



U.S. Department of Housing and Urban Development

Honolulu Field Office – Region IX  
1132 Bishop Street, Suite 1400  
Honolulu, Hawaii 96813  
[www.hud.gov](http://www.hud.gov)  
[espanol.hud.gov](http://espanol.hud.gov)

March 15, 2019

The Honorable Lou Leon Guerrero  
Governor of Guam  
Office of the Governor  
P.O. Box 2950  
Hagatna, GU 96932



Dear Governor Guerrero:

Subject: Annual Community Assessment for Program Year 2017

This letter encloses HUD's assessment of the Government of Guam's (Guam's) performance for its 2017 Community Planning and Development (CPD) Program Year. The report reflects Guam's efforts to ensure compliance with HUD Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Shelter Grant (ESG) programs.

As part of HUD's annual review, the Department wants to take this opportunity to address the CDBG program timeliness requirements, and the importance it plays in ensuring that the intent of the CDBG program is achieved. HUD CDBG regulations require recipients to carry out their CDBG activities in a timely manner thus ensuring low- and moderate-income persons are assisted. The standard requires insular grantees to be at a 2.0 CDBG timeliness ratio 60 days prior to the end of their program year. In other words, Guam's CDBG line of credit cannot exceed its current years grant times two. Guam's program year ends September 30 of each year. About August 2nd of each year, or 60 days prior to the end of Guam's program year, Guam must have a CDBG grant ratio of 2.0 or less. During this period, Guam had a CDBG timeliness ratio of 1.96.

HUD congratulates Guam for taking affirmative steps to meet the HOME Investment Partnerships (HOME) Program commitment and expenditure requirements. HUD encourages Guam to continue to closely monitor its CDBG and HOME projects to ensure the programs' continued success.

HUD would like to recognize that the staff responsible for Guam's CPD programs is highly committed to the programs. Their commitment to the program objectives has resulted in Guam achieving many of the CPD programs' objectives and ensuring that low- and moderate-income persons have an opportunity to succeed. To further this endeavor, HUD has provided opportunities for your staff to receive technical assistance on various topics.

In closing, should Guam wish to comment on the enclosed report, please provide your comments to HUD within 30 days of the date of this letter. Should Guam choose not to comment or fails to comment within the period identified above, the report will be considered final and must be made available to the public. HUD recommends you handle availability of the report the same way you handle your regular program reports by providing a notice in the newspaper, identifying the report contents and where it is available for review.

Again, HUD looks forward to our continued partnership with Guam in serving the needs of its citizens. If you have any questions, please call me at 808-457-4678.

Sincerely,

A handwritten signature in dark ink, appearing to read "Mark A. Chandler", with a stylized flourish at the end.

Mark A. Chandler, Director  
Office of Community Planning  
and Development

Enclosure

cc:

Mr. Ray Topasna (w/enclosure)  
Executive Director  
Guam Housing and Urban Renewal Authority  
117 Bien Venida Ave.  
Sinajana, GU 96910

# **ANNUAL COMMUNITY ASSESSMENT REPORT**

## **Guam**

**Program Year: October 1, 2017 to September 30, 2018**

### **HUD Point of Contact:**

Mr. Brian R. Johnson  
CPD Representative  
Office of Community Planning  
and Development  
HUD Honolulu Field Office  
500 Ala Moana Boulevard, Suite 3A  
Honolulu, HI 96813  
808-522-8180, x263

### **Guam Point of Contact:**

Mr. Ray Topasna  
Executive Director  
Guam Housing and Urban  
Renewal Authority  
117 Bien Venida Ave.  
Sinajana, GU 96926  
671-475-1378

## **Introduction**

The regulations implementing the Housing and Community Development Act of 1974, as amended, and the National Affordable Housing Act of 1990, require federal grant recipients receiving federal assistance to submit an annual performance report disclosing the status of grant activities. The Department of Housing and Urban Development (HUD) is required by 24 CFR 91.525 to determine whether the grant recipient is in compliance with the statutes and has the continuing capacity to implement and administer the programs for which assistance is received. In accordance with 24 CFR 91.525, the comments below incorporate HUD's assessment of Guam's Program Year 2017 performance.

In assessing Guam's performance, HUD relied primarily upon Guam's Program Year 2017 Consolidated Annual Performance and Evaluation Report (CAPER), technical assistance, on-site monitoring, and communications with Guam's federal programs staff. During this period, HUD has determined that Guam generally met the intent of the Community Planning and Development (CPD) programs.

## **Significant Performance Conclusions**

Based on Guam's accomplishments through the Community Development Block Grant (CDBG), the HOME Investment Partnerships Program (HOME), the Emergency Solutions Grant (ESG), and Continuum of Care programs, Guam has demonstrated its ability to carry out various housing and community development programs.

Guam satisfactorily administers the CPD grant funds. In accordance with the CDBG regulations, the timeliness ratio benchmark should be 2.00 sixty days prior to the end of Guam's program year. Guam's CDBG timeliness ratio was 1.96 as of



August 2, 2017. HUD congratulates Guam on its accomplishments and encourages Guam to continue seeking ways for timely project implementation.

## **CPD Programs**

### **CDBG**

Guam used CDBG funds to address housing and special needs housing, fair housing, homelessness, and community development needs during program year 2017. Guam's management of its CDBG program has ensured that low- and moderate-income persons will primarily benefit and receive program assistance. During the Program Year, 100 percent of CDBG funds (excluding funds expended for administration and planning) were spent on activities that benefited low and moderate-income persons.

HUD noted that Guam has continued with construction of the of the Central Precinct Command, the rehabilitation of the Umatac Baseball Field, the construction of the Inarajan Basketball Court, and the ongoing rehabilitation of the Sinajana Recreation Center.

Ongoing projects include the funding the Lighthouse Recovery Center, a 28-bed transitional substance abuse facility that provides evidence-based substance abuse treatment to homeless, low-and moderate-income, and at-risk men seeking recovery services. CDBG funds were used to provide services to increase economic and educational opportunities through on-site enrichment activities and curriculum-based programs to enhance work readiness and literacy skills for adults and youth through the Opportunity Initiative which is managed by the Big Brothers Big Sisters of Guam. Also, CDBG funds were used to fund the Family Services Center.

Guam's Consolidated Plan describes the following four priority concerns that will be addressed through the CDBG program: homelessness, community development, housing and special needs housing, and fair housing.

Guam has one at risk CDBG activity. Guam needs to complete the following at risk activity and bring it into national objective compliance: Renovation of 5 Staff Housing at Talofofo.

HUD congratulates Guam on meeting its CDBG timeliness requirement for Program Year 2017. As a reminder, please be informed that maintaining timeliness for the CDBG program is an essential program requirement. HUD and Congress have mandated that grantees meet the timeliness standard and that failure to comply with this standard would result in Guam being placed on a CDBG Timeliness Workout Plan and the potential loss of future funds. Therefore, HUD strongly encourages Guam to continue its timely implementation of the CDBG program.

