



U.S. Department of Housing and Urban Development

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January 10, 2018

The Honorable Edward J.B. Calvo
Governor of Guam
Office of the Governor
P.O. Box 2950
Hagatna, GU 96932

Dear Governor Calvo:

Subject: Annual Community Assessment for Program Year 2016



This letter encloses HUD's assessment of the Government of Guam's (Guam's) performance for its 2016 Community Planning and Development (CPD) Program Year. The report reflects Guam's efforts to ensure compliance with HUD Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Shelter Grant (ESG) programs.

As part of HUD's annual review, the Department wants to take this opportunity to address the CDBG program timeliness requirements, and the importance it plays in ensuring that the intent of the CDBG program is achieved. HUD CDBG regulations require recipients to carry out their CDBG activities in a timely manner thus ensuring low- and moderate-income persons are assisted. The standard requires insular grantees to be at a 2.0 CDBG timeliness ratio 60 days prior to the end of their program year. In other words, Guam's CDBG line of credit cannot exceed its current years grant times two. Guam's program year ends September 30 of each year. About August 2nd of each year, or 60 days prior to the end of Guam's program year, Guam must have a CDBG grant ratio of 2.0 or less. During this period, Guam had a CDBG timeliness ratio of 1.91.

HUD congratulates Guam for taking affirmative steps to meet the HOME Investment Partnerships (HOME) Program commitment and expenditure requirements. HUD encourages Guam to continue to closely monitor its CDBG and HOME projects to ensure the programs' continued success.

HUD would like to recognize that the staff responsible for Guam's CPD programs is highly committed to the programs. Their commitment to the program objectives has resulted in Guam achieving many of the CPD programs' objectives and ensuring that low- and moderate-income persons have an opportunity to succeed. To further this endeavor, HUD has provided opportunities for your staff to receive technical assistance on various topics.

In closing, should Guam wish to comment on the enclosed report, please provide your comments to HUD within 30 days of the date of this letter. Should Guam choose not to comment or fails to comment within the period identified above, the report will be considered final and must be made available to the public. HUD recommends you handle availability of the report the same way you handle your regular program reports by providing a notice in the newspaper, identifying the report contents and where it is available for review.

Again, HUD looks forward to our continued partnership with Guam in serving the needs of its citizens. If you have any questions, please call me at 808-457-4678.

Sincerely,



Mark A. Chandler, Director
Office of Community Planning
and Development

Enclosure

cc:

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ANNUAL COMMUNITY ASSESSMENT REPORT

Guam

Program Year: October 1, 2016 to September 30, 2017

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Introduction

The regulations implementing the Housing and Community Development Act of 1974, as amended, and the National Affordable Housing Act of 1990, require federal grant recipients receiving federal assistance to submit an annual performance report disclosing the status of grant activities. The Department of Housing and Urban Development (HUD) is required by 24 CFR 91.525 to determine whether the grant recipient is in compliance with the statutes and has the continuing capacity to implement and administer the programs for which assistance is received. In accordance with 24 CFR 91.525, the comments below incorporate HUD's assessment of Guam's Program Year 2016 performance.

In assessing Guam's performance, HUD relied primarily upon Guam's Program Year 2016 Consolidated Annual Performance and Evaluation Report (CAPER), technical assistance, on-site monitoring, and communications with Guam's federal programs staff. During this period, HUD has determined that Guam generally met the intent of the Community Planning and Development (CPD) programs.

Significant Performance Conclusions

Based on Guam's accomplishments through the Community Development Block Grant (CDBG), the HOME Investment Partnerships Program (HOME), the Emergency Solutions Grant (ESG), and Continuum of Care programs, Guam has demonstrated its ability to carry out various housing and community development programs.

Guam satisfactorily administers the CPD grant funds. In accordance with the CDBG regulations, the timeliness ratio benchmark should be 2.00 sixty days prior to the

end of Guam's program year. Guam's CDBG timeliness ratio was 1.91 as of August 2, 2016. HUD congratulates Guam on its accomplishments and encourages Guam to continue seeking ways for timely project implementation.

CPD Programs

CDBG

Guam used CDBG funds to address housing and special needs housing, fair housing, homelessness, and community development needs during program year 2016. Guam's management of its CDBG program has ensured that low- and moderate-income persons will primarily benefit and receive program assistance. During the Program Year, 100 percent of CDBG funds (excluding funds expended for administration and planning) were spent on activities that benefited low and moderate-income persons.

