throughout the territory who are homeless or at-risk of becoming homeless. (Not represented in Geographic Distribution Table.)

### **Geographic Distribution**

| <b>Target Area</b> | <b>Percentage of Funds</b> |
|--------------------|----------------------------|
|                    |                            |

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

A project is funded if it meets the minimum threshold of being a 51% low- and moderate-income area. Projects must also demonstrate that they will have a positive impact to the neighborhood and/or show opportunities for economic development. Additionally, a project proposed for this Program Year have been prioritized for selection as they are currently on-going and have determined need of additional funding. Furthermore, all projects are evaluated based on the subrecipient’s ability to maintain the project or facility, once completed.

### **Discussion**

Through the enhanced coordination between housing and social service agencies and the community at large, Guam will continue the process of evaluating needs of the community to best determine how to allocate federal and local resources.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

Through the CDBG Public Services & ESG programs, Guam will serve 105 homeless, 17 non-homeless, in addition 65 special needs. Through rental assistance, Guam will assist 54 households. The HOME Program will add 3 newly constructed units to the inventory of affordable housing in 2021, to include 1 home rehab and 1 acquisition of existing units.

| <b>One Year Goals for the Number of Households to be Supported</b> |     |
|--|-----|
| Homeless   | 105 |
| Non-Homeless   | 34  |
| Special-Needs  | 204 |
| Total  | 343 |

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

| <b>One Year Goals for the Number of Households Supported Through</b> |    |
|--|----|
| Rental Assistance  | 54 |
| The Production of New Units  | 3  |
| Rehab of Existing Units  | 1  |
| Acquisition of Existing Units  | 1  |
| Total  | 59 |

**Table 10 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

Through the CDBG Public Services & ESG programs, Guam will serve 105 homeless, 17 non-homeless, in addition 65 special needs. Through rental assistance, Guam will assist 54 households. The HOME Program will add 3 newly constructed units to the inventory of affordable housing in 2021, to include 1 home rehab and 1 acquisition of existing units.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

GHURA receives HUD Capital Fund Program (CFP) grant funds, these funds are used to continue to ensure Guam's public housing developments are decent, safe and sanitary. GHURA creates and executes the CFP Five Year Action Plan in accordance with HUD's guidance and regulations. The Plan describes how the CFP funds are to be expended within the next 5-year period. GHURA has effectively expended and administered CFP funds to improve and preserve GHURA properties through ongoing capital improvements which include the modernization of units and site improvements. GHURA will continue to develop and implement the Plan to improve the quality of life for GHURA residents.

### **Actions planned during the next year to address the needs to public housing**

GHURA will continue modernization efforts on its PH units. This is possible through CFP funds, however GHURA will maintain efforts to request for HUDs approval for the modernization of units that are in need of major renovation work. This requires such units to become vacant or remain vacant to accomplish necessary improvements, due to the time vacant many factors are considered prior to identifying a unit for modernization.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

- Family Self-Sufficiency Program Coordinators partner with community cohorts to routinely encourage participating families to work toward paying down debt, increase credit scores, and establish emergency savings, all targeted toward encouraging homeownership;
- A series of "Smart Money" budgeting workshops which include "Pathways to Homeownership" is provided to all FSS families at the initial stages of their participation. These workshops are intended to improve personal financial knowledge, communicate costs associated with homeownership, and better prepare families for first-time homeownership;
- FSS conducts outreach to inform participants about the HCV Section-8 homeownership program, refers readied participants to Renewable Affordable Homes program staff, Guam Housing Corporation, self-help housing programs (MCDC), and etc.;
- Program Coordinators are currently working toward Housing Counseling Certification to better serve FSS families as they consider first-time homeownership. In the interim, the program is able

to utilize the expertise of GHURA's in-house Housing Counselor.

- GHURA's Housing Counseling Program will continue to provide pre and post counseling for all homeowners, public housing residents and renters determined by the program's referral process and annual service goals.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

PHA is not designated as troubled.

**Discussion**

Although GHURA is not actively pursuing the conversion of its Public Housing Stock to the HUD Rental Assistance Demonstration (RAD) Program, GHURA continues to review of this program to determine its applicability and benefit to the island's inventory of Public Hearing.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

During the 2020 COVID19 pandemic GHURA worked to open the Temporary Emergency Shelter in order to continue to provide shelter to those experiencing homelessness during the COVID pandemic. Addressing the homeless and other special needs in Guam, Pre-COVID19 factors such as Guam's sole DV and DV Bonus shelter continue to remain at full capacity. In response to the community need the Guam Homeless Coalition remain committed to expanding and addressing services to homeless individuals and those homeless because of DV. Guam's improvement of the Coordinated Entry System expanded the program to include additional end-users, specially from DV-serving organizations has proven positive impact in the coordinated efforts to service those at risk or homeless.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

During 2020, the CoC continued to fund the following programs that serve unsheltered persons: Housing First, Housing First Expansion, Y'Jahame, Inayek and Guma Mami Bonus. HMIS and the HMIS Expansion project, allowed for a secure, centralized database for service providers including a stand-alone HMIS for DV-serving organizations. The improved coordinated entry system utilizes assessment tools such as VI-SPDAT, as well as conferences among service providers. This has proven to support success strategies to address homelessness.

Through 2020 COVID19 pandemic members of the CoC routinely conduct street outreach to unsheltered persons. While some outreaches target youth, others target the general unsheltered populations. During intake of unsheltered clients, an assessment is conducted to determine if the client is eligible for mainstream benefits. Caseworkers assist in the application, submission and follow-up process. Once housed, clients receive support services that continues as needed, even after clients exit permanent housing or are discharged from an emergency shelter. Referrals and coordination of services will be made to appropriate service providers within the community. The outcome of these activities is to provide sufficient resources to the individual or family to address obstacles that impact the ability to maintain permanent housing. These processes introduced the Emergency Housing Program which allowed GHURA to strengthen partnerships to include 87 additional EHP vouchers.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Guam still faces critical need for emergency shelters identified for DV survivors. With the DV emergency, DV Bonus, Y'Jahame, and Inayek, these shelters focused on DV and special disability homeless persons. Guam continues to see these shelters maintain full capacity. Further planning discussion with other non-

government partners will need to take place.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The CoC-funded programs adopt a strategy that incorporates a "Phased Approach" when aiming to increase the rate of exit to permanent housing and retention in permanent housing. This essentially means that aftercare for individuals discharged from programs such as the emergency shelter continues as needed. Support services offered by Guma San Jose (emergency homeless shelter), Alee (women's shelter for survivors of domestic violence), and Lighthouse Recovery Center (in-house substance abuse treatment center) may continue even after individuals have exited shelter. Service providers may extend stays as necessary for the benefit of their clients. For instance income earners may be allowed to extend up to 6-months to allow client timeline to create savings to ensure stability and self-sufficiency upon exit. The strategy is to move clients out of emergency shelter into permanent housing upon completion of their case plan, which could include job readiness training and successful enrollment in other mainstream services. While this strategy involves completing these tasks within predetermined timelines, high barriers of completion such as limited English proficiency, lack of proper government identification, and lack of transportation are all still barriers needed to overcome.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

The CoC determined the main risk factors affecting first-time homeless to be a) evictions for failure to pay rent or failure to conform to tenant rules, and b) failure to pay rent due to unemployment. The CoC determined these risk factors through intake procedures conducted by member agencies. To address this, the CoC Annual Action Plan 2019 and ESG programs prevent first-time homelessness by offering financial assistance for rent and/or utilities arrears. If individuals or families become homeless, referrals are made for re-housing under ESG and emergency sheltering. To provide further assistance, clients are encouraged to continue with case management services even after discharge from shelters. Department of Labor who is also a member of the CoC, partners with clients to establish education for employment

related activities to include on the job training, resume building and such.

## **Discussion**

Before programs can move clients out of emergency shelter into permanent housing clients must first complete their case plan. However, barriers still remain, often include lack of documentation, high default accounts, and lack of transportation that an individual experiences. Partnerships through the CoC, GHC and GHURA programs will continue to operate within goal focused networks.



## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

Guam's median price for single-family home skyrocketed to \$325,000.00 which increased 9% from the 2019 numbers. Guam has seen a steady increase since to 2014. This price best articulates Guam's largest barrier to affordable housing, which is that it is not available.

Ongoing PY2020 Activity for Acquisition of Affordable Rental units is aimed address the issue of affordable rents. Housing Study and Needs Assessment was completed in 2019 which included a local Fair Market Rents in 2019, to include projected move-population growth in addition to the estimated replacement homes needed by 2045. This study has been able to assist with strategic planning for Guam and the need for more affordable housing.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

In April 2019, Guam provided comment in support of the proposed bill, Homeless Youth and Families Identification Act. The bill aims to ease the process for individuals experiencing homelessness to obtain Guam identification cards through the Department of Revenue and Taxation. By removing some costs associated barriers to accessing government-issued identification, homeless individuals can more readily access housing and supportive services.

### **Discussion:**

The proposed HOME activities provide opportunity for low-income qualified land owners to seek funding for cost of construction to further affordable housing. This will address the issues Guam has seen with the lack of affordable property for low/mod income individuals or families.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

In 2021, Guam is still navigating out of COVID19 shutdowns. Though the community is vigilant with safety protocols to stop the spread of COVID19, community and program activities proposed for PY2021 will continue to drive service needs for the special population.

During this period, Guam is working to open the doors of the Homeless Shelter. This effort and those established prior to this AP will allow for continued outreach.

Programs have incorporated 'Virtual out-reach' which has allowed a new kind of service outreach. Though these the virtual programs options are in place, Guam remains committed to activities plans in place that reach the hardest hit conditions, this is accomplished by ensuring street outreach and program awareness remains consistent.

### **Actions planned to address obstacles to meeting underserved needs**

In 2021, Guam is still navigating out of COVID19 shutdowns. Though the community is vigilant with safety protocols to stop the spread of COVID19, community and program activities proposed for PY2021 will continue to drive service needs for the special population.

During this period, Guam is working open the doors of the Homeless Shelter. This effort and those established prior to this AP will allow for continued outreach.

Programs have incorporated 'Virtual out-reach' which has allowed a new kind of service outreach. Though these the virtual programs options are in place, Guam remains committed to activities plans in place that reach the hardest hit conditions, this is accomplished by ensuring street outreach and program awareness remains consistent.

### **Actions planned to foster and maintain affordable housing**

Guam will foster and maintain affordable housing through several programs offered under HUD and

other agencies. This includes the following:

- Construction of 3 new affordable homes under the HOME homebuyer program.
- Issuing a Qualified Allocation Plan, estimated at \$3M, for the Low Income Housing Tax Credit program.
- Continuation of homeless housing programs under the Continuum of Care.
- Administering the Renewal Affordable Homes rental program.
- Administering Housing Counseling Education and full execution of the program to GHURA's clients in addition to those families at risk.

### **Actions planned to reduce lead-based paint hazards**

GHURA maintains lead-based paint (LBP) plan to address and mitigate property areas identified with LBP. In addition to LBP disclosures provided to Housing unit tenants, agency's AE manages oversight ongoing projects with the PHA to address LBP (abatement, encapsulation and or removal) whereas currently; Lead based paint (LBP) shall be removed and disposed of in accordance to 40 CFR Part 745.227.