## **HOME**

In Program Year 2017, Guam received \$748,722 in HOME funds and expended \$1,209,535 of which \$356,030 was program income. Guam utilized HOME funds to construct five (5) new homes and acquired three (3) existing homes for low-and-moderate income families. During this period Guam 's HOME program funded the construction of eight (8) new homes. HUD recognizes that Guam is expending its HOME funds toward projects that will assist low and moderate-income persons.

Guam has a HOME Program outcome in the Consolidated Plan, Homeowner Housing Rehab, that is not attainable. To date, Guam has fallen short of reaching its goal of rehabilitating 200 homes, in large part due to the difficulty of obtaining program participants. In PY2017, there were no applicants eligible for the program. While Guam completed the construction of 5 new homes and acquired 3 existing units under HOME in PY2017, Guam is still behind in its goal to complete 40 units within the 5-year period. This is due to ongoing, unanticipated labor shortages in the construction industry.

## **ESG and Continuum of Care**

In Program Year 2017, Guam has implemented its ESG and Continuum of Care (CoC) programs in a manner that is assisting Guam's homeless population. HUD encourages Guam to continue its successful homeless programs. During the program year, Guam and its sub-recipients have utilized its homeless funds to operate a housing facility providing shelter and supportive services to homeless men recovering from chronic substance abuse, provide tenant-based rental assistance to homeless and disabled individuals, and provide permanent supportive housing to homeless adults with disabilities. Also, ESG covered rental and utility assistance for persons and individuals on the verge of becoming homeless. Eligible households can also receive housing relocation and stabilization services such as counseling, case management and money management classes.

During PY 2017, Guam Housing and Urban Renewal Authority (GHURA) was the collaborative applicant for the Guam Continuum of Care (CoC). The Guam CoC application was awarded \$1,078,786 in CoC funding for four permanent housing projects, one HMIS, and one CoC planning project. GHURA works in partnership with Guam Homeless Coalition to develop, enhance and implement a Continuum of Care strategy for the homeless. HUD wishes GHURA and its partners continued success in implementing actions to end homelessness.

## **Community Empowerment**

As part of its Consolidated Plan, Guam developed a Citizen Participation Plan. The Plan is intended to generate ways to involve the public in planning and the reporting process for the CPD programs. GHURA received no oral and written public comments



during the citizen participation period for the 2017 CAPER. HUD encourages Guam to continue to foster public participation, as well as to explore additional opportunities to involve the public in its planning process.

### **Management of Funds**

HUD has noticed that Guam is continuing to expend funds in a timely manner and is in compliance with the HUD regulations. HUD acknowledges that Guam staff who are responsible for the CPD programs are working diligently to ensure HUD funding is spent in accordance with program requirements.

### **Areas for Improvement and Recommendations**

Guam needs to identify project obstacles and develop plans to address these obstacles in order to strengthen its programs.

### **CAPER Submission**

HUD notes that the CAPER was submitted on time and completed using the report in the Integrated Disbursement and Information System (IDIS). Overall, Guam's report was informative and generally educated the public regarding the status of Guam's CPD projects. HUD commends the GHURA staff for its efforts in improving the CAPER.

### **Fair Housing & Equal Opportunity**

HUD notes that Guam's Analysis of Impediments (AI) was completed in July 2011, and the Comprehensive Housing Study was completed in August 2009 which included the compiling of data relevant to developing the updated AI. HUD encourages Guam to continue its activities that address the impediments identified in the AI document. The CAPER was forwarded to Mr. Jelani Madaraka, Lead Civil Rights Analyst, Honolulu Field Office, to review for compliance with Fair Housing and Equal Opportunity requirements. He will forward any comments or questions he may have under separate cover.

### **Conclusion**

Overall, Guam is meeting its community needs by planning and executing a Consolidated Plan with a vision for the future, and by implementing this Plan through its Annual Action Plan. As a result, Guam has assisted many communities and especially low- to moderate-income persons. HUD encourages Guam to continue its support of various housing and community development programs, and especially wants to recognize

GHURA's staff for their dedication to the CPD programs. Their hard work and efforts are a credit to Guam and its CPD programs.

## **CR-05 - Goals and Outcomes**

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Guam has made progress toward addressing the goals established in Guam's Consolidated Plan and its 2017 Annual Action Plan. The accomplishments can be found in the following areas: 1. Public Facilities and Improvements; 2. Sustain Affordable Housing Stock; and 3. Community Enhancement. Public Facilities and Improvements projects made up 70% of the CDBG funds, while Public Services used 10% of the CDBG budget. Funds for administration and planning activities totaled 20% of the budget.

In this reporting year, Guam set out to address PFI through the funding of: 1) Dept. of Parks and Recreation - Baseball Park Enhancements, 2) Construction of the Inarajan Basketball Court, 3) Ongoing Rehabilitation of the Umatac Baseball Field, and 4) Ongoing Rehabilitation of the Sinajana Recreation Center. These projects will serve areas where at least 51% of the persons who benefit are low-and moderate income. In total, these projects will assist 58,355 low-and moderate-income persons. The priority need addressed for all four projects is Non-Housing Community Development.

Guam also set out to Sustain Affordable Housing Stock by utilizing \$898,722 in HOME funds to construct three (3) homes for low-and-moderate income individuals who have property, but not the resources to build. Finally, Guam addressed its goal of Community Enhancement by funding the following projects: Lighthouse Recovery Center, Family Services Center, The Opportunity Initiative, Homeless Management Information System, and Homeless Prevention & Rapid Re-Housing Services.

### **Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.



Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$ / ESG: \$348834	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	15000	10765	71.77%	1610	115	7.14%
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$ / ESG: \$348834	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0		55	38	69.09%
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$ / ESG: \$348834	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	500	193	38.60%			
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$ / ESG: \$348834	Homelessness Prevention	Persons Assisted	200	73	36.50%			
Increase Homeowner Education and Counseling	Affordable Housing		Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	50	5	10.00%			
Job Creation and Job Retention	Non-Homeless Special Needs Non-Housing Community Development		Jobs created/retained	Jobs	500	0	0.00%			

Promote Fair Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs		Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	100	8	8.00%			
Public Facilities and Improvement	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	50000	77929	155.86%	10000	28777	287.77%
Public Transportation Infrastructure Improvements	Non-Housing Community Development		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	0	0.00%			
Special Needs and Low-to-Moderate Income Housing	Affordable Housing Non-Homeless Special Needs		Rental units constructed	Household Housing Unit	200	333	166.50%			
Special Needs and Low-to-Moderate Income Housing	Affordable Housing Non-Homeless Special Needs		Homeowner Housing Added	Household Housing Unit	40	17	42.50%			
Special Needs and Low-to-Moderate Income Housing	Affordable Housing Non-Homeless Special Needs		Homeowner Housing Rehabilitated	Household Housing Unit	100	0	0.00%			

Sustain Affordable Housing Stock	Affordable Housing	HOME: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100	8	8.00%			
Sustain Affordable Housing Stock	Affordable Housing	HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	100	4	4.00%	3	0	0.00%

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

In PY2017, Guam substantially amended its Annual Action Plan to reprogram funds from the Inarajan Basketball Court project to the Phase I Site Preparation and Planning for a Public Facility and Affordable Homes project. After conducting an initial environmental assessment of the proposed project site, Guam determined that more research for an alternate site was necessary before proceeding with the project. Therefore, Guam requested to reprogram \$100,000 to begin the work on Phase I Site Preparation and Planning for Public Facility and Affordable Housing Project. The project will address the need for a public service facility in a LMI area and the need for affordable housing. Guam also sought a substantial amendment to reprogram unspent funds from closed or slow-moving HOME projects. The amount of \$920,000 was reprogrammed from the HOME funds to a new project, Rehabilitation for Affordable Housing, which will result in a 14-unit affordable rental housing project for LMI individuals. Both activities address the highest priorities of the ConPlan and Action Plan.