HUD noted that Guam has continued with construction of the of the Central Precinct Command, the rehabilitation of the Umatac Baseball Field, and the rehabilitation and upgrade of the Astumbo Gym.

Ongoing projects include the funding the Lighthouse Recovery Center, a 28-bed transitional substance abuse facility that provides evidence-based substance abuse treatment to homeless, low-and moderate-income, and at-risk men seeking recovery services. CDBG funds were used to provide services to increase economic and educational opportunities through on-site enrichment activities and curriculum-based programs to enhance work readiness and literacy skills for adults and youth through the Opportunity Initiative which is managed by the Big Brothers Big Sisters of Guam. Also, CDBG funds were used to fund the Ayuda Foundation's Island Girl Power program, which provides youth leadership and development activities.

Guam's Consolidated Plan describes the following four priority concerns that will be addressed through the CDBG program: homelessness, community development, housing and special needs housing, and fair housing.

Guam has seven at risk CDBG activities. Guam needs to complete the following at risk activities and bring them into national objective compliance: Rehabilitation of the Sinajana Community Recreational Facility (Central Playground PY2011), Renovation of 5 Staff Housing at Talofof, Renovation of 5 Staff Housing at Dededo, Renovation of 5 Staff Housing at Yigo, One-Stop Homeless Assistance Center, General Planning 2013 and the Macheche Neighborhood Multi-Purpose Facility.

In regard to the Macheche Neighborhood Multi-Purpose Facility, GHURA had confirmed that the The Dededo Mayor's Office would take over the facility after the Ilocano Association stopped operating it in January 2017. HUD did not receive a status on the use of the facility, since the CDBG monitoring visit in June 2017. Guam must report back to HUD on the status and use of the facility within 30 days of the date of this letter.

HUD congratulates Guam on meeting its CDBG timeliness requirement for Program Year 2016. As a reminder, please be informed that maintaining timeliness for the CDBG program is an essential program requirement. HUD and Congress have mandated that grantees meet the timeliness standard and that failure to comply with this standard would result in Guam being placed on a CDBG Timeliness Workout Plan and the potential loss of future funds. Therefore, HUD strongly encourages Guam to continue its timely implementation of the CDBG program.

HOME

In Program Year 2016, Guam received \$748,722 in HOME funds and expended \$1,569,217 of which \$175,202 was program income. All funds are required to be committed within 24 months and expended within 60 months of the grant award. Failure to commit and expend funds within the required time period will result in the deobligation of the funds. During this period Guam 's HOME program funded the construction of eight (8) new homes. HUD recognizes that Guam is expending its HOME funds toward projects that will assist low and moderate-income persons.

Guam has a HOME Program outcome in the Consolidated Plan, Homeowner Housing Rehab, that is not attainable. Guam has stated that it will revise to reflect a more achievable outcome. The proposed 100 rehabilitated houses may have included housing that is funded by other programs. Guam is preparing to submit an update on its proposed outcomes under the HOME Program.

ESG and Continuum of Care

In Program Year 2016, Guam has implemented its ESG and Continuum of Care (CoC) programs in a manner that is assisting Guam's homeless population. HUD encourages Guam to continue its successful homeless programs. During the program year, Guam and its sub-recipients have utilized its homeless funds to operate a transitional housing facility providing shelter and supportive services to homeless men recovering from chronic substance abuse, provide tenant-based rental assistance to homeless and disabled individuals, and provide permanent supportive housing to homeless adults with disabilities. Also, ESG covered rental and utility assistance for persons and individuals on the verge of becoming homeless. Eligible households can also receive housing relocation and stabilization services such as counseling, case management and money management classes.

During PY 2016, Guam Housing and Urban Renewal Authority (GHURA) was the collaborative applicant for the Guam Continuum of Care (CoC). The Guam CoC application was awarded \$1,121,767 in CoC funding for five permanent housing projects, one HMIS, and one CoC planning project. GHURA works in partnership with Guam Homeless Coalition to develop, enhance and implement a Continuum of Care strategy for the homeless. HUD wishes GHURA and its partners continued success in implementing actions to end homelessness.