As such, disturbance/demolition/disposal of these work items. The construction debris must be performed in accordance with the OSHA lead standard for construction (29 CFR 1926.62) and US EPA hazardous waste regulations (40 CFR Parts 240-282). The OSHA lead standard includes requirements for worker training, medical surveillance, air monitoring, personal protective equipment, and hygiene facilities.

In addition, any waste generated from the disturbance of these surfaces may be regulated by the EPA as hazardous waste. It is recommended that a representative sample of the waste be analyzed for proper hazardous (or non-hazardous) characterization. If the waste stream is deemed hazardous, it must be disposed of at an EPA-approved site. If the waste stream is found non-hazardous, it can be disposed of as construction debris. The contractor provides certification of LBP material disposal to GHURA.

Close out documents for LBP certification requirements are required. The contractor shall hire an independent third-party inspector to determine the presence (or absence) LBP and ensure the work is completed and free from LBP contamination. The independent third-party inspector shall be EPA-

certified and licensed to conduct such activities pursuant of 40 CFR Part 745.227.

GHURA maintains LBP Policy is in place for both CoC and CDBG Public Service activities.

### **Actions planned to reduce the number of poverty-level families**

Guam supports efforts of reducing poverty through various CDBG Public Service programs aimed at providing job readiness and workplace skills trainings. Additionally, Guam supports the work of organization that aim to reduce homelessness through the CoC and ESG.

Furthering already established actions, the proposed CDBG activity PY2021 GHURA Housing Counseling will address housing education and post homeownership counseling needs. Together GHURA's Housing Counseling Program and the Family Self-Sufficiency (FSS) will address the need to promote opportunity to educate and provide pathway to personal goals aimed at overall improvement of quality of life for the family unit in PHA's effort continuing increase the promotion of job opportunities among its residents.

### **Actions planned to develop institutional structure**

In this AAP Guam continues to provide funding support to PFI activities such as the Eastern Sub Station (on-going) and the Sinajana Fire Station (on-going) focused on public safety.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

Guam's intent is to strengthen the coordination established with it's existing partners. With each activity proposed in this AAP, plans to streamline monitoring and reporting are currently being considered, by GHURA. Through means of collaborative efforts the intention would identify services, streamline processes whether using Human Services data base for public services or digital portal or grant management softer ware that will be able to work across programs or organizations/government/non-government, allows for almost immediate response to service need presented.

### **Discussion:**

# Program Specific Requirements

## AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

### Introduction:

Specific program requirement for CDBG, HOME, and ESG are provided below. Guam does not participate in HOPWA.

### Community Development Block Grant Program (CDBG)

#### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

|  |              |
|--|--------------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed  | 3,000        |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0            |
| 3. The amount of surplus funds from urban renewal settlements  | 0            |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan   | 0            |
| 5. The amount of income from float-funded activities   | 0            |
| <b>Total Program Income:</b>   | <b>3,000</b> |

### Other CDBG Requirements

|   |         |
|---|---------|
| 1. The amount of urgent need activities   | 0       |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 100.00% |

### HOME Investment Partnership Program (HOME)

#### Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

As in years past, Guam does not intend to utilize other forms of investment beyond those identified

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2021

in Section 92.205. For PY2021, funds will be utilized to support affordable housing through (3) new construction and/or (1) acquisition to assist first time homebuyers/homeowners.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Guam continues to use existing guidelines for resale or recapture of HOME funds. Resale provisions for the Homebuyer program activities will be documented and recorded against each property sold to an eligible low/mod homebuyer through the recordation of a Declaration of Restrictive Covenant and the execution of a property specific HOME Agreement with each homebuyer. In accordance with 24 CFR 92.254, the homebuyer will acknowledge and agree that, if sale of the property occurs before the end of the affordability period, the home must be sold to another HOME eligible homebuyer at an affordable price. Under this provision, the affordable price will be stated to reflect that no more than 33% of the buyer's annual income be used for housing. The property shall only be used to provide affordable housing to a First Time Homebuyer who is a low to moderate income person, which is a person or a family that has a total Annual Gross Income that does not exceed eighty percent (80%) of the area's median income adjusted for family size as published by the U.S. Department of Housing and Urban Development (HUD), who occupies the Property as their principal residence. The original HOME assisted owner will be provided a fair return on investment. The investment is defined as the homeowner's original investment (i.e. down payment) plus any capital improvements, less the amount of deferred maintenance that does not meet HUD UPCS (Uniform Physical Condition Standards). The fair return on investment is the total of (1) homeowner's investment at time of sale (2) homeowner's investment multiplied by the percentage change in the Guam CPI from date of original purchase to date of sale.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Affordability will be substantiated and the duration for affordability compliance enforced via resale provisions to be recorded against each of the properties sold under the Homebuyer program. The documentation method includes the recordation of a Deed Restriction and the executed of a property specific HOME Agreement with each homebuyer. Resale guidelines included in this document will dictate that, as set forth in 24 CFR §92.254(a)(4), the homebuyer shall agree to occupy the property as his/her principal residence for the affordability period imposed as apply to the resale transaction of the transaction.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that

will be used under 24 CFR 92.206(b), are as follows:

Guam does not intend to use HOME funds to refinance existing debt of any kind.

**Emergency Solutions Grant (ESG)  
Reference 91.220(I)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

Guam has existing Standard Operating Procedures (SOP) for providing services through the Emergency Solutions Grant. The Salvation Army who has been the recipient of ESG funds since the inception of the program, has utilized this SOP as guidance for outlining the intake assessment process, determining eligibility for services, identifying the types of services available, and program requirements for duration of assistance provided.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Guam continues to operate under its established Coordinated Entry System Policy and Procedures. The Coordinated Entry system simplifies the process for homeless persons to access services, assess their needs, and prioritize their housing and service needs. The Coordinated Entry System Policy and Procedures is developed for all local CoC programs and the Emergency Solutions Grant (ESG) Rapid Re-Housing to be participants of this process and to adhere to the coordinated entry system set in place. CoC and ESG programs must utilize the Vulnerability Index (VI), the Service Prioritization Decision Assistance Tool (SPDAT), Version 2, to determine the level of chronic status as well as the medical vulnerability faced by homeless individuals and families. It also serves as an intake and case management tool. This standardized tool will identify the severity of needs rather than determining prioritization of housing and services by a specific diagnosis or disability type. Households assessed with the greatest of needs are prioritized for any type of housing and homeless assistance available in the CoC, including transitional housing, permanent supportive housing, rapid re-housing, and other services.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Public notice is issued on the funding opportunity for the ESG, as well as a workshop to explain the program and the needs of Homelessness Prevention and Rapid Re-Housing within our community. A panel of Community Planning and Development staff serve on the selection panel, and evaluate applicants using established criteria. The criteria is as follows:

- The applicant's history of providing effective supportive services to the homeless, and of

successfully operating performance based grant-funded programs.

- The applicant's effective coordination with organizations with the local continuum of care, to address identified gaps in services for the homeless and improve outcomes for participants.
- The applicant's ability to move street or unsheltered homeless participants or shelter residents to permanent housing, and/or prevent homelessness for families and individuals who are at risk of homelessness.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Guam's Continuum of Care, the Guam Homeless Coalition, regularly consults with homeless or formerly homeless individuals. As part of its mandate, at least one person on the Board of Directors must represent the homeless or formerly homeless population. This current board member is an active member, and participates in policy and funding decisions. CoC-funded programs are also required to establish a homeless or formerly homeless participant in the policy and funding decisions as it pertains to the CoC-funded homeless program. These programs are monitored for compliance.

5. Describe performance standards for evaluating ESG.

GHURA confers with the Guam Homeless Coalition regarding the performance standards for activities funded under ESG.

Assessments include how well the ESG programs use the HMIS system to produce uniform reports for all prime recipients; its consistency with the Consolidated Plan, Annual Progress Report, and Annual Action Plan. The CAPER also provides a framework to track ESG performance.

Other general performance standards are documented such as the unduplicated number of persons or households prevented from becoming homeless, the unduplicated number of persons or households assisted from emergency shelters/streets into permanent housing, race, ethnicity, age, amount spent per sub-recipient and their timeliness of expenditure will be reported by HMIS and GHURA.

Also reported are other assessments such as: what worked using HUD funds, the most pressing needs for clients, barriers to housing, the connection to other mainstream resources and outcomes of families and individuals upon completion of a program.

Additionally, the Coordinated Entry System (CES) provides insight into determining the ESG and CoC's effectiveness in addressing the waitlist for homeless who are seeking housing. Guam utilizes



the ESG to assist homeless families who may not qualify for any of the CoC-funded programs. One of the conditions of CoC-funded programs is the requirement for a head of household to have a disability. Persons fleeing domestic violence, however, are exempt from the disability requirement. Under the ESG program, homeless persons do not have to meet any disability requirements. However, households who are assisted must show reasonable efforts to maintain their housing after their assistance has ended.

Guam does not presently participate in the Housing Trust Funds (HTF) Program.

## Attachments

**LOCAL** Post! Help! You're in the Local section of the Post — the news that concerns you the most. Do you have a news tip? Feel free to email [editor@postguam.com](mailto:editor@postguam.com).

5 GUAM DAILY POST • WEDNESDAY, JULY 29, 2021

# Most mayors, staffers to get several pay periods worth of differential pay on Friday

By Haidee Eugenio Gilbert  
haidee.eugenio@postguam.com

Most mayors, vice mayors and employees at mayors' offices have not received their COVID-19 differential pay for 17 pay periods since December, but about half of what's owed is expected to be paid this Friday, Mayors' Council of Guam Executive Director Angel Sablan said on Tuesday.

Their differential pay started at 15% of their hourly rate from March 2020 to January 2021, and was adjusted to 10% in February, officials said.

Sablan, the only MCOG staffer who decided not to get the additional pay, said the Department of Administration communicated that the differential pay for the December to March payrolls will be paid this Friday.

As of press time, no comment from DOA was received.

The remaining amounts will be paid on Aug. 13, Sablan said. These will be falling on nongovernment payroll Fridays, he said.

The council does not have a total estimate of these differential pay payments at this time.

Mayors, vice mayors and their office employees were considered front liners, serving as COVID-19



**DISTRIBUTING FOOD:** Kevin Masayon, left, and George Bamba, employees with the Agaña Heights Mayor's Office, load food and N95 masks into a waiting vehicle during a July 1 food distribution at the community center. Mayor's offices' employees, mayors and vice mayors have not been paid their COVID-19 differential pay since December but are expected to get paid half of what's owed by Friday. David Castro/the Guam Daily Post

emergency responders and have been distributing emergency food and other supplies to the elderly and villagers, and assisting with COVID-19 testing and vaccination, among other things.

Four mayors also decided not to take COVID-19 differential pay since the start of the authorization.

Sablan confirmed that these mayors who opted not to take extra pay were: Piti Mayor Jesse Alig; Inaláhan Mayor Tony Chargualaf, who's serving his first term only since January this year; Chalan Pago-Ordot Mayor Jessy Gogue; and Hagåtña Mayor John Cruz.

Sablan said Merizo Mayor Ernest Chargualaf did not take the differen-

tial pay from the start in March 2020 to April 2021.