Four projects funded under the PY17 CDBG grant addresses the specific ConPlan and AAP priorities to acquire, construct or rehabilitate facilities to serve LMI communities through improved access to recreation and sports centers. Baseball Park Enhancements, which broke ground on April 10, 2018; the Inarajan Basketball Court; the Umatac Baseball Field, which broke ground on July 17, 2018; and the Sinajana Baseball Field; which broke ground on July 12, 2018. All will serve LMI residents in northern, central and southern Guam. The completion of the Astumbo Gym on January 8, 2018 improved access to the sports facility for 28,000 LMI individuals. Further, the gym served as a Tier II emergency shelter for over



300 individuals displaced on Sept. 10, 2018 when Typhoon Mangkut struck Guam.

The HOME program marked the completion of 5 new homes under the Renewal Affordable Homes Project. Five income-eligible families, all first-time homeowners, were present for the ribbon cutting ceremony held December 5, 2017.

The projects funded under Public Services address the priority of Community Support Services. During PY2017, CDBG funds supported the work of organizations that provide suitable living environments and enhance the quality of life of very low and low-income individuals and special needs populations. These include The Salvation Army's Lighthouse Recovery Center, HMIS and Family Services Center and Big Brothers Big Sisters of Guam's Opportunity Initiative. These organizations implement programs that provide homeless prevention services, jobs placement and family enrichment programs for LMI individuals, and youth development programs.

Guam's participation in the Low Income Housing Tax Credit program in PY17 bolstered the priorities set forth in the Plan. In November 2017, Ironwood Guam Development broke ground on Villa del Mar, a 50-unit complex that will serve LMI families in central Guam. In September 2018, Guam's Board of Commissioners approved the allocation of \$2.9 million in tax credits to Ironwood Guam Development to complete Phase II of Villa del Mar, which will add 88 multi-family units for eligible tenants. Thus far in the 5-Year ConPlan period, Guam has completed 333 LIHTC rental units. This includes 240 Summer Town units completed in PY2015 and the 93 Summer Town Estates II units completed in PY2016.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	47	0	1
Black or African American	13	0	1
Asian	76	1	1
American Indian or American Native	1	0	0
Native Hawaiian or Other Pacific Islander	1,713	26	112
<b>Total</b>	<b>1,850</b>	<b>27</b>	<b>115</b>
Hispanic	0	5	0
Not Hispanic	1,850	22	115

**Table 2 – Table of assistance to racial and ethnic populations by source of funds**

### Narrative

In 2017, the activities implemented with CDBG, HOME and ESG funds benefited approximately 1850 individuals plus an additional 44 individuals who identified as multi-racial. The majority of clients were Pacific Islanders, which includes ethnicities from multiple islands including Guam, the Commonwealth of the Northern Mariana Islands, Palau and the Federated States of Micronesia.

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	3,051,744	2,974,085
HOME	HOME	1,818,722	1,209,535
HOPWA	HOPWA		
ESG	ESG	377,118	142,226
HTF	HTF	0	
Other	Other		

**Table 3 - Resources Made Available**

### Narrative

In this reporting year, Guam received an allocation of \$4,177,084 in Community Planning and Development (CPD) funds. Of the total funds awarded, \$3,051,244 was utilized for Community Development Block Grant projects. ESG funds in the amount of \$377,118 have been awarded to provide homeless prevention and rapid re-housing services. Guam received an allocation of \$748,722 in HOME funds, of which \$636,413 was allocated for the Homebuyer Acquisition/New Construction Project. Guam planned to use HOME funds in the acquisition of private property for 3 single family units for sale to eligible first-time homeowners.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Rehabilitation/Upgrade of the Astumbo Gym			Low-Moderate-Income Area Benefit
Rehabilitation/Upgrade of the Yigo Gym			Low-Moderate Income Area Benefit

**Table 4 – Identify the geographic distribution and location of investments**

### Narrative

The Rehabilitation of the Astumbo Gym project was completed in PY2017. In PY2015, Guam allocated \$700,000 to the Astumbo Gym project, in addition to reprogrammed funds in the amount of \$170,000. The total expenditure for the Astumbo Gym was \$869,640.



## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

Guam takes into consideration project proposals that utilize leverage funding and that have demonstrated the ability to sustain programs beyond the Program Year. Sub-recipients of public service grants leverage additional resources from various sources to complete their proposed projects. A mix of federal, local, and private resources complement the CDBG funds. Sources include: U.S. Probation Office, U.S. Dept. of Interior, Guam Behavioral Health and Wellness Center, and Guam Dept. of Public Health and Social Services. In addition, sub-recipients have used revenue from private donations and fundraising events to support their CDBG-funded programs.

Guam leveraged funds from the U.S. Dept. of Interior to complete the ongoing work for the Umatac Baseball Field and the Sinajana Recreational Center.

### Public Facilities and Improvements

This program period, the Dept. of Parks and Recreation received funding for the construction of kiosks, restrooms and pathways to be built on public property located at the Dededo Sports Complex. Once completed, Dept. of Parks and Recreation will oversee the maintenance and repair of the facility.

### Matching Requirements:

Guam is waived from HOME and ESG matching requirements.

Guam allocated \$30,000 of its CDBG funds, representing less than 1%, to match the Continuum of Care program database project known as Homeless Management Informational System (HMIS).

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

**Table 5 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

### HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
412,874	291,510	356,030	0	348,353

Table 7 – Program Income



Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	765,915	0	765,915	0	0	0
Number	3	0	3	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	630,000	630,000	0			
Number	1	1	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

**Table 8 - Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	<b>Total</b>	<b>Minority Property Owners</b>				<b>White Non-Hispanic</b>
		<b>Alaskan Native or American Indian</b>	<b>Asian or Pacific Islander</b>	<b>Black Non-Hispanic</b>	<b>Hispanic</b>	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 9 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	14	0
Number of Non-Homeless households to be provided affordable housing units	91	8
Number of Special-Needs households to be provided affordable housing units	0	0
<b>Total</b>	<b>105</b>	<b>8</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	88	0
Number of households supported through The Production of New Units	0	5
Number of households supported through Rehab of Existing Units	14	0
Number of households supported through Acquisition of Existing Units	3	3
<b>Total</b>	<b>105</b>	<b>8</b>

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

Guam's ConPlan identified several objectives to sustaining the stock of affordable housing and providing affordable housing for LMI populations. These include homeowner rehabilitation of 200 homes; construction of 40 homeowner housing; and the construction of 200 rental units. To date, Guam has fallen short of reaching its goal of rehabilitating 200 homes, in large part due to the difficulty of obtaining program participants. In PY2017, there were no applicants eligible for the program.