Community Empowerment

As part of its Consolidated Plan, Guam developed a Citizen Participation Plan. The Plan is intended to generate ways to involve the public in planning and the reporting process for the CPD programs. GHURA received no oral public comments and four written public comments during the citizen participation period for the 2016 CAPER. HUD encourages Guam to continue to foster public participation, as well as to explore additional opportunities to involve the public in its planning process.

Management of Funds

HUD has noticed that Guam is continuing to expend funds in a timely manner and is in compliance with the HUD regulations. HUD acknowledges that Guam staff who are responsible for the CPD programs are working diligently to ensure HUD funding is spent in accordance with program requirements.

Areas for Improvement and Recommendations

Guam needs to identify project obstacles and develop plans to address these obstacles in order to strengthen its programs.

CAPER Submission

HUD notes that the CAPER was submitted on time and completed using the report in the Integrated Disbursement and Information System (IDIS). Overall, Guam's report was informative and generally educated the public regarding the status of Guam's CPD projects. HUD commends the GHURA staff for its efforts in improving the CAPER.

Fair Housing & Equal Opportunity

HUD notes that Guam's Analysis of Impediments (AI) was completed in July 2011, and the Comprehensive Housing Study was completed in August 2009 which included the compiling of data relevant to developing the updated AI. HUD encourages Guam to continue its activities that address the impediments identified in the AI document. The CAPER was forwarded to Mr. Jelani Madaraka, Lead Civil Rights Analyst, Honolulu Field Office, to review for compliance with Fair Housing and Equal Opportunity requirements. He will forward any comments or questions he may have under separate cover.

Conclusion

Overall, Guam is meeting its community needs by planning and executing a Consolidated Plan with a vision for the future, and by implementing this Plan through its Annual Action Plan. As a result, Guam has assisted many communities and especially low- to moderate-income persons. HUD encourages Guam to continue its support of various housing and community development programs, and especially wants to recognize GHURA's staff for their dedication to the CPD programs. Their hard work and efforts are a credit to Guam and its CPD programs.



**GUAM
CONSOLIDATED
ANNUAL
PERFORMANCE AND
EVALUATION REPORT
(CAPER)**

PROGRAM YEAR 2016

OCTOBER 1, 2016 TO SEPTEMBER 30, 2017

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The projects named in this report advance the goals set forth in Guam's strategic plan and 2016 action plan. The goals fall into the following categories:

1. Public Facilities and Improvement
2. Sustain Affordable Housing Stock
3. Community Enhancement

The projects for this funding period are the Acquisition and Construction of the Central Precinct Command, the Rehabilitation of the Umatac Baseball Field, the Opportunity Initiative, Kurason YSengsong, Homeless Management Information System, Lighthouse Recovery Center, Family Services Center, Homeowner New Construction, and Homeless Prevention and Rapid Rehousing Services.

Of the PY2016 Community Development Block Grant (CDBG) funds received, Guam proposed to utilize **68% of its funds for Public Facilities and Improvement projects**. These projects include: 1) Acquisition/Construction of the Central Precinct Command; and the 2) Rehabilitation of the Umatac Baseball Field. **Guam proposed to use 12% for Public Services for the following projects:** 3) The Opportunity Initiative; 4) Homeless Management Information System; 5) Lighthouse Recovery Center; and 5) Family Services Center. **Funds proposed for Administrative and Planning activities totaled 20%**, and these activities involve monitoring projects for regulatory compliance such as Fair Housing and Section 504, processing of payment requests, and implementation of proposed priorities and goals as established in the Consolidated Plan.

Guam set a goal of creating and/or retaining 500 jobs within the 5-year ConPlan period. Guam is pursuing a Section 108 Loan Guarantee that has potential to create several hundred new jobs. Guam has researched several ideas with various prospective partners, and while the project will create new jobs, Guam may need to reduce the goal of number of jobs created so that it is commensurate with the Section 108 Loan Guarantee.

Guam also proposed to assist 5000 individuals via improved public transportation infrastructure in its strategic plan. While Guam has entered into talks with the Guam Rapid Transportation Authority (GRTA) to discuss potential projects, GRTA is not prepared to submit proposals at this

time. Guam intends to revise its stated goals on transportation infrastructure.