But the Merizo mayor "will receive

COVID-19 pay from May 2021 to whenever the authorization is lifted," Sablan said.

All other mayors, all vice mayors and all but one MCOG staff have been receiving the extra pay.

"Considering we do not qualify for overtime or special pay during times of typhoons, I felt it was prudent not to accept this differential pay," Alig said on Tuesday.

Doctors, nurses, other health care workers, police officers and firefighters are among those also receiving extra pay during the pandemic.

The federal government authorized some \$3 billion for Guam's pandemic response and recovery.

**GUAM HOUSING CORPORATION**  
*P.O. Box 3457, Hagåtña, Guam 96932*

Guam Housing Corporation's Regular Board of Directors meeting will be held on **Friday, July 30, 2021 at 9:00 a.m.** at the QEDA Conference Room, 5th Floor ITC Bldg. This meeting is open to the public via Zoom.

**Zoom meeting information:**  
<https://us02zoom.us/j/99583541507?pwd=XXkzZWlnZDZlSjBkdz05dDZlTGJlQWZlUT09>  
Meeting ID: 995 8354 1507  
Passcode: GHC

For more information or special accommodations, please contact Cassandra Santos or Aileen Ada at 647-4143.

## PUBLICATION NOTICE

In accordance with the provisions of Guam Code Annotated, Title XI, Chapter III, Section 3315, notice is hereby given that:

**BBM INC.,  
DBA: BELLE'S**

has applied for a Class: Four (4) General On-Sale Alcoholic Beverage License said premises being marked as Lot: 5360-2NEW UNIT 101/102 University Square Corten Torres St. Mangilao

### Guam Public Library System (GPLS)

**Regular Board Meeting of the Board of Directors  
Wednesday, August 04, 2021 at 4:00 pm**

Meeting will be held at the GPLS Hagåtña Library, 1st Floor Study Room

**AGENDA: Call to Order, Roll Call, Approval of Minutes, Chairman's Report, Director's Report, Old Business, New Business, Open Discussion, Adjournment**

Those with disabilities requiring special accommodations, auxiliary aid or services, please call June Añague at 475-4755.54.

**GHURA**  
Guam Housing and Urban Renewal Authority  
Amanat Gamto' Yon Pmanan Sigid Gashan  
117 Bien Venida Avenue, Sanjago, GU 96910  
Phone: (671) 473-7861 Fax: (671) 260-7565 TTY: (671) 473-2700  
Website: [www.ghura.org](http://www.ghura.org)

**NOTICE TO THE PUBLIC**  
Use of HUD Community Planning and Development (CPD) Funds  
For Annual Action Plan Projects  
For the Program Year 2021 (Fiscal Year 2022)

The Guam Housing and Urban Renewal Authority (GHURA) announces the use of \$4,476,268 in U.S. Dept. of Housing and Urban Development (HUD) Community Planning and Development (CPD) funds — Community Development Block Grant (CDBG), HOME Investment Partnership Grant (HOME), and Emergency Solutions Grant (ESG) — for the projects listed below. The community will note that no new projects were solicited for FY21. Guam will prioritize the full amount of FY21 CPD funds to previously approved projects to facilitate their successful completion.

| Grant Activities  | Project Sponsor/Project Type        | Amount                |
|---|-------------------------------------|-----------------------|
| <b>Community Development Block Grant-Approved Year</b>                  |                                     |                       |
| 1. Phase II Design and Development for PFI and PFI (2017)               | GHURA/PFI                           | \$705,755.00          |
| 2. Inaláhan Basketball Court, new construction (2017)                   | Office of the Mayor of Inaláhan PFI | 15,000.00             |
| 3. Central Community Arts Hall (2018)                                   | Sanjago Mayor's Office PFI          | 700,000.00            |
| 4. Sanjago Fire Station (2019)  | Guam Fire Department PFI            | 250,000.00            |
| 5. Eastern Sub Station (2020)   | Guam Police Department PFI          | 500,000.00            |
| 6. Grant Planning   | GHURA/Admin                         | 315,335.00            |
| 7. Grant Administration   | GHURA/Planning                      | 315,335.00            |
| 8. GHURA Housing Counseling Program (2019)                              | GHURA/PS                            | 30,000.00             |
| 9. The Opportunity Initiative 2020 (2020)                               | Manila-PS                           | 89,340.00             |
| 10. Homeless Management Information System (2020)                       | The Salvation Army PS               | 29,287.00             |
| 11. Family Services Center (2020)                                       | The Salvation Army PS               | 30,000.00             |
| 12. Lightbase Recovery Center (2020)                                    | The Salvation Army PS               | 173,480.00            |
|   | <b>CDBG Total</b>                   | <b>\$3,153,352.00</b> |
| <b>HOME Investment Partnership Grant</b>                                |                                     |                       |
| 13. Homebuyer New Contractor Build On Four Over Land (2020)             | GHURA/Homebuyer                     | \$804,378.75          |
| 14. Grant Administration & Planning                                     | GHURA/Admin & Planning              | 159,546.25            |
|   | <b>HOME Total</b>                   | <b>\$1,063,925.00</b> |
| <b>Emergency Solutions Grant</b>  |                                     |                       |
| 15. Family Services Center's New Step Homeless Assistance Center (2022) | The Salvation Army RR and HP*       | \$154,886.00          |
| 16. Gama Manahan (2020)   | Secretary for PFI                   | 84,625.00             |
| 17. Grant Administration  | GHURA/Admin                         | 19,420.00             |
|   | <b>ESG Total</b>                    | <b>\$258,931.00</b>   |

1 PFI = Public Facilities and Improvements; \*PS = Public Services; \*\*RR = Rapid Rehousing; \*\*HP = Homelessness Prevention

The MAP will be available electronically on GHURA's website at [www.ghura.org](http://www.ghura.org). If you would like to obtain a copy or require additional information, please contact Alicia P. Agaña, Planner IV at 671-475-1316 or by email at [apagaen@ghura.org](mailto:apagaen@ghura.org).

GHURA is inviting the public to comment on the proposed MAP projects while exercising pandemic safety protocols and current mandated social distancing requirements. A **public hearing will be held on Monday, August 02, 2021 at 12:00 noon** via Zoom at Meeting ID: 960 9628 9868. Passcode: GHURA.

Individuals or organizations wishing to comment on the MAP are encouraged to do so by submitting written comments to GHURA dropped to the agency's main office at 117 Bien Venida Avenue in Sanjago at any time through its front door drop box with a notation of ATTN: Alicia P. Agaña. Written comments may also be submitted by email to [apagaen@ghura.org](mailto:apagaen@ghura.org) or via facsimile at 671-300-7565. All comments must be received no later than 5:00 pm on Monday, August 02, 2021.

If you require special accommodations, please contact the Section 504 Coordinator Katherine E. Taitano at 671-475-1322 or [katherine@ghura.org](mailto:katherine@ghura.org).

\*Ray S. Topasna, GHURA Executive Director

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The Coordinator can be contacted at the above address and telephone numbers.



**JAILED:** Hong Kong defendant Tong Ying-kit, 23, arrives at court after being accused of deliberately driving his motorcycle into a group of police officers on July 6, 2020 in Hong Kong, China. Getty Images/Getty Images/Tribune News Service

# HK jails activist for 9 years in first security trial

By Karl Lindberg  
Bloomberg News

A Hong Kong court sentenced the first person convicted under a Beijing-drafted national security law to nine years in prison, a ruling that illustrates the high stakes facing dozens of pro-democracy activists awaiting similar trials.

Tong Ying-kit, a waiter, faced as long as life in prison after being found guilty of incitement to secession and engaging in terrorist activities earlier this week. The convictions stem from an incident last year when Tong drove a motorcycle carrying a flag with the banned slogan "Liberate Hong Kong: revolution of our times" into a group of police officers, injuring three.

Tong was given 6.5 years for the secession charge and another eight years for the terrorism offense, served concurrently in part for a total of nine years, a panel of High Court judges ruled Friday. "The punishment must have as its aim a general deterrent effect on the community as a whole, as well as a specific deterrent effect on the individual in question," the judges, Anthea Pang, Esther Toh and Wilson Chan, said in their written opinion.

The ruling came the same day police arrested a man who allegedly was boozing China's national anthem at a Hong Kong shopping mall during the live broadcast of an Olympic gold medal ceremony.

Tong's lawyer, Clive Grossman, said his client would appeal both the conviction and the sentence.

The government welcomed the guilty verdict and will study the sentencing to consider its next steps, Secretary for Security Chris Tang told reporters after the ruling. Prosecutors in Hong Kong can appeal a sentence that a court hands down to seek a longer one.

The U.S. criticized the conduct of the trial, which it said "ensured an unjust outcome." In a written statement, a State Department spokesman said the U.S. was deeply concerned that Chinese officials were deploying the security law "as a political weapon to silence dissenting voices in Hong Kong and suppress protected rights and fundamental freedoms."

Yamini Mishra, Asia-Pacific director for Amnesty International, said: "The ruling essentially outlaws a popular slogan widely used by the pro-democracy movement and could enable future convictions of numerous other protesters who used it."

Some 76 pro-democracy activists, former politicians and journalists are awaiting trial after being charged under the legislation, according to the security bureau. That figure includes student activist Joshua Wong, who was the subject of a Netflix documentary, and Jimmy Lai, the media mogul whose pro-democracy newspaper was recently forced to close.

The sentence was closely watched for clues on how courts in the financial hub will interpret the security law, which outlaws secession, subversion, terrorism and colluding with foreign forces. Beijing handed down the legislation a year ago without any debate by local lawmakers following large and occasionally violent protests in the Asian financial hub in 2019. China has credited the law with preventing a repeat of the unrest that shook the city for months.

The Group of Seven nations said Beijing failed to meet the terms of its handover agreement with the U.K. by forcing the legislation on Hong Kong. The U.S. responded to the law by rolling back some special privileges granted to the city, in part making it harder to export sensitive American technology to Hong Kong, and sanctioned senior officials who oversee the territory.

Tong has been held without bail for more than a year and denied a jury trial under security law provisions, representing a break with Hong Kong's common law judicial tradition. He had pleaded not guilty over the incident, which occurred hours after the law had taken effect and police banned the slogan he displayed.

The "liberate" slogan has been shouted and displayed on flags by tens, if not hundreds, of thousands of protesters in the city over the past two years. Exiled pro-democracy activist Nathan Law said the court's ruling that the phrase could incite secession amounted to criminalizing speech.

The judges, who were selected from a panel chosen by Chief Executive Carrie Lam, said that by riding his motorcycle into police, Tong had sought to intimidate members of the public as part of his "political agenda."

While prosecutors asked the court at a hearing Thursday to refer to mainland Chinese laws when considering Tong's sentence, the judges said they would follow Hong Kong law. Grossman, Tong's lawyer, asked the judges for leniency, saying the public already understood the seriousness of the case.