While Guam completed the construction of 5 new homes and acquired 3 existing units under HOME in PY2017, Guam is still behind in its goal to complete 40 units within the 5 year period. This is due to ongoing, unanticipated labor shortages in the construction industry. The shortage has not only delayed projects, but has driven up the costs of construction. In PY2017, Guam broke ground on a 50-unit multi-family LIHTC development project to be completed in 2019. Guam also awarded LIHTC credits for the completion of 88 affordable rental units in PY17, which will be completed in 2020. When done, the LIHTC projects will bring Guam closer to its goal of 200 constructed rental units. Guam also re-programmed funds for the rehabilitation of 14 existing rental units, which will be used for homeless populations.

**Discuss how these outcomes will impact future annual action plans.**

The PY18 Annual Action Plan takes into consideration the challenges identified in this and prior CAPERs. As a response to a tightening construction labor market, Guam approved two Public Facilities and Improvement projects that will utilize alternate building materials, such as steel construction, to reduce labor costs. Additionally, the HOME program will collaborate with Micronesia Community Development Corporation on their mutual self-help program. In this program, funds are provided to qualified organizations to help families participate in the construction of their homes, with technical assistance from the organization overseeing the project.

In PY18, Guam also continued to pursue public-private partnerships in awarding Ironwood Guam Development with \$2.9 million in tax credits under the Low Income Housing Tax Credit program. The project will result in the construction of 88 affordable rental units.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	0
Low-income	0	0
Moderate-income	0	8
<b>Total</b>	<b>0</b>	<b>8</b>

**Table 13 – Number of Households Served**

**Narrative Information**

Under the HOME program, a total of 8 individuals were served. All 8 qualified as moderate income.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Guam works closely with the Guam Homeless Coalition (GHC), which regularly conducts street outreach to identify and assist homeless persons including unsheltered populations. Outreach is quite extensive, and includes both special outreaches such as the Point In Time Count, and routine outreaches conducted by GHC members throughout the year. The GHC members also use a social media application wherein members alert others around the clock about sightings of homeless individuals in real time. From these alerts, members respond by going to the location and conducting an assessment of the individual.

The GHC connects persons experiencing homelessness with mainstream programs beginning at intake. Case workers obtain information from the client to determine types of resources and supports already in place; information is gathered to determine if the client is eligible for other mainstream benefits. Oftentimes, case workers will also assist clients obtain personal identification documents, the absence of which serves as a barrier for homeless individuals attempting to obtain benefits. Once benefits are obtained, case workers guide clients to ensure the process is completed.

The GHC's use of a Coordinated Entry System (CES) and the scores of the VI SPDAT assessment tool is another strategy for assessing needs of homeless individuals. Priority placement of individuals in housing is based on the assessment scores. The CES system brings existing programs together to coordinate efforts to quickly address homelessness.

The overall impact of these strategies on reducing homelessness is somewhat mixed. The January 2018 Point In Time homeless count conducted by the Guam Homeless Coalition reported 854 homeless individuals, a slight increase from 2017's count of 852. With respect to ethnicities, the highest number of homeless persons continue to be Chamorros from Guam who make up 39% of the homeless population. The second largest ethnic group is Chuukese at 34% of the homeless population. Since 2017 however, the number of identified homeless Chamorro individuals from Guam has decreased by 9% while the number of identified homeless Chuukese individuals has increased by 39%.

To improve results, the Guam Homeless Coalition is working to expand Coordinated Entry Users and improve the quality of supportive services.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Guam addresses emergency shelter and transitional housing needs via the ESG program. In PY2017, the

Salvation Army was the subrecipient of ESG funds to provide rapid rehousing and homeless prevention services. To ensure program objectives are met, the Guam Homeless Coalition (GHC) reviews and analyzes data including number of individuals assisted, and number of individuals or households prevented from becoming homeless. Qualitative assessments are conducted through ongoing discussions between the ESG sub-recipient and CoC members. To further improve services to homeless persons, GHC increased the number of programs that provide transitional housing. Youth-serving agency Sanctuary Inc. increased its number of beds with two housing programs, the Basic Center Program for emergency housing and the Transitional Living Program.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

Guam works closely with homeless-serving agencies that utilize a “Phased Approach” in their case management. This entails the continuation of support services and aftercare for individuals even after their discharge from programs such as emergency shelters and institutions. This ensures individuals receive professionally-supported assistance to avoid a return to homelessness.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

In 2017, the Guam Homeless Coalition reported a 5% rate of return to homelessness, up from 2016’s reported rate of 4%. Despite the slight increase, the GHC will continue to pursue its current strategies while making adjustments to the existing programs and processes.

The Guam Homeless Coalition assists chronically homeless individuals transition to independent living through intensive support services. The strategy is to move clients out of emergency shelter into permanent housing upon completion of their case plan, which could include job readiness training and successful enrollment in other mainstream services. While agencies strive to complete these tasks in under 60 days, the result has generally taken longer at approximately 66 days. This is due in part to other assistive efforts that are undertaken, such as addressing clients’ lack of proper government identification. Case workers help homeless individuals with the lengthy process of procuring proper ID, including social security cards, updated passports, and other migration documents to facilitate access to programs.



Additionally, the Guam Homeless Coalition prioritizes housing the chronically homeless. Careful assessments of the chronically homeless are conducted at intake and exit of institutions to better understand how to tailor support services. To better enhance outcomes, the GHC partners typically provide aftercare for individuals even after being discharged from programs.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

GHURA launched its Housing Counseling program in May 2018 with an initial target audience of 5 participants in the HOME program, the Family Self Sufficiency Program, and the Housing Choice Voucher program. Housing Counseling will assist residents of public housing, in addition to other LMI individuals, with tenancy, budgeting, future homeownership, post homeownership, eviction and foreclosure avoidance, among other topics. In the reporting period, 5 families have signed up for housing counseling. Guam also is pursuing the requirements to become a certified housing counseling agency, with a targeted date of applying in June 2019.

In 2017, the Family Self-Sufficiency Program graduated 4 participating families, all of whom had chosen homeownership as a final goal. The FSS program provides numerous opportunities to assist families achieve self-sufficiency, including courses on credit repair, budgeting and other relevant topics. In 2017, the FSS program assisted 158 individuals, 132 of whom were Housing Choice Voucher program participants and 26 individuals were from public housing. 29% of FSS participants indicated homeownership as their long-term goal.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

The Resident Advisory Board (RAB) provides public housing residents an opportunity to become more involved in management. This program year, the RAB provided input on the annual Public Housing Authority plan. Their discussions covered issues relevant to their respective communities, including neighborhood safety, the newly implemented smoke-free policy, and the utility allowance.