## GHURA

Guam Housing and Urban Renewal Authority  
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| Grant Activities   | Project Sponsor/Project Type      | Amount                |
|--|-----------------------------------|-----------------------|
| <b>Community Development Block Grant Approval Year</b>                 |                                   |                       |
| 1. Phase II Design and Development for PI and HI (2017)                | GHUMA/PI                          | \$78,755.00           |
| 2. Isidoro Basketball Court, new construction (2017)                   | Office of the Mayor of Isidoro PI | 75,000.00             |
| 3. Central Community Arts Hall (2018)                                  | Sanjua Mayor's Office/PI          | 700,000.00            |
| 4. Sanjua Fire Station (2019)  | Guam Fire Department/PI           | 250,000.00            |
| 5. Eastern Sub Station (2020)  | Guam Police Department/PI         | 500,000.00            |
| 6. Grant Planning  | GHURA Admin                       | 375,333.00            |
| 7. Grant Administration  | GHURA/Training                    | 375,333.00            |
| 8. GHURA Housing Counseling Program (2019)                             | GHURA/PS                          | 30,000.00             |
| 9. The Opportunity Initiative 2020 (2020)                              | Mawela/PS                         | 89,440.00             |
| 10. Homeless Management Information System (2020)                      | The Salvation Army/PS             | 79,287.00             |
| 11. Family Services Center (2020)                                      | The Salvation Army/PS             | 30,000.00             |
| 12. LightHouse Recovery Center (2020)                                  | The Salvation Army/PS             | 173,400.00            |
|  | <b>CDBG Total</b>                 | <b>\$1,153,332.00</b> |
| <b>HOME Investment Partnership Grant</b>                               |                                   |                       |
| 13. Homebuyer New Construction Build On Your Own Land (2020)           | GHURA/Homebuyer                   | \$994,378.75          |
| 14. Grant Administration & Planning                                    | GHURA Admin & Planning            | 191,546.25            |
|  | <b>HOME Total:</b>                | <b>\$1,003,975.00</b> |
| <b>Emergency Solutions Grant</b>                                       |                                   |                       |
| 15. Family Services Center, 2nd Stop Homeless Assistance Center (2020) | The Salvation Army/PI and HP      | \$154,886.00          |
| 16. Grant Administration (2020)  | Secretary Inc./PI                 | 48,415.00             |
| 17. Grant Administration   | GHURA Admin                       | 97,233.00             |
|  | <b>ESG Total</b>                  | <b>\$298,941.00</b>   |

PI = Public Facilities and Improvements; PS = Public Services; WB = Rapid Rehousing; HP = Homelessness Prevention

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GHURA is inviting the public to comment on the proposed APF projects while exercising pandemic safety protocols and current mandated social distancing requirements. A virtual public hearing will be held on **Monday, August 02, 2021 at 12:00 noon** via Zoom at Meeting ID: 988-5670-9888. Passcode: GHURA.

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Tong was given 6.5 years for the secession charge and another eight years for the terrorism offense, served concurrently in part for a total of nine years, a panel of High Court judges ruled Friday. "The punishment must have as its aim a general deterrent effect on the community as a whole, as well as a specific deterrent effect on the individual in question," the judges, Anthea Pang, Esther Toh and Wilson Chan, said in their written opinion.

The ruling came the same day police arrested a man who allegedly was boozing China's national anthem at a Hong Kong shopping mall during the live broadcast of an Olympic gold medal ceremony.

Tong's lawyer, Clive Grossman, said his client would appeal both the conviction and the sentence.

The government welcomed the guilty verdict and will study the sentencing to consider its next steps, Secretary for Security Chris Tang told reporters after the ruling. Prosecutors in Hong Kong can appeal a sentence that a court hands down to seek a longer one.

The U.S. criticized the conduct of the trial, which it said "ensured an unjust outcome." In a written statement, a State Department spokesman said the U.S. was deeply concerned that Chinese officials were deploying the security law "as a political weapon to silence dissenting voices in Hong Kong and suppress protected rights and fundamental freedoms."

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The Group of Seven nations said Beijing failed to meet the terms of its handover agreement with the U.K. by forcing the legislation on Hong Kong. The U.S. responded to the law by rolling back some special privileges granted to the city, in part making it harder to export sensitive American technology to Hong Kong, and sanctioned senior officials who oversee the territory.

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|---|-------------------------------------|-----------------------|
| <b>Community Development Block Grant Approval Year</b>                |                                     |                       |
| 1. Home II Design and Development for PI and HI (2017)                | GHUMA/PI                            | \$78,755.00           |
| 2. Ilo-Iloan Basketball Court, new construction (2017)                | Office of the Mayor of Ilo-Iloan PI | 75,000.00             |
| 3. Central Community Arts Hall (2018)                                 | Sanjua Mayor's Office/PI            | 700,000.00            |
| 4. Sanjua Fire Station (2019)   | Guam Fire Department/PI             | 250,000.00            |
| 5. Eadsen Sub Station (2020)  | Guam Police Department/PI           | 500,000.00            |
| 6. Grant Planning   | GHURA Admin                         | 375,333.00            |
| 7. Grant Administration   | GHURA/Training                      | 375,333.00            |
| 8. GHURA Housing Counseling Program (2019)                            | GHURA/PS                            | 30,000.00             |
| 9. The Opportunity Initiative 2020 (2020)                             | Mawela/PS                           | 89,240.00             |
| 10. Homeless Management Information System (2020)                     | The Salvation Army/PS               | 79,217.00             |
| 11. Family Services Center (2020)                                     | The Salvation Army/PS               | 30,000.00             |
| 12. LightHouse Recovery Center (2020)                                 | The Salvation Army/PS               | 173,400.00            |
|   | <b>CDBG Total</b>                   | <b>\$3,183,332.00</b> |
| <b>HOME Investment Partnership Grant</b>                              |                                     |                       |
| 13. Homebuyer New Construction Build On Your Own Land (2020)          | GHURA/Homebuyer                     | \$494,378.75          |
| 14. Grant Administration & Planning                                   | GHURA Admin & Planning              | 151,546.25            |
|   | <b>HOME Total:</b>                  | <b>\$1,063,973.00</b> |
| <b>Emergency Solutions Grant</b>                                      |                                     |                       |
| 15. Family Services Center 2nd Stop Homeless Assistance Center (2020) | The Salvation Army/PI and HP        | \$154,886.00          |
| 16. Santa Maritana (2020)   | Secretary Inc./PI                   | 44,415.00             |
| 17. Grant Administration  | GHURA Admin                         | 97,433.00             |
|   | <b>ESG Total</b>                    | <b>\$296,734.00</b>   |

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Grantee SF-424's and Certification(s)

OMB Number: 4840-0080  
Expiration Date: 01/31/2023

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |  |  |   |
|---|--|--|---|
| <b>1.a. Type of Submission:</b><br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Plan<br><input type="checkbox"/> Funding Request<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  | <b>1.b. Frequency:</b><br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |   |
|   |  | <b>1.d. Version:</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update              |   |
|   |  | <b>2. Date Received:</b><br><input type="text"/>   | <b>STATE USE ONLY:</b>  |
|   |  | <b>3. Applicant Identifier:</b><br><input type="text"/>  | <b>5. Date Received by State:</b><br><input type="text"/>       |
|   |  | <b>4a. Federal Entity Identifier:</b><br><input type="text"/>  | <b>6. State Application Identifier:</b><br><input type="text"/> |
|   |  | <b>4b. Federal Award Identifier:</b><br><input type="text"/>   |   |
| <b>1.c. Consolidated Application/Plan/Funding Request?</b><br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="text" value="Explanation"/>  |  |  |   |
| <b>7. APPLICANT INFORMATION:</b>  |  |  |   |
| <b>a. Legal Name:</b><br><input type="text" value="Government of Guam"/>  |  |  |   |
| <b>b. Employer/Taxpayer Identification Number (EIN/TIN):</b><br><input type="text" value="96-0001279"/>   |  | <b>c. UEI:</b><br><input type="text" value="855031519000"/>  |   |
| <b>d. Address:</b>  |  |  |   |
| <b>Street1:</b><br><input type="text" value="117 Bien Venida Avenue"/>  |  | <b>Street2:</b><br><input type="text"/>  |   |
| <b>City:</b><br><input type="text" value="Sinajana"/>   |  | <b>County / Parish:</b><br><input type="text"/>  |   |
| <b>State:</b><br><input type="text" value="GU: Guam"/>  |  | <b>Province:</b><br><input type="text"/>   |   |
| <b>Country:</b><br><input type="text" value="USA: UNITED STATES"/>  |  | <b>Zip / Postal Code:</b><br><input type="text" value="96910-3643"/>   |   |
| <b>e. Organizational Unit:</b>  |  |  |   |
| <b>Department Name:</b><br><input type="text"/>   |  | <b>Division Name:</b><br><input type="text"/>  |   |
| <b>f. Name and contact information of person to be contacted on matters involving this submission:</b>  |  |  |   |
| <b>Prefix:</b><br><input type="text" value="Mr."/>  | <b>First Name:</b><br><input type="text" value="Ray"/> | <b>Middle Name:</b><br><input type="text" value="S"/>  |   |
| <b>Last Name:</b><br><input type="text" value="Tepasoa"/>   |  | <b>Suffix:</b><br><input type="text"/>   |   |
| <b>Title:</b> <input type="text" value="Executive Director"/>   |  |  |   |
| <b>Organizational Affiliation:</b><br><input type="text" value="Guam Housing and Urban Renewal Authority"/>   |  |  |   |
| <b>Telephone Number:</b> <input type="text" value="1-671-675-1378"/>  |  | <b>Fax Number:</b> <input type="text" value="1-671-390-7565"/>   |   |
| <b>Email:</b> <input type="text" value="rtlepasoa@ghura.org"/>  |  |  |   |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY  |   |
|--|---|
| <b>8a. TYPE OF APPLICANT:</b>  |   |
| <input type="text" value="P: U.S. Territory or Possession"/>   |   |
| Other (specify):<br><input type="text"/>   |   |
| <b>b. Additional Description:</b><br><input type="text"/>  |   |
| <b>9. Name of Federal Agency:</b><br><input type="text" value="U. S. Department of Housing and Urban Development"/>  |   |
| <b>10. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14.225"/>   |   |
| <b>CFDA Title:</b><br><input type="text" value="Community Development Block Grant/ Special Purpose Grants/ Insular Areas"/>  |   |
| <b>11. Descriptive Title of Applicant's Project:</b><br><input type="text" value="CDBG funds will be used to address the needs of low/mod income populations and special needs populations through the construction of facilities and the provision of services."/>  |   |
| <b>12. Areas Affected by Funding:</b><br><input type="text" value="Guan Island-wide and specific identified village-level service areas according to available Census data determined as comprised of beneficiaries at 51% or greater low/mod income individuals."/> |   |
| <b>13. CONGRESSIONAL DISTRICTS OF:</b>   |   |
| <b>a. Applicant:</b><br><input type="text" value="Guan"/>  | <b>b. Program/Project:</b><br><input type="text" value="GU-098"/> |
| Attach an additional list of Program/Project Congressional Districts if needed.<br><input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>                |   |
| <b>14. FUNDING PERIOD:</b>   |   |
| <b>a. Start Date:</b><br><input type="text" value="10/01/2021"/>   | <b>b. End Date:</b><br><input type="text" value="09/30/2025"/>    |
| <b>15. ESTIMATED FUNDING:</b>  |   |
| <b>a. Federal (\$):</b><br><input type="text" value="3,153,352.00"/>   | <b>b. Match (\$):</b><br><input type="text" value="0.00"/>        |
| <b>16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?</b>   |   |
| <input checked="" type="checkbox"/> a. This submission was made available to the State under the Executive Order 12372 Process for review on: <input type="text" value="07/26/2021"/>  |   |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by State for review.  |   |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372.  |   |



| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |   |
|---|---|
| 17. Is The Applicant Delinquent On Any Federal Debt?  |   |
| Yes <input type="checkbox"/>  | No <input checked="" type="checkbox"/> <input type="text" value="Explanation"/>                   |
| 18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) |   |
| ** I Agree <input checked="" type="checkbox"/>  |   |
| ** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.  |   |
| Authorized Representative:  |   |
| Prefix:<br><input type="text" value="Mr."/>   | First Name:<br><input type="text" value="Joshua"/>  |
| Middle Name:<br><input type="text" value="F"/>  |   |
| Last Name:<br><input type="text" value="Tenorio"/>  |   |
| Suffix:<br><input type="text"/>   | Title:<br><input type="text" value="Acting Governor of Guam"/>                                    |
| Organizational Affiliation:<br><input type="text"/>   |   |
| Telephone Number:<br><input type="text" value="1-671-475-8931"/>  |   |
| Fax Number:<br><input type="text" value="1-671-477-4826"/>  |   |
| Email:<br><input type="text" value="joshua.tenorio@guam.gov"/>  |   |
| Signature of Authorized Representative:<br><input type="text" value="Joshua Tenorio"/>  |   |
| Date Signed:<br><input type="text" value="8/3/21"/>   |   |
| Attach supporting documents as specified in agency instructions.  |   |
| <input type="button" value="Add Attachments"/>  | <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> |