Guam provides opportunities for Public Housing residents to participate in homeownership through the Family Self Sufficiency program and the Housing Counseling program, which began in PY2017.

### **Actions taken to provide assistance to troubled PHAs**

Not applicable.

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

In April 2018, Guam participated in a roundtable discussion held at the Guam Legislature to discuss proposed legislation for the Guam Landlord and Tenant Rental Act. The bill sets the ground rules for property maintenance, security deposits, evictions, fair housing issues and other matters relating to rental properties. During a follow-up legislative hearing, Guam contributed oral testimony and written recommendations to improve protections for landlords and tenants.

GHURA continues to utilize tax credits to assist large developers in their housing projects. The Low-Income Housing Tax Credit (LIHTC) program serves as incentives for the development of low-income rental units. The Internal Revenue Service annually allocates tax credits to eligible jurisdictions, and in September 2018, Guam's Board of Commissioners approved the allocation of \$2.9 million in tax credits to Ironwood Guam Development to complete Phase II of Villa del Mar, which will add 88 multi-family units for eligible tenants. The development, located in Toto, represents the first LIHTC project to be constructed in central Guam.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

Serving low- and moderate-income families remains Guam's priority. Through the use of federal resources as well as leveraged resources from its NGO and government partners, Guam is better equipped to address the obstacles affecting the underserved population.

Through the CDBG program, Guam assisted the following nonprofit organizations that work directly with the underserved: the Salvation Army's Lighthouse Recovery Center, which provides substance abuse treatment for homeless and LMI, at-risk men seeking treatment services; Big Brothers Big Sisters of Guam's, which provides enrichment activities, work readiness and literacy skills trainings, and jobs placement; the Salvation Army's Family Services Center, which provides homeless prevention services, rapid rehousing and emergency and transitional housing; and the Homeless Management Information System that captures client level information on persons served through various homeless programs. The CDBG program will also increase access to recreational facilities in four communities in southern, central and northern Guam.

Through the HOME program, Guam proposes to construct 3 affordable homes. Additionally, in the program year, Guam reprogrammed HOME funds for the rehabilitation of a facility that will result in 14 affordable rental homes.

Through the Continuum of Care program, Guam works in collaboration with NGOs and government agencies to address the needs of chronically homeless individuals. To overcome obstacles, clients are provided supportive services along with case management support.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

Guam complies with local and federal statutes pertaining to Lead-Based Paint (LBP). Moreover, Guam contractors are required to comply with 40 CFR Part 745. The federal law requires contractors performing renovation, repair and painting projects that disturb lead-based paint in homes, child care facilities and schools built before 1978 to be certified and to follow specific work practices to prevent lead contamination.

GHURA maintains an allocation of approximately 2,500 housing vouchers under the Housing Choice Voucher Program (HCVP). New HCVP tenants attend an initial briefing as part of their entrance into the program. Participants are instructed on the hazards of lead-based paint and are provided HUD-approved materials on the subject. HCVP building inspectors conduct lead-based paint inspections to ensure safety compliance prior to permitting occupancy for an individual or family participating in the program.

An equivalent process is maintained for the 750 units of Public Housing (PH) administered by GHURA. PH administration is mandated to comply with all federal laws regarding the operation and upkeep of units receiving federal funds. In August 2018 GHURA re-tested 146 PH units for any evidence of LBP. Testing was conducted on three developments that were constructed prior to 1978: AMP1 G250 in Agana Heights, Mongmong, and Sinajana; AMP2 in Yona; and AMP4 in Toto. Through this testing GHURA has identified units in AMP 1 and AMP2 needing abatement and is currently moving forward in addressing them.

Guam also conducts inspections for CoC funded tenant-based rental assistance units, housing units acquired through HOME funds for acquisition and rehabilitation, homeowner units under the HOME-funded Homeowner Rehabilitation Program, and for facilities that are acquired and rehabilitated where children will occupy the building. Families who are occupying units that are built prior to 1980 that have been inspected and passed are provided a Lead-Based Paint brochure that discusses the hazards of lead-based paint poisoning. These inspections are part of Guam's housing policies and procedures.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

Guam has taken actions to reduce the number of poverty-level families by working toward the goals set forth in the 2015-2019 ConPlan.

These goals include the following:

1. Construct or rehabilitate sports and recreational facilities that serve low-and moderate income communities and special needs populations.

In the program year, Guam allocated CDBG funds for the rehabilitation and construction of four

recreational facilities in the norther, central and southern area of Guam. The total benefit, once completed, is anticipated to be 57,637 LMI individuals. Guam also completed the rehabilitation of the Astumbo Gym in PY2017. The gym also serves as a Tier II emergency shelter for up to 400 individuals requiring housing during a natural disaster.

2. Support organizations that provide services that sustain suitable living environments and enhance the quality of life of very-low and low-income individuals, and special needs populations. Guam's CDBG and ESG sub-recipients provided numerous services to assist poverty-level families. Programs from Big Brothers Big Sisters of Guam included family enrichment activities and work readiness programs. 429 individuals, all of whom qualify as LMI, were served. The Salvation Army provided in/outpatient substance abuse treatment and support services to 202 men, of which 100% qualified as LMI individuals. CDBG funds also supported the match requirement for the HMIS database, a resource used to track client-level information on persons served through various homeless programs. The Family Services Center served 115 individuals, all 100% LMI, with homeless prevention and rapid-rehousing assistance.

3. Support organizations that aim to reduce and end homelessness

Through the Continuum of Care and the ESG, Guam works with other agencies and nonprofit organizations who prioritize homeless assistance. The organizations include Guam Behavioral Health and Wellness Center's Guma Hinelmo', Catholic Social Service's Y'Jahame Permanent Housing Program, GHURA's Housing First Rental Assistance Program, Elim Pacific Ministries' Empowered Together, and Sanctuary Inc.'s Forrester's Refuge. Guam also works in close concert with the Guam Homeless Coalition and their outreach events. These include the annual Point In Time Count and the island-wide Passport to Services event.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

Guam incorporates regular training and development opportunities to increase employees' abilities to improve performance and meet program objectives. The following is a list of trainings undertaken by staff in the reporting year:

Part 58 Environmental Review Training  
ICF Building HOME Training – 4 sessions  
Fundamentals of LIHTC Management  
Housing Counseling Certification Exam  
Fundamentals of Government Procurement  
ROSS Service Coordinators Webinar Series: Resident Engagement Strategies  
Guam Aging Conference  
Pacific Judicial Conference, Agism and Elderly Abuse  
Quadel and NAHRO Trainings

- Uniform Physical Condition Standards (UPCS) Fundamentals
- Public Housing Assessment System (PHAS)

- HCV Executive Management Certification
- Housing Quality Standards (HQS) Certification
- Section 8 HCV Housing Specialist Certification
- Public Housing Specialist Certification
- Informal Reviews and Hearings Trainings

In the program year, Guam initiated steps to improve and promote online services offered through the ghura.org website with an eye focused on the needs of tenants and landlords. Guam used paperless applications for Public Housing and conducted the Section 8 lottery entirely online. Guam also implemented its annual hardware and software assessments to improve the stability and integrity of agency data. As part of future upgrades, Guam will launch a new website in the next program year to include new home search features for prospective Section 8 tenants, updated program FAQs, and a dynamic events calendar for CDBG and HOME activities.

#### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

Guam continues to coordinate and work closely with public and private housing and social service agencies to achieve the goals of its Annual Action Plan and 5 Year Consolidated Plan.

These organizations include the following: Catholic Social Service, Core Tech, Dept. of Public Health and Social Services, Guam Alternative Lifestyle Association, Guam Association of Realtors, Guam Behavioral Health and Wellness Center, Guam Dept. of Education, Guam Dept. of Labor, Guam Division of Integrated Services for Individuals with Disabilities, Guam Homeless Coalition, Guam Legal Services Corp. – Disability Law Center, Guam Police Department, Habitat for Humanity Guam, Mayors' Council of Guam, Micronesia Community Development Corp. (MCDC), Micronesian Resource Center, Oasis Empowerment Center, Sanctuary Guam, Inc., The Salvation Army, University of Guam U.S. Dept. of Veterans Affairs, Victim Advocates Reaching Out, and Westcare Pacific.

#### **Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

This reporting year, Guam continued the work of planning an updated Analysis of Impediments to Fair Housing, which it plans to contract out in 2019. Guam has outlined the scope of work, which calls for the input of PHA staff, public housing constituents, government agencies, NGOs, and community stakeholders, and will plan to submit in 2020.



Guam's Fair Housing Coordinator receives information from complainants and respondents regarding discrimination complaints. The Coordinator also reviews discrimination complaint information and makes recommendations. In the Program year, there were a total of eight fair housing inquiries, usually, however, upon discussion with the individuals, no discrimination complaints were received. Inquiries come as direct calls and visits to the FH Coordinator. Referrals also come from private companies, non-profit service providers, advocates for persons with disabilities, Public Housing site managers and staff, and Section 8 management and staff.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

During this project year, the following monitoring activities took place: Guam completed monitoring and review of two Low Income Housing Tax Credit (LIHTC) program awardees, Summer Town Estates and Ironwood Guam Development, during PY2017. A file review of tax credit tenant files was conducted for compliance with Internal Revenue Service requirements. Site visits and file audits were conducted on Continuum of Care and ESG programs in March 2018 for financial and programmatic compliance. Guam conducted onsite monitoring of all construction-related activities throughout the construction process. Monitoring includes compliance with applicable federal and local laws. CDBG Public Service projects were monitored for performance measured against programmatic and financial requirements. CDBG public facilities constructed through past awards were physically inspected for continued compliance with HUD regulatory requirements. As government-owned public facilities are held to compliance in perpetuity, monitoring is continual. On-site and remote monitoring of HOME activities is conducted for homebuyer and homeowner programs. Guam's Architect and Engineering (A/E) Division continues to conduct physical inspections and regular monitoring for all the CDBG and HOME –funded construction activities. A/E staff conducts physical inspections of project sites prior, during and after the completion of a project to ensure strict compliance. These visits ensure construction timelines and quality requirements throughout the construction process are met. The monitoring process continues annually once CDBG and HOME-funded projects are completed to ensure the use of the buildings is in compliance with HUD regulations.

### **Program Monitoring**

GHURA continues to conduct monitoring activities such as desk-reviews and on-site reviews throughout the program year. These activities allow GHURA to administer the funded programs effectively and ultimately improve services rendered to the community. GHURA continues to increase efforts to educate, train and work in partnership with sub-recipients and stakeholders to ensure compliance with the laws and regulations governing those funds. Additionally, improving monitoring activities is a goal the agency continues to build upon to effectively administer funded activities and programs.

## **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

Guam conducts a public hearing to allow the public to provide oral and written commentary on the draft performance report, or the Consolidated Annual Performance Evaluation Report (CAPER). Comments are then incorporated into the report prior to Guam's final submission to HUD.

Guam publishes a total of two advertisements, or Notice to the Public ads, that include details of the purpose of the report, important dates and timelines concerning the public comment period, public hearing and deadline to receive written comments. The ads are placed in a newspaper of general circulation. This CAPER was made available for public review and comment on November 16, 2018 to December 19, 2018. Guam advertised the availability of the report on two different dates: November 16, 2018 and December 3, 2018 in the Guam Daily Post.

Guam held a public hearing on December 4, 2018 to give the public an opportunity to provide oral comments. The report was also published online on the GHURA website, [www.ghura.org](http://www.ghura.org), and hard copies were made available at GHURA's Main Office located at 117 Bien Venida Ave., Sinajana, Guam 96910. Guam did not receive any public comments on the CAPER.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

Guam made a substantial amendment to its PY2017 Annual Action Plan. Guam was approved to reprogram \$920,000 in unspent HOME funds to the Rehabilitation for Affordable Housing project. The new project will increase the number of affordable rental housing units by 14. Another amendment was made to reprogram \$100,000 from the Inarajan Basketball Project to the Phase I Site Preparation and Planning for Public Facility and Affordable Rental Housing. The Inarajan Basketball Project was stalled due to topographical challenges with the site. Guam is considering another lot for the project. The reprogrammed funds will be used to begin site preparation and planning for a public facility and affordable rental homes in Dededo.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

## **CR-50 - HOME 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

During this reporting year, Guam did not fund any HOME affordable rental housing projects.

### **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

- Guam's HOME program marketing was primarily on print and online media advertising through the Guam Pacific Daily News and the Guam Daily Post. This medium generally reaches the vast majority of the island's ethnically diverse populations of households and creates the most effective response of inquiries and applications from HOME-eligible households.
- Special outreach was conducted for those who may not have access to the daily news print ads. This includes advertising in a religious publication, U Matuna Si Yu'os and distribution of brochures to the Mayors' Council and Mayors' offices. Partnering nonprofits conducted a housing fair at one of the local shopping malls specifically for a HOME-funded project. Periodic meetings with partner nonprofits are conducted to provide program updates, availability and discussion of clientele.
- GHURA HOME Program contracted 3 minority-owned businesses for new construction as well as renovation of units for this period. One contractor was a woman-owned business. Opportunity for HOME projects is advertised through the local news print and online media.

### **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Approximately \$356,000 in HOME program income was expended on the following programs:

- Homeowner Rehabilitation Loan Program (maintenance, appraisal reports and insurance for two properties): \$332,000
- Downpayment and closing cost assistance loan program: \$13,000
- New Homebuyer Construction - (maintenance, marketing, lease payments for seven properties): \$11,000

All participants are first-time homeowners within the 60-80% AMI Category.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Guam administers the 9 percent LIHTC program, which typically allocates credits to fund one project annually. This program provides the primary source of funding for the construction of affordable rental homes in Guam. In program year 2017, Guam awarded a LIHTC project to construct an 88 unit multifamily development. Also in that year, construction to complete 50 affordable rental units continued.

Guam's Section 8 program administers 2560 Housing Choice Vouchers, of which 112 are Project-Based Vouchers. GHURA also implements target-funded voucher programs for persons with disabilities, including the Mainstream and Non-Elderly Disabled (NED) voucher programs and Veteran Affairs Supportive Housing (VASH) program, as well as the Family Unification Program (FUP). Guam manages the Mainstream voucher program with 175 vouchers. It presently manages 133 FUP vouchers and 175 NED vouchers. In the reporting year, Guam was approved for a total of 56 VASH vouchers.

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

<b>Recipient Name</b>	GUAM
<b>Organizational DUNS Number</b>	855031519
<b>EIN/TIN Number</b>	960001279
<b>Identify the Field Office</b>	HONOLULU
<b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b>	Guam CoC

**ESG Contact Name**

<b>Prefix</b>	Ms
<b>First Name</b>	Katherine
<b>Middle Name</b>	E
<b>Last Name</b>	Taitano



Suffix	0
Title	Chief Planner

**ESG Contact Address**

Street Address 1	117 Bien Venida Avenue
Street Address 2	0
City	Sinajana
State	GU
ZIP Code	-
Phone Number	6714751322
Extension	0
Fax Number	0
Email Address	katherine@ghura.org

**ESG Secondary Contact**

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

**2. Reporting Period—All Recipients Complete**

Program Year Start Date	10/01/2017
Program Year End Date	09/30/2018

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** The Salvation Army  
**City:** Tiyan  
**State:** GU  
**Zip Code:** 96913,  
**DUNS Number:** 855033457  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 348834.15

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 16 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 18 – Shelter Information



#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 19 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 20 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 21 – Gender Information

## 6. Age—Complete for All Activities

	<b>Total</b>
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 22 – Age Information

## 7. Special Populations Served—Complete for All Activities

<b>Number of Persons in Households</b>				
<b>Subpopulation</b>	<b>Total</b>	<b>Total Persons Served – Prevention</b>	<b>Total Persons Served – RRH</b>	<b>Total Persons Served in Emergency Shelters</b>
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

Table 24 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The CoC reviews and analyzes the following data of ESG programs: unduplicated number of persons or households prevented from becoming homeless, the unduplicated number of persons or households assisted from emergency shelters/streets into permanent housing, race, ethnicity, age, amount spend per sub-recipient and their timeliness of expenditures. Other qualitative forms of assessments are done through monthly discussions between the ESG subrecipient, CoC members, and the funding agency. This includes discussions on the most pressing needs of clients, barriers to housing, success in connecting clients to other mainstream resources, and the outcomes of families and individuals upon completion of their programs.



## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	16,722	10,006	800
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	3,162	4,307	175
Expenditures for Housing Relocation & Stabilization Services - Services	44,101	19,837	19,850
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>63,985</b>	<b>34,150</b>	<b>20,825</b>

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	87,244	88,358	58,657
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	12,509	30,945	20,438
Expenditures for Housing Relocation & Stabilization Services - Services	63,684	27,559	31,399
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>163,437</b>	<b>146,862</b>	<b>110,494</b>

Table 26 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Essential Services	0	0	0
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Table 27 – ESG Expenditures for Emergency Shelter**

#### **11d. Other Grant Expenditures**

	<b>Dollar Amount of Expenditures in Program Year</b>		
	<b>2015</b>	<b>2016</b>	<b>2017</b>
Street Outreach	0	0	0
HMIS	0	0	0
Administration	18,796	21,317	10,905

**Table 28 - Other Grant Expenditures**

#### **11e. Total ESG Grant Funds**

<b>Total ESG Funds Expended</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>
	246,218	202,329	142,224

**Table 29 - Total ESG Funds Expended**

#### **11f. Match Source**

	<b>2015</b>	<b>2016</b>	<b>2017</b>
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0

Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Table 30 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>
	246,218	202,329	142,224

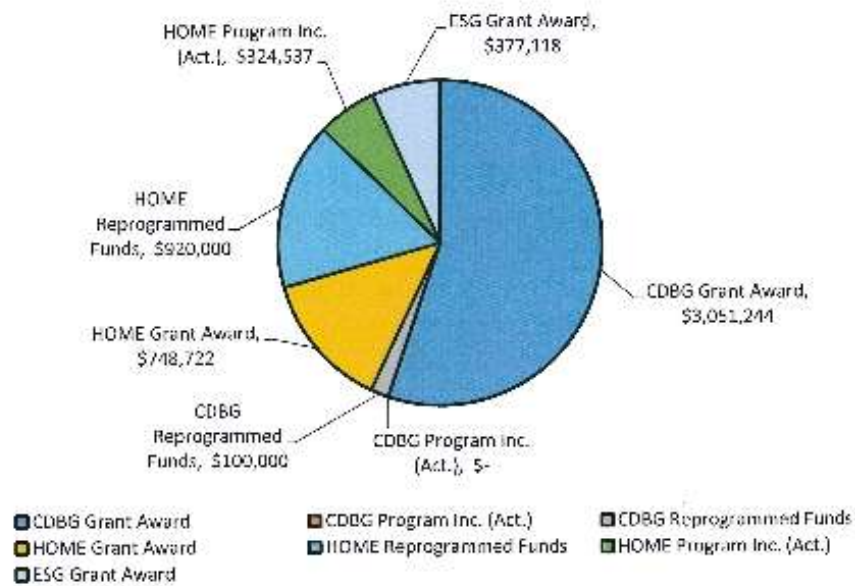
**Table 31 - Total Amount of Funds Expended on ESG Activities**

# **Attachment**

## **Charts**

## APPENDIX A - Charts and APPENDIX B - IDIS Reports

### HUD CPD Funds Authorized PY17



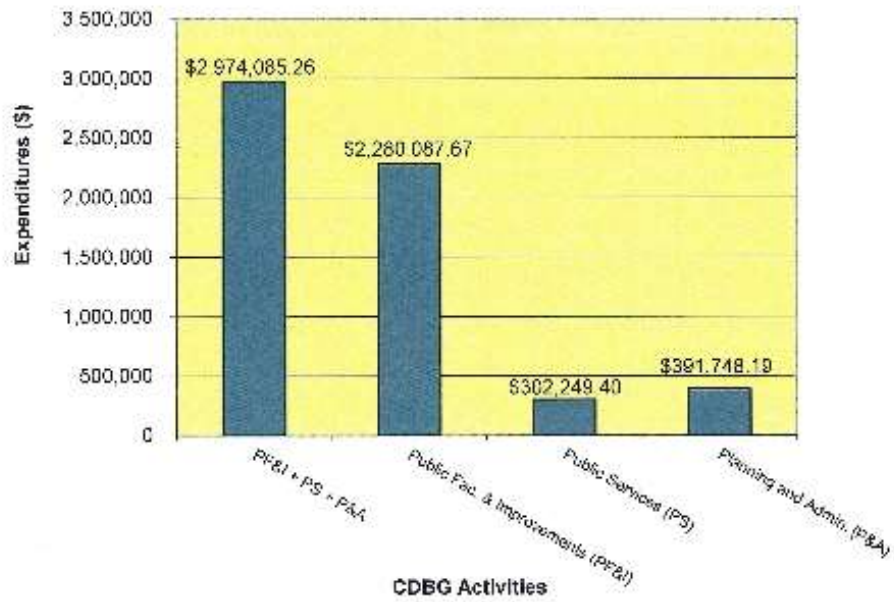
#### HUD CPD Funds Authorized PY17

CDBG Grant Award	3,051,244.00
CDBG Program Inc. (Act.)	-
CDBG Reprogrammed Funds	100,000.00
HOME Grant Award	748,722.00
HOME Reprogrammed Funds	920,000.00
HOME Program Inc. (Act.)	324,537.15
ESG Grant Award	377,118.00