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Consolidated Application/Plan/Funding Request Explanation:**

The Program Year 2021 Annual Action Plan is mandated by the U.S. Dept. of Housing and Urban Development (HUD) Office of Community Planning and Development for the use of Community Development Block Grant (CDBG) funds. The Government of Guam is the Grantee recipient of these HUD CDBG funds. The Guam Housing and Urban Renewal Authority is an agency of the Government of Guam and the assigned administrator of HUD CDBG funds on behalf of the Grantee.

This SF-424 is specific to CDBG Program Activities proposed for funding for Program Year 2021 (the 2nd year of the the five-year 2020-2024 Guam Consolidated Plan for projects and activities which have been approved in prior years and which require additional funding to complete them or continued funding.

The Community Development Block Grant slate of projects proposed for funding in Py2021 are listed below.

- 1 Phase II Design and Development for PR and TR (2017)
- 2 Inalihan Basketball Court, new construction (2017)
- 3 Central Community Arts Hall (2018)
- 4 Sinejana Fire Station (2019)
- 5 Eastern Sub-Station (2020)
- 6 Grant Planning
- 7 Grant Administration
- 8 GOURA Housing Counseling Program (2019)
- 9 The Opportunity Initiative 2020 (2020)
- 10 Homeless Management Information System (2020)
- 11 Family Services Center (2020)
- 12 Lighthouse Recovery Center (2020)

Proposed activities 1 through 5 focus address the need for Public Facilities and Improvements serving residents of identified service areas where no less than 51% of the residents are of low/mod income.

Proposed activities 6 & 7 address the administrative and planning of CDBG activities.

Proposed activities 8 through 12 focus on public service needs of eligible populations determined eligible by eligibility-specific criteria.

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Applicant Federal Debt Delinquency Explanation:**

[Empty text box for Applicant Federal Debt Delinquency Explanation]

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |  |  |   |
|---|--|--|---|
| <b>1.a. Type of Submission:</b><br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Plan<br><input type="checkbox"/> Funding Request<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  | <b>1.b. Frequency:</b><br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |   |
|   |  | <b>1.d. Version:</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update              |   |
|   |  | <b>2. Date Received:</b><br><input type="text"/>   | <b>STATE USE ONLY:</b>  |
|   |  | <b>3. Applicant Identifier:</b><br><input type="text"/>  | <b>5. Date Received by State:</b><br><input type="text"/>       |
|   |  | <b>4a. Federal Entity Identifier:</b><br><input type="text"/>  | <b>6. State Application Identifier:</b><br><input type="text"/> |
|   |  | <b>4b. Federal Award Identifier:</b><br><input type="text"/>   |   |
| <b>1.c. Consolidated Application/Plan/Funding Request?</b><br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="button" value="Explanation"/>  |  |  |   |
| <b>7. APPLICANT INFORMATION:</b>  |  |  |   |
| <b>a. Legal Name:</b><br><input type="text" value="Government of Guam"/>  |  |  |   |
| <b>b. Employer/Taxpayer Identification Number (EIN/TIN):</b><br><input type="text" value="96-0001279"/>   |  | <b>c. UEI:</b><br><input type="text" value="855031515000"/>  |   |
| <b>d. Address:</b>  |  |  |   |
| <b>Street1:</b><br><input type="text" value="117 Sien Venida Avenue"/>  |  | <b>Street2:</b><br><input type="text"/>  |   |
| <b>City:</b><br><input type="text" value="Sinajana"/>   |  | <b>County / Parish:</b><br><input type="text"/>  |   |
| <b>State:</b><br><input type="text" value="GU: Guam"/>  |  | <b>Province:</b><br><input type="text"/>   |   |
| <b>Country:</b><br><input type="text" value="USA: UNITED STATES"/>  |  | <b>Zip / Postal Code:</b><br><input type="text" value="96910-3643"/>   |   |
| <b>e. Organizational Unit:</b>  |  |  |   |
| <b>Department Name:</b><br><input type="text"/>   |  | <b>Division Name:</b><br><input type="text"/>  |   |
| <b>f. Name and contact information of person to be contacted on matters involving this submission:</b>  |  |  |   |
| <b>Prefix:</b><br><input type="text" value="Mr."/>  | <b>First Name:</b><br><input type="text" value="Ray"/> | <b>Middle Name:</b><br><input type="text" value="S"/>  |   |
| <b>Last Name:</b><br><input type="text" value="Tupasna"/>   |  | <b>Suffix:</b><br><input type="text"/>   |   |
| <b>Title:</b> <input type="text" value="Executive Director"/>   |  |  |   |
| <b>Organizational Affiliation:</b><br><input type="text" value="Guam Housing and Urban Renewal Authority"/>   |  |  |   |
| <b>Telephone Number:</b> <input type="text" value="1-671-475-1378"/>  |  | <b>Fax Number:</b> <input type="text" value="1-671-300-7365"/>   |   |
| <b>Email:</b> <input type="text" value="rtupasna@ghura.org"/>   |  |  |   |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY  |  |
|--|--|
| <b>8a. TYPE OF APPLICANT:</b>  |  |
| <input type="text" value="F: U.S. Territory or Possession"/>   |  |
| Other (specify):<br><input type="text"/>   |  |
| b. Additional Description:<br><input type="text"/>   |  |
| <b>9. Name of Federal Agency:</b>  |  |
| <input type="text" value="U. S. Department of Housing and Urban Development"/>   |  |
| <b>10. Catalog of Federal Domestic Assistance Number:</b>  |  |
| <input type="text" value="14.239"/>  |  |
| CFDA Title:<br><input type="text" value="HOME Investment Partnerships Program"/>   |  |
| <b>11. Descriptive Title of Applicant's Project:</b>   |  |
| <input type="text" value="HOME funds will be used to address the needs of three low/mod income first time home buyers and for the administration and planning of HOME activities."/>   |  |
| <b>12. Areas Affected by Funding:</b>  |  |
| <input type="text" value="Guam-island wide."/>   |  |
| <b>13. CONGRESSIONAL DISTRICTS OF:</b>   |  |
| a. Applicant:<br><input type="text" value="Guam"/>   | b. Program/Project:<br><input type="text" value="GU-098"/> |
| Attach an additional list of Program/Project Congressional Districts if needed.<br><input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="New Attachment"/> |  |
| <b>14. FUNDING PERIOD:</b>   |  |
| a. Start Date:<br><input type="text" value="10/01/2021"/>  | b. End Date:<br><input type="text" value="09/30/2025"/>    |
| <b>15. ESTIMATED FUNDING:</b>  |  |
| a. Federal (\$):<br><input type="text" value="1,053,975.00"/>  | b. Match (\$):<br><input type="text" value="0.00"/>        |
| <b>16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?</b>   |  |
| <input checked="" type="checkbox"/> a. This submission was made available to the State under the Executive Order 12372 Process for review on: <input type="text" value="07/26/2021"/>  |  |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by State for review.  |  |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372.  |  |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY  |  |
|--|--|
| <b>17. Is The Applicant Delinquent On Any Federal Debt?</b><br>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <input type="button" value="Explanation"/>  |  |
| <b>18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b><br><br>** I Agree <input checked="" type="checkbox"/><br><br>** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions. |  |
| <b>Authorized Representative:</b>  |  |
| Prefix:<br><input type="text" value="Mr."/>  | First Name:<br><input type="text" value="Joshua"/>             |
| Middle Name:<br><input type="text" value=""/>  |  |
| Last Name:<br><input type="text" value="Teorio"/>  |  |
| Suffix:<br><input type="text" value=""/>   | Title:<br><input type="text" value="Acting Governor of Guam"/> |
| Organizational Affiliation:<br><input type="text" value=""/>   |  |
| Telephone Number:<br><input type="text" value="1-671-475-6933"/>   |  |
| Fax Number:<br><input type="text" value="1-671-477-4825"/>   |  |
| Email:<br><input type="text" value="joshua.teorio@guam.gov"/>  |  |
| Signature of Authorized Representative:<br><input type="text" value="Joshua Teorio"/>  |  |
| Date Signed:<br><input type="text" value="8/3/21"/>  |  |
| Attach supporting documents as specified in agency instructions.<br><input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>   |  |

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Consolidated Application/Plan/Funding Request Explanation:**

The Program Year 2021 Annual Action Plan is mandated by the U.S. Dept. of Housing and Urban Development (HUD) Office of Community Planning and Development for the use of HOME Investment Partnerships Program (HOME) funds. The Government of Guam is the Participating Jurisdiction (PJ) recipient of these HUD HOME funds. The Guam Housing and Urban Renewal Authority is an agency of the Government of Guam and the assigned administrator of HUD HOME funds on behalf of the PJ.

This SF-424 is specific to HOME activities proposed for funding in Program Year 2021 (the 2nd year of the the five-year 2020-2024 Guam Consolidated Plan) for a project which has been approved in a prior year and which is being proposed FY21 funds to construct three additional homes. HOME funds will also be used to fund grant administration and planning activities. The home activity is a recognized homebuyer activity.