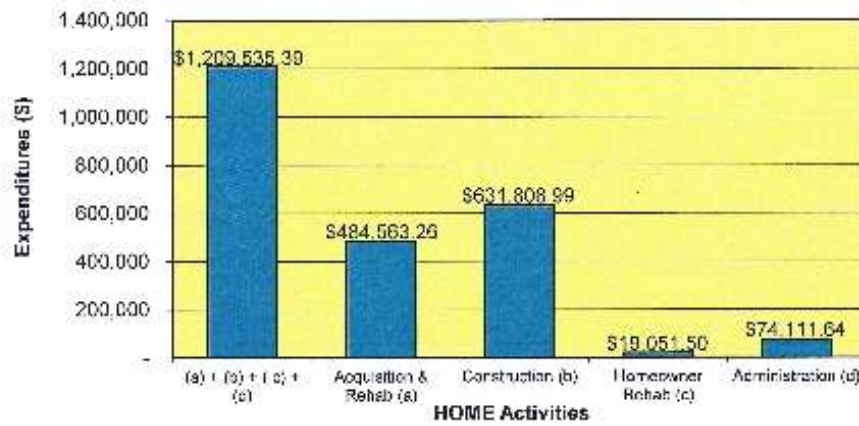
#### Program Income Estimate (Per Table 3C)

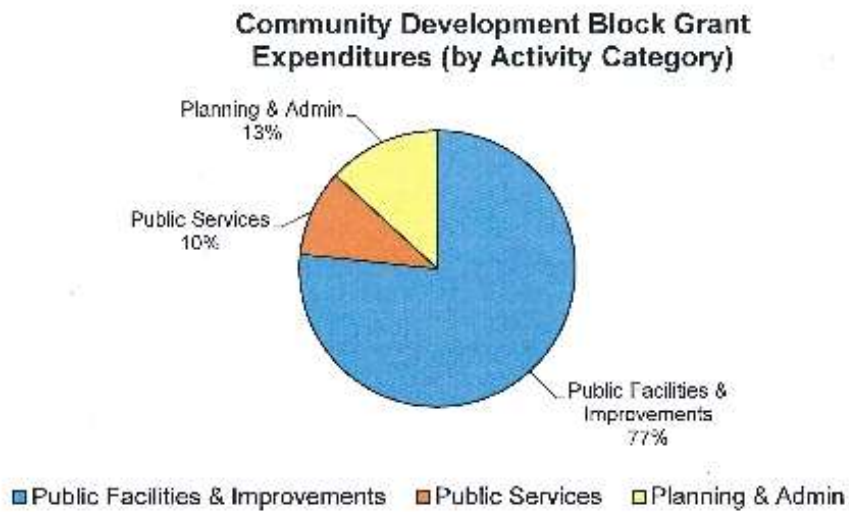
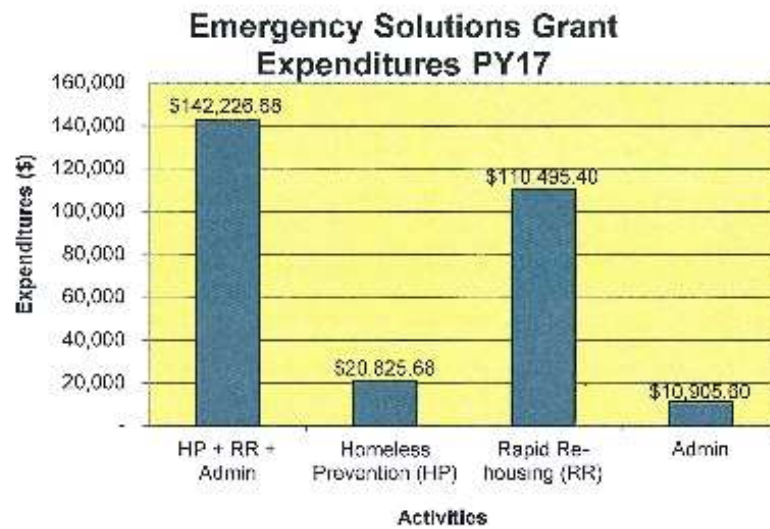
Project	Amount
CDBG-PI (CPC, Astumbo Gym)	
DPCCA, Rehab, GHC	324,537.15

### CDBG Expenditures PY17



### HOME Expenditures PY17





CPD and CoC FUNDS EXPENDED in PY17	
CDBG (Entitlement and Reprogramming)	\$2,974,085.26
CDBG (Program Income (actual))	
HOME (Entitlement and Reprogramming)	\$884,998.24
HOME (Program Income (actual))	\$324,537.15
ESG (Solutions)	\$142,226.68
Continuum of Care (CoC)	1,023,663.72
<b>FUNDS EXPENDED TOTAL</b>	<b>\$5,349,511.05</b>

HOME ACTIVITY PY17	
Acquisition and Rehab Program	\$160,026.11
Construction	\$631,808.99
Homeowner Rehab	\$19,051.50
Administration	\$74,111.64
<b>Subtotal</b>	<b>\$884,998.24</b>
Program Income	\$324,537.15
<b>TOTAL HOME Expenditures</b>	<b>1,029,535.39</b>

ESG SOLUTIONS PY17	
Homeless Prevention (HP)	\$20,825.68
Rapid Re-Housing (RR)	\$110,495.40
Administration	\$10,905.60
<b>Total ESG Expenditures</b>	<b>\$142,226.68</b>

CDBG EXPENDITURES PY17	
PTI + PS +P&A	\$2,974,085.26
Public Facilities & Improvement (PF&I)	2,280,087.67
Public Services (PS)	302,249.40
Planning and Admin (P&A)	391,748.19
<b>CDBG Expenditures PY17</b>	
Public Facilities & Improvement	2,280,087.67
Public Services (PS)	302,249.40
Planning and Admin (P&A)	391,748.19
<b>Subtotal</b>	<b>2,974,085.26</b>
Program Income	0.00
<b>TOTAL CDBG EXPENDITURES</b>	<b>2,974,085.26</b>