- 1 Homebuyer New Construction Build On Your Own Land (2020)
- 2 Grant Administration & Planning

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Applicant Federal Debt Delinquency Explanation:**

[Empty text box for Applicant Federal Debt Delinquency Explanation]



| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |  |  |  |
|---|--|--|--|
| <b>1.a. Type of Submission:</b><br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Plan<br><input type="checkbox"/> Funding Request<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  | <b>1.b. Frequency:</b><br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  |
|   |  | <b>1.d. Version:</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update              |  |
|   |  | <b>2. Date Received:</b><br><input type="text"/>   |  |
|   |  | <b>STATE USE ONLY:</b>   |  |
|   |  | <b>3. Applicant Identifier:</b><br><input type="text"/>  |  |
|   |  | <b>5. Date Received by State:</b><br><input type="text"/>  |  |
|   |  | <b>6. State Application Identifier:</b><br><input type="text"/>  |  |
|   |  | <b>4a. Federal Entity Identifier:</b><br><input type="text"/>  |  |
|   |  | <b>4b. Federal Award Identifier:</b><br><input type="text"/>   |  |
| <b>1.c. Consolidated Application/Plan/Funding Request?</b><br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="text" value="Explanation"/>  |  |  |  |
| <b>7. APPLICANT INFORMATION:</b>  |  |  |  |
| <b>a. Legal Name:</b><br><input type="text" value="Government of Guam"/>  |  |  |  |
| <b>b. Employer/Taxpayer Identification Number (EIN/TIN):</b><br><input type="text" value="96-0001279"/>   |  | <b>c. UEI:</b><br><input type="text" value="855031519003"/>  |  |
| <b>d. Address:</b>  |  |  |  |
| <b>Street1:</b><br><input type="text" value="117 Bien Venida Avenue"/>  |  | <b>Street2:</b><br><input type="text"/>  |  |
| <b>City:</b><br><input type="text" value="Ginafana"/>   |  | <b>County / Parish:</b><br><input type="text"/>  |  |
| <b>State:</b><br><input type="text" value="GU: Guam"/>  |  | <b>Province:</b><br><input type="text"/>   |  |
| <b>Country:</b><br><input type="text" value="USA: UNITED STATES"/>  |  | <b>Zip / Postal Code:</b><br><input type="text" value="96910-3643"/>   |  |
| <b>e. Organizational Unit:</b>  |  |  |  |
| <b>Department Name:</b><br><input type="text"/>   |  | <b>Division Name:</b><br><input type="text"/>  |  |
| <b>f. Name and contact information of person to be contacted on matters involving this submission:</b>  |  |  |  |
| <b>Prefix:</b><br><input type="text" value="Mr."/>  | <b>First Name:</b><br><input type="text" value="Ray"/> | <b>Middle Name:</b><br><input type="text" value="S"/>  |  |
| <b>Last Name:</b><br><input type="text" value="Tupasna"/>   |  | <b>Suffix:</b><br><input type="text"/>   |  |
| <b>Title:</b> <input type="text" value="Executive Director"/>   |  |  |  |
| <b>Organizational Affiliation:</b><br><input type="text" value="Guam Housing and Urban Renewal Authority"/>   |  |  |  |
| <b>Telephone Number:</b> <input type="text" value="1-671-475-1378"/>  |  | <b>Fax Number:</b> <input type="text" value="1-671-300-7555"/>   |  |
| <b>Email:</b> <input type="text" value="rstupasna@ghura.org"/>  |  |  |  |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |   |
|---|---|
| <b>8a. TYPE OF APPLICANT:</b>   |   |
| <input type="text" value="Fr. U.S. Territory of Possession"/>   |   |
| Other (specify):<br><input type="text"/>  |   |
| <b>b. Additional Description:</b><br><input type="text"/>   |   |
| <b>9. Name of Federal Agency:</b><br><input type="text" value="U. S. Department of Housing and Urban Development"/>   |   |
| <b>10. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14.233"/>  |   |
| <b>CFDA Title:</b><br><input type="text" value="Emergency Solutions Grant Program"/>  |   |
| <b>11. Descriptive Title of Applicant's Project:</b><br><input type="text" value="Guam's ESG funds will be used to provide ongoing funding to organizations whose programs address the needs of the homeless through services and those at-risk of homelessness to address rapid rehousing, homeless prevention."/> |   |
| <b>12. Areas Affected by Funding:</b><br><input type="text" value="Guam Island-wide."/>   |   |
| <b>13. CONGRESSIONAL DISTRICTS OF:</b>  |   |
| <b>a. Applicant:</b><br><input type="text" value="Guam"/>   | <b>b. Program/Project:</b><br><input type="text" value="GU-09B"/> |
| Attach an additional list of Program/Project Congressional Districts if needed.<br><input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>   |   |
| <b>14. FUNDING PERIOD:</b>  |   |
| <b>a. Start Date:</b><br><input type="text" value="10/01/2021"/>  | <b>b. End Date:</b><br><input type="text" value="09/30/2023"/>    |
| <b>15. ESTIMATED FUNDING:</b>   |   |
| <b>a. Federal (\$):</b><br><input type="text" value="258,941.00"/>  | <b>b. Match (\$):</b><br><input type="text" value="0.00"/>        |
| <b>16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?</b>  |   |
| <input checked="" type="checkbox"/> a. This submission was made available to the State under the Executive Order 12372 Process for review on: <input type="text" value="05/26/2021"/>   |   |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by State for review.   |   |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372.   |   |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |   |
|---|---|
| 17. Is The Applicant Delinquent On Any Federal Debt?  |   |
| Yes <input type="checkbox"/>  | No <input checked="" type="checkbox"/> <input type="text" value="Explanation"/>                   |
| 18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) |   |
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| ** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.  |   |
| Authorized Representative:  |   |
| Prefix:<br><input type="text" value="Mr."/>   | First Name:<br><input type="text" value="Joshua"/>  |
| Middle Name:<br><input type="text" value=""/>   |   |
| Last Name:<br><input type="text" value="Tenorio"/>  |   |
| Suffix:<br><input type="text" value=""/>  | Title:<br><input type="text" value="Acting Governor of Guam"/>                                    |
| Organizational Affiliation:<br><input type="text" value=""/>  |   |
| Telephone Number:<br><input type="text" value="1-671-475-8931"/>  |   |
| Fax Number:<br><input type="text" value="1-671-477-4826"/>  |   |
| Email:<br><input type="text" value="joshua.tenorio@guam.gov"/>  |   |
| Signature of Authorized Representative:<br><input type="text" value="Joshua Tenorio"/>  |   |
| Date Signed:<br><input type="text" value="8/3/21"/>   |   |
| Attach supporting documents as specified in agency instructions.  |   |
| <input type="button" value="Add Attachments"/>  | <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> |

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Consolidated Application/Plan/Funding Request Explanation:**

The Program Year 2021 Annual Action Plan is mandated by the U.S. Dept. of Housing and Urban Development (HUD) Office of Community Planning and Development for the use of Emergency Solutions Grant (ESG) funds. The Government of Guam is the Grantee recipient of these HUD ESG funds. The Guam Housing and Urban Renewal Authority is an agency of the Government of Guam and the assigned administrator of ESG funds on behalf of the Grantee.

This SF-424 is specific to ESG activities proposed for funding in Program Year 2021 (the 2nd year of the the five-year 2020-2024 Guam Consolidated Plan) for projects which have been approved in a prior year and which is being proposed continued FY21 funds to address the needs of homeless persons through the provision of support services, homeless prevention assistance and rapid re-housing assistance. ESG funds will also be used to fund grant administration.

- 1 Family Services Center/One-Stop Homeless Assistance Center (2020)
- 2 Guam Menhoben (2020)
- 3 Grant Administration

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

Applicant Federal Debt Delinquency Explanation:

[Empty text box for Applicant Federal Debt Delinquency Explanation]

**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-546) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§275a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

|  |                                  |
|--|----------------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL<br> | TITLE<br>Acting Governor of Guam |
| APPLICANT ORGANIZATION<br>Government of Guam/Guam Housing and Urban Renewal Authority  | DATE SUBMITTED<br>8/3/21         |

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ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 d-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.


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Standard Form 424D (Rev. 7-97)  
Prescribed by OMB Circular A-102



11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

|  |                                  |
|--|----------------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL<br> | TITLE<br>Acting Governor of Guam |
| APPLICANT ORGANIZATION<br>Government of Guam/Guam Housing and Urban Renewal Authority  | DATE SUBMITTED<br>8/3/21         |

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**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

  
Lourdes A. Leon Guerrero  
Signature of Authorized Official

8/24/2024  
Date

**SIGN & DATE**

Governor of Guam  
Title

### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

**1. Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

**2. Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2021, 2022, 2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

**3. Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
Lourdes A. Leon Guerrero  
Signature of Authorized Official

8/24/2021  
Date

**SIGN & DATE**

Governor of Guam  
Title

**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

N/A  
Signature of Authorized Official

                      
Date

N/A  
Title

**Specific HOME Certifications**

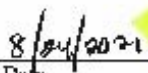
The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
Lourdes A. Leon Guerrero  
Signature of Authorized Official

  
Date

**SIGN & DATE**

Governor of Guam  
Title

## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
Lourdes A. Leon Guerrero  
Signature of Authorized Official

8/24/2021  
Date

**SIGN & DATE**

Governor of Guam  
Title



**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

N/A  
Signature of Authorized Official

\_\_\_\_\_  
Date

N/A  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |  |  |  |
|---|--|--|--|
| <b>1.a. Type of Submission:</b><br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Plan<br><input type="checkbox"/> Funding Request<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  | <b>1.b. Frequency:</b><br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  |
|   |  | <b>1.d. Version:</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update              |  |
|   |  | <b>2. Date Received:</b><br><input type="text"/>   |  |
|   |  | <b>STATE USE ONLY:</b>   |  |
|   |  | <b>3. Applicant Identifier:</b><br><input type="text"/>  |  |
|   |  | <b>5. Date Received by State:</b><br><input type="text"/>  |  |
|   |  | <b>4a. Federal Entity Identifier:</b><br><input type="text"/>  |  |
|   |  | <b>6. State Application Identifier:</b><br><input type="text"/>  |  |
|   |  | <b>4b. Federal Award Identifier:</b><br><input type="text"/>   |  |
| <b>1.c. Consolidated Application/Plan/Funding Request?</b><br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="text" value="Explanation"/>  |  |  |  |
| <b>7. APPLICANT INFORMATION:</b>  |  |  |  |
| <b>a. Legal Name:</b><br><input type="text" value="Government of Guan"/>  |  |  |  |
| <b>b. Employer/Taxpayer Identification Number (EIN/TIN):</b><br><input type="text" value="96-0001279"/>   |  | <b>c. UEI:</b><br><input type="text" value="855031519000"/>  |  |
| <b>d. Address:</b>  |  |  |  |
| <b>Street1:</b><br><input type="text" value="117 Bien Venida Avenue"/>  |  | <b>Street2:</b><br><input type="text"/>  |  |
| <b>City:</b><br><input type="text" value="Sinajana"/>   |  | <b>County / Parish:</b><br><input type="text"/>  |  |
| <b>State:</b><br><input type="text" value="GU: Guan"/>  |  | <b>Province:</b><br><input type="text"/>   |  |
| <b>Country:</b><br><input type="text" value="USA: UNITED STATES"/>  |  | <b>Zip / Postal Code:</b><br><input type="text" value="96910-3643"/>   |  |
| <b>e. Organizational Unit:</b>  |  |  |  |
| <b>Department Name:</b><br><input type="text"/>   |  | <b>Division Name:</b><br><input type="text"/>  |  |
| <b>f. Name and contact information of person to be contacted on matters involving this submission:</b>  |  |  |  |
| <b>Prefix:</b><br><input type="text" value="Mr."/>  | <b>First Name:</b><br><input type="text" value="Ray"/> | <b>Middle Name:</b><br><input type="text" value="S"/>  |  |
| <b>Last Name:</b><br><input type="text" value="Popasoa"/>   |  | <b>Suffix:</b><br><input type="text"/>   |  |
| <b>Title:</b> <input type="text" value="Executive Director"/>   |  |  |  |
| <b>Organizational Affiliation:</b><br><input type="text" value="Guan Housing and Urban Renewal Authority"/>   |  |  |  |
| <b>Telephone Number:</b> <input type="text" value="1-671-675-1378"/>  |  | <b>Fax Number:</b> <input type="text" value="1-671-380-7563"/>   |  |
| <b>Email:</b> <input type="text" value="rpopasoa@ghura.org"/>   |  |  |  |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY  |   |
|--|---|
| <b>8a. TYPE OF APPLICANT:</b>  |   |
| <input type="text" value="P: U.S. Territory or Possession"/>   |   |
| Other (specify):<br><input type="text"/>   |   |
| <b>b. Additional Description:</b><br><input type="text"/>  |   |
| <b>9. Name of Federal Agency:</b><br><input type="text" value="U. S. Department of Housing and Urban Development"/>  |   |
| <b>10. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14.225"/>   |   |
| <b>CFDA Title:</b><br><input type="text" value="Community Development Block Grant/ Special Purpose Grants/ Insular Areas"/>  |   |
| <b>11. Descriptive Title of Applicant's Project:</b><br><input type="text" value="CDBG funds will be used to address the needs of low/mod income populations and special needs populations through the construction of facilities and the provision of services."/>  |   |
| <b>12. Areas Affected by Funding:</b><br><input type="text" value="Guan Island-wide and specific identified village-level service areas according to available Census data determined as comprised of beneficiaries at 51% or greater low/mod income individuals."/> |   |
| <b>13. CONGRESSIONAL DISTRICTS OF:</b>   |   |
| <b>a. Applicant:</b><br><input type="text" value="Guan"/>  | <b>b. Program/Project:</b><br><input type="text" value="GU-098"/> |
| Attach an additional list of Program/Project Congressional Districts if needed.<br><input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>                |   |
| <b>14. FUNDING PERIOD:</b>   |   |
| <b>a. Start Date:</b><br><input type="text" value="10/01/2021"/>   | <b>b. End Date:</b><br><input type="text" value="09/30/2025"/>    |
| <b>15. ESTIMATED FUNDING:</b>  |   |
| <b>a. Federal (\$):</b><br><input type="text" value="3,153,352.00"/>   | <b>b. Match (\$):</b><br><input type="text" value="0.00"/>        |
| <b>16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?</b>   |   |
| <input checked="" type="checkbox"/> a. This submission was made available to the State under the Executive Order 12372 Process for review on: <input type="text" value="07/26/2021"/>  |   |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by State for review.  |   |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372.  |   |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |   |
|---|---|
| 17. Is The Applicant Delinquent On Any Federal Debt?  |   |
| Yes <input type="checkbox"/>  | No <input checked="" type="checkbox"/> <input type="text" value="Explanation"/> |
| 18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) |   |
| ** I Agree <input checked="" type="checkbox"/>  |   |
| ** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.  |   |
| Authorized Representative:  |   |
| Prefix:<br><input type="text" value="Mr."/>   | First Name:<br><input type="text" value="Joshua"/>                              |
| Middle Name:<br><input type="text" value="F"/>  |   |
| Last Name:<br><input type="text" value="Tenorio"/>  |   |
| Suffix:<br><input type="text"/>   | Title:<br><input type="text" value="Acting Governor of Guam"/>                  |
| Organizational Affiliation:<br><input type="text"/>   |   |
| Telephone Number:<br><input type="text" value="1-671-475-8931"/>  |   |
| Fax Number:<br><input type="text" value="1-671-477-4826"/>  |   |
| Email:<br><input type="text" value="joshua.tenorio@guam.gov"/>  |   |
| Signature of Authorized Representative:<br><input type="text" value="Joshua Tenorio"/>  |   |
| Date Signed:<br><input type="text" value="8/3/21"/>   |   |
| Attach supporting documents as specified in agency instructions.  |   |
| <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>  |   |

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Consolidated Application/Plan/Funding Request Explanation:**

The Program Year 2021 Annual Action Plan is mandated by the U.S. Dept. of Housing and Urban Development (HUD) Office of Community Planning and Development for the use of Community Development Block Grant (CDBG) funds. The Government of Guam is the Grantee recipient of these HUD CDBG funds. The Guam Housing and Urban Renewal Authority is an agency of the Government of Guam and the assigned administrator of HUD CDBG funds on behalf of the Grantee.

This SF-424 is specific to CDBG Program Activities proposed for funding for Program Year 2021 (the 2nd year of the the five-year 2020-2024 Guam Consolidated Plan for projects and activities which have been approved in prior years and which require additional funding to complete them or continued funding.

The Community Development Block Grant slate of projects proposed for funding in Py2021 are listed below.

- 1 Phase II Design and Development for PR and TR (2017)
- 2 Inalihan Basketball Court, new construction (2017)
- 3 Central Community Arts Hall (2018)
- 4 Sinejana Fire Station (2019)
- 5 Eastern Sub-Station (2020)
- 6 Grant Planning
- 7 Grant Administration
- 8 GOURA Housing Counseling Program (2019)
- 9 The Opportunity Initiative 2020 (2020)
- 10 Homeless Management Information System (2020)
- 11 Family Services Center (2020)
- 12 Lighthouse Recovery Center (2020)

Proposed activities 1 through 5 focus address the need for Public Facilities and Improvements serving residents of identified service areas where no less than 51% of the residents are of low/mod income.

Proposed activities 6 & 7 address the administrative and planning of CDBG activities.

Proposed activities 8 through 12 focus on public service needs of eligible populations determined eligible by eligibility-specific criteria.

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Applicant Federal Debt Delinquency Explanation:**

[Empty text box for Applicant Federal Debt Delinquency Explanation]

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |  |  |   |
|---|--|--|---|
| <b>1.a. Type of Submission:</b><br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Plan<br><input type="checkbox"/> Funding Request<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  | <b>1.b. Frequency:</b><br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |   |
|   |  | <b>1.d. Version:</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update              |   |
|   |  | <b>2. Date Received:</b><br><input type="text"/>   | <b>STATE USE ONLY:</b>  |
|   |  | <b>3. Applicant Identifier:</b><br><input type="text"/>  | <b>5. Date Received by State:</b><br><input type="text"/>       |
|   |  | <b>4a. Federal Entity Identifier:</b><br><input type="text"/>  | <b>6. State Application Identifier:</b><br><input type="text"/> |
|   |  | <b>4b. Federal Award Identifier:</b><br><input type="text"/>   |   |
| <b>1.c. Consolidated Application/Plan/Funding Request?</b><br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="button" value="Explanation"/>  |  |  |   |
| <b>7. APPLICANT INFORMATION:</b>  |  |  |   |
| <b>a. Legal Name:</b><br><input type="text" value="Government of Guam"/>  |  |  |   |
| <b>b. Employer/Taxpayer Identification Number (EIN/TIN):</b><br><input type="text" value="96-0001279"/>   |  | <b>c. UEI:</b><br><input type="text" value="855031515000"/>  |   |
| <b>d. Address:</b>  |  |  |   |
| <b>Street1:</b><br><input type="text" value="117 Sien Venida Avenue"/>  |  | <b>Street2:</b><br><input type="text"/>  |   |
| <b>City:</b><br><input type="text" value="Sinajana"/>   |  | <b>County / Parish:</b><br><input type="text"/>  |   |
| <b>State:</b><br><input type="text" value="GU: Guam"/>  |  | <b>Province:</b><br><input type="text"/>   |   |
| <b>Country:</b><br><input type="text" value="USA: UNITED STATES"/>  |  | <b>Zip / Postal Code:</b><br><input type="text" value="96910-3643"/>   |   |
| <b>e. Organizational Unit:</b>  |  |  |   |
| <b>Department Name:</b><br><input type="text"/>   |  | <b>Division Name:</b><br><input type="text"/>  |   |
| <b>f. Name and contact information of person to be contacted on matters involving this submission:</b>  |  |  |   |
| <b>Prefix:</b><br><input type="text" value="Mr."/>  | <b>First Name:</b><br><input type="text" value="Ray"/> | <b>Middle Name:</b><br><input type="text" value="S"/>  |   |
| <b>Last Name:</b><br><input type="text" value="Tupasna"/>   |  | <b>Suffix:</b><br><input type="text"/>   |   |
| <b>Title:</b> <input type="text" value="Executive Director"/>   |  |  |   |
| <b>Organizational Affiliation:</b><br><input type="text" value="Guam Housing and Urban Renewal Authority"/>   |  |  |   |
| <b>Telephone Number:</b> <input type="text" value="1-671-475-1378"/>  |  | <b>Fax Number:</b> <input type="text" value="1-671-300-7365"/>   |   |
| <b>Email:</b> <input type="text" value="rtupasna@ghura.org"/>   |  |  |   |



| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY  |  |
|--|--|
| <b>8a. TYPE OF APPLICANT:</b>  |  |
| <input type="text" value="F: U.S. Territory or Possession"/>   |  |
| Other (specify):<br><input type="text"/>   |  |
| b. Additional Description:<br><input type="text"/>   |  |
| <b>9. Name of Federal Agency:</b>  |  |
| <input type="text" value="U. S. Department of Housing and Urban Development"/>   |  |
| <b>10. Catalog of Federal Domestic Assistance Number:</b>  |  |
| <input type="text" value="14.239"/>  |  |
| CFDA Title:<br><input type="text" value="HOME Investment Partnerships Program"/>   |  |
| <b>11. Descriptive Title of Applicant's Project:</b>   |  |
| <input type="text" value="HOME funds will be used to address the needs of three low/mod income first time home buyers and for the administration and planning of HOME activities."/>   |  |
| <b>12. Areas Affected by Funding:</b>  |  |
| <input type="text" value="Guam-island wide."/>   |  |
| <b>13. CONGRESSIONAL DISTRICTS OF:</b>   |  |
| a. Applicant:<br><input type="text" value="Guam"/>   | b. Program/Project:<br><input type="text" value="GU-098"/> |
| Attach an additional list of Program/Project Congressional Districts if needed.<br><input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="New Attachment"/> |  |
| <b>14. FUNDING PERIOD:</b>   |  |
| a. Start Date:<br><input type="text" value="10/01/2021"/>  | b. End Date:<br><input type="text" value="09/30/2025"/>    |
| <b>15. ESTIMATED FUNDING:</b>  |  |
| a. Federal (\$):<br><input type="text" value="1,053,975.00"/>  | b. Match (\$):<br><input type="text" value="0.00"/>        |
| <b>16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?</b>   |  |
| <input checked="" type="checkbox"/> a. This submission was made available to the State under the Executive Order 12372 Process for review on: <input type="text" value="07/26/2021"/>  |  |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by State for review.  |  |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372.  |  |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY  |  |
|--|--|
| <p>17. Is The Applicant Delinquent On Any Federal Debt?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <input type="button" value="Explanation"/></p>  |  |
| <p>18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</p> <p>** I Agree <input checked="" type="checkbox"/></p> <p>** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</p> |  |
| <b>Authorized Representative:</b>  |  |
| <p>Prefix:</p> <input type="text"/>  | <p>First Name:</p> <input type="text" value="Joshua"/>             |
| <p>Middle Name:</p> <input type="text"/>   |  |
| <p>Last Name:</p> <input type="text" value="Teorio"/>  |  |
| <p>Suffix:</p> <input type="text"/>  | <p>Title:</p> <input type="text" value="Acting Governor of Guam"/> |
| <p>Organizational Affiliation:</p> <input type="text"/>  |  |
| <p>Telephone Number:</p> <input type="text" value="1-671-475-6933"/>   |  |
| <p>Fax Number:</p> <input type="text" value="1-671-477-4825"/>   |  |
| <p>Email:</p> <input type="text" value="joshua.teorio@gum.gov"/>   |  |
| <p>Signature of Authorized Representative:</p> <input type="text" value="Joshua Teorio"/>  |  |
| <p>Date Signed:</p> <input type="text" value="8/3/21"/>  |  |
| <p>Attach supporting documents as specified in agency instructions.</p> <p><input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/></p>  |  |

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Consolidated Application/Plan/Funding Request Explanation:**

The Program Year 2021 Annual Action Plan is mandated by the U.S. Dept. of Housing and Urban Development (HUD) Office of Community Planning and Development for the use of HOME Investment Partnerships Program (HOME) funds. The Government of Guam is the Participating Jurisdiction (PJ) recipient of these HUD HOME funds. The Guam Housing and Urban Renewal Authority is an agency of the Government of Guam and the assigned administrator of HUD HOME funds on behalf of the PJ.

This SF-424 is specific to HOME activities proposed for funding in Program Year 2021 (the 2nd year of the the five-year 2020-2024 Guam Consolidated Plan) for a project which has been approved in a prior year and which is being proposed Py21 funds to construct three additional homes. HOME funds will also be used to fund grant administration and planning activities. The home activity is a recognized homebuyer activity.

- 1 Homebuyer New Construction Build On Your Own Land (2020)
- 2 Grant Administration & Planning

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Applicant Federal Debt Delinquency Explanation:**

[Empty text box for Applicant Federal Debt Delinquency Explanation]

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |  |  |   |
|---|--|--|---|
| <b>1.a. Type of Submission:</b><br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Plan<br><input type="checkbox"/> Funding Request<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |  | <b>1.b. Frequency:</b><br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Other<br>Other (specify):<br><input type="text"/> |   |
|   |  | <b>1.d. Version:</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Resubmission <input type="checkbox"/> Revision <input type="checkbox"/> Update              |   |
|   |  | <b>2. Date Received:</b><br><input type="text"/>   | <b>STATE USE ONLY:</b>  |
|   |  | <b>3. Applicant Identifier:</b><br><input type="text"/>  | <b>5. Date Received by State:</b><br><input type="text"/>       |
|   |  | <b>4a. Federal Entity Identifier:</b><br><input type="text"/>  | <b>6. State Application Identifier:</b><br><input type="text"/> |
|   |  | <b>4b. Federal Award Identifier:</b><br><input type="text"/>   |   |
| <b>1.c. Consolidated Application/Plan/Funding Request?</b><br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="text" value="Explanation"/>  |  |  |   |
| <b>7. APPLICANT INFORMATION:</b>  |  |  |   |
| <b>a. Legal Name:</b><br><input type="text" value="Government of Guam"/>  |  |  |   |
| <b>b. Employer/Taxpayer Identification Number (EIN/TIN):</b><br><input type="text" value="96-0001279"/>   |  | <b>c. UEI:</b><br><input type="text" value="855031519003"/>  |   |
| <b>d. Address:</b>  |  |  |   |
| <b>Street1:</b><br><input type="text" value="117 Bien Venida Avenue"/>  |  | <b>Street2:</b><br><input type="text"/>  |   |
| <b>City:</b><br><input type="text" value="Ginafana"/>   |  | <b>County / Parish:</b><br><input type="text"/>  |   |
| <b>State:</b><br><input type="text" value="GU: Guam"/>  |  | <b>Province:</b><br><input type="text"/>   |   |
| <b>Country:</b><br><input type="text" value="USA: UNITED STATES"/>  |  | <b>Zip / Postal Code:</b><br><input type="text" value="96910-3643"/>   |   |
| <b>e. Organizational Unit:</b>  |  |  |   |
| <b>Department Name:</b><br><input type="text"/>   |  | <b>Division Name:</b><br><input type="text"/>  |   |
| <b>f. Name and contact information of person to be contacted on matters involving this submission:</b>  |  |  |   |
| <b>Prefix:</b><br><input type="text" value="Mr."/>  | <b>First Name:</b><br><input type="text" value="Ray"/> | <b>Middle Name:</b><br><input type="text" value="S"/>  |   |
| <b>Last Name:</b><br><input type="text" value="Topasna"/>   |  | <b>Suffix:</b><br><input type="text"/>   |   |
| <b>Title:</b> <input type="text" value="Executive Director"/>   |  |  |   |
| <b>Organizational Affiliation:</b><br><input type="text" value="Guam Housing and Urban Renewal Authority"/>   |  |  |   |
| <b>Telephone Number:</b> <input type="text" value="1-671-475-1378"/>  |  | <b>Fax Number:</b> <input type="text" value="1-671-300-7555"/>   |   |
| <b>Email:</b> <input type="text" value="rstopasna@ghura.org"/>  |  |  |   |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |   |
|---|---|
| <b>8a. TYPE OF APPLICANT:</b>   |   |
| <input type="text" value="Pr. U.S. Territory of Possession"/>   |   |
| Other (specify):<br><input type="text"/>  |   |
| <b>b. Additional Description:</b><br><input type="text"/>   |   |
| <b>9. Name of Federal Agency:</b><br><input type="text" value="U. S. Department of Housing and Urban Development"/>   |   |
| <b>10. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14.233"/>  |   |
| <b>CFDA Title:</b><br><input type="text" value="Emergency Solutions Grant Program"/>  |   |
| <b>11. Descriptive Title of Applicant's Project:</b><br><input type="text" value="Guam's ESG funds will be used to provide ongoing funding to organizations whose programs address the needs of the homeless through services and those at-risk of homelessness to address rapid rehousing, homeless prevention."/> |   |
| <b>12. Areas Affected by Funding:</b><br><input type="text" value="Guam Island-wide."/>   |   |
| <b>13. CONGRESSIONAL DISTRICTS OF:</b>  |   |
| <b>a. Applicant:</b><br><input type="text" value="Guam"/>   | <b>b. Program/Project:</b><br><input type="text" value="GU-09B"/> |
| Attach an additional list of Program/Project Congressional Districts if needed.<br><input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>   |   |
| <b>14. FUNDING PERIOD:</b>  |   |
| <b>a. Start Date:</b><br><input type="text" value="10/01/2021"/>  | <b>b. End Date:</b><br><input type="text" value="09/30/2023"/>    |
| <b>15. ESTIMATED FUNDING:</b>   |   |
| <b>a. Federal (\$):</b><br><input type="text" value="258,941.00"/>  | <b>b. Match (\$):</b><br><input type="text" value="0.00"/>        |
| <b>16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?</b>  |   |
| <input checked="" type="checkbox"/> a. This submission was made available to the State under the Executive Order 12372 Process for review on: <input type="text" value="05/26/2021"/>   |   |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by State for review.   |   |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372.   |   |

| APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY   |   |
|---|---|
| 17. Is The Applicant Delinquent On Any Federal Debt?  |   |
| Yes <input type="checkbox"/>  | No <input checked="" type="checkbox"/> <input type="text" value="Explanation"/>                   |
| 18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) |   |
| ** I Agree <input checked="" type="checkbox"/>  |   |
| ** This list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.  |   |
| Authorized Representative:  |   |
| Prefix:<br><input type="text" value="Mr."/>   | First Name:<br><input type="text" value="Joshua"/>  |
| Middle Name:<br><input type="text" value=""/>   |   |
| Last Name:<br><input type="text" value="Tenorio"/>  |   |
| Suffix:<br><input type="text" value=""/>  | Title:<br><input type="text" value="Acting Governor of Guam"/>                                    |
| Organizational Affiliation:<br><input type="text" value=""/>  |   |
| Telephone Number:<br><input type="text" value="1-671-475-8931"/>  |   |
| Fax Number:<br><input type="text" value="1-671-477-4826"/>  |   |
| Email:<br><input type="text" value="joshua.tenorio@guam.gov"/>  |   |
| Signature of Authorized Representative:<br><input type="text" value="Joshua Tenorio"/>  |   |
| Date Signed:<br><input type="text" value="8/3/21"/>   |   |
| Attach supporting documents as specified in agency instructions.  |   |
| <input type="button" value="Add Attachments"/>  | <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> |

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**Consolidated Application/Plan/Funding Request Explanation:**

The Program Year 2021 Annual Action Plan is mandated by the U.S. Dept. of Housing and Urban Development (HUD) Office of Community Planning and Development for the use of Emergency Solutions Grant (ESG) funds. The Government of Guam is the Grantee recipient of these HUD ESG funds. The Guam Housing and Urban Renewal Authority is an agency of the Government of Guam and the assigned administrator of ESG funds on behalf of the Grantee.

This SF-424 is specific to ESG activities proposed for funding in Program Year 2021 (the 2nd year of the the five-year 2020-2024 Guam Consolidated Plan) for projects which have been approved in a prior year and which is being proposed continued FY21 funds to address the needs of homeless persons through the provision of support services, homeless prevention assistance and rapid re-housing assistance. ESG funds will also be used to fund grant administration.

- 1 Family Services Center/One-Stop Homeless Assistance Center (2020)
- 2 Guam Menhoben (2020)
- 3 Grant Administration



**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

Applicant Federal Debt Delinquency Explanation:

[Empty text box for Applicant Federal Debt Delinquency Explanation]

**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-546) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§275a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

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| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL<br> | TITLE<br>Acting Governor of Guam |
| APPLICANT ORGANIZATION<br>Government of Guam/Guam Housing and Urban Renewal Authority  | DATE SUBMITTED<br>8/3/21         |

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ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 d-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

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| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL<br> | TITLE<br>Acting Governor of Guam |
| APPLICANT ORGANIZATION<br>Government of Guam/Guam Housing and Urban Renewal Authority  | DATE SUBMITTED<br>8/13/21        |

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**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

  
Joshua F. Tenorio  
Signature of Authorized Official

8/3/21  
Date

Acting Governor of Guam  
Title

## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** – Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2021, 2022, 2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
Joshua F. Tenorio  
\_\_\_\_\_  
Signature of Authorized Official

8/3/21  
\_\_\_\_\_  
Date

Lieutenant Governor of Guam  
\_\_\_\_\_  
Title



**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

N/A  
Signature of Authorized Official

\_\_\_\_\_  
Date

N/A  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** – Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
Joshua F. Tenorio  
Signature of Authorized Official

5/3/21  
Date

Acting Governor of Guam  
Title

## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

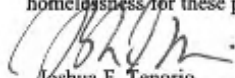
**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
Joshua F. Tenorio  
Signature of Authorized Official

8/3/21  
Date

Acting Governor of Guam  
Title

**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

N/A  
Signature of Authorized Official

\_\_\_\_\_  
Date

N/A  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.