Next, the proposed outcomes for *HOME - Homeowner Housing Rehabilitated* stated in the Consolidated Plan is not attainable, and will have to be revised to reflect a more achievable outcome. The proposed 100 rehabilitated houses may have included housing that is funded by other programs. Guam is preparing to submit an update on its proposed outcomes under the HOME program. Also, under *HOME - Rental Units Constructed*, the initial proposal of 200 rental units constructed is a conflated figure to include both HOME and LIHTC properties. When both programs are considered, Guam is on track to meeting its goal.

Guam remains on track to achieving its other stated goals in the Consolidated Plan.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	15000	8748	58.32%	6377	2896	45.41%
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	500	99	19.8%		54	
Community Enhancement	Homeless Non-Homeless Special Needs	CDBG: \$	Homelessness Prevention	Persons Assisted	200	53	26.5%	36	19	52.7%

Increase Homeowner Education and Counseling	Affordable Housing		Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	50	23	46%		23	
Job Creation and Job Retention	Non-Homeless Special Needs Non-Housing Community Development		Jobs created/retained	Jobs	500	0	0.00%		0	
Promote Fair Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs		Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	100	28	28.00%		28	
Public Facilities and Improvement	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	50000	49152	98.30%	28438	0	0.00%
Public Transportation Infrastructure Improvements	Non-Housing Community Development		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	0	0.00%		0	

Special Needs and Low-to-Moderate Income Housing	Affordable Housing Non-Homeless Special Needs	HOME: \$636414	Rental units constructed	Household Housing Unit	200	0	0.00%		0	
Special Needs and Low-to-Moderate Income Housing	Affordable Housing Non-Homeless Special Needs	HOME: \$636414	Homeowner Housing Added	Household Housing Unit	40	9	22.5%	3	8	266%
Special Needs and Low-to-Moderate Income Housing	Affordable Housing Non-Homeless Special Needs	HOME: \$636414	Homeowner Housing Rehabilitated	Household Housing Unit	100	4	4 %		1	
Sustain Affordable Housing Stock	Affordable Housing	HOME: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100	23	23%		23	
Sustain Affordable Housing Stock	Affordable Housing	HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	100	3	4%		0	

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The two Public Facilities and Infrastructure projects funded under CDBG in PY2016 address the specific ConPlan and AAP priorities to acquire, construct or rehabilitate facilities to serve low-and-moderate income communities through improved access to safety services and access to

recreation and sports centers.

The Central Precinct Command broke ground on June 14, 2017 on property acquired during the previous project period. The project site is located along Route 4 in Sinajana, south of the intersection of Route 4 and Chalan Canton Tutujan. The facility will serve multiple villages in the central region, of which 28,004 or 54% of the population are low-and-moderate income persons. The completed facility will cover approximately 10,000 square feet and include separate juvenile and adult holding cells, training facilities, a Commander's Office, briefing and interview rooms, a mountain bike patrol center, among other features. The project includes construction for improved roadways in the immediate area.

The Rehabilitation of the Umatac Baseball Field Project was advertised for bid during the project year. However, Guam had to re-bid the project; therefore, work on the Umatac site will commence during PY2017. The completed project will serve both the Umatac and adjacent Agat communities with an accessible recreational facility that promotes physical fitness and the development of recreational sports teams.

The Rehabilitation and Upgrade of the Astumbo Gym, which began in PY2015, has continued through PY2016. Anticipated completion of this project is November 2017. The rehabilitated facility will qualify the gym as a Tier 2 shelter that can accommodate 400 individuals seeking shelter from a natural disaster. The upgrades will also include ADA accessibility features.

A project under the Renewal Affordable Homes Program used HOME funds for the construction of five houses for eligible low-and-moderate income individuals. The project, called Astumbo 5, continued through PY2016 and will be completed by the end of 2017. The new homes will be available for purchase by eligible first-time homebuyers. This project addresses the ConPlan priority of sustaining affordable housing stock.

The projects funded under Public Services address the priority of Community Support Services. During PY2016, CDBG funds helped support the work of organizations that provide suitable living environments and enhance the quality of life of very low and low-income individuals and special needs populations. These service providers include The Salvation Army's Lighthouse Recovery Center, HMIS and Family Services Center, Ayuda Foundation's Island Girl Power, and Big Brothers Big Sisters of Guam's Opportunity Initiative. These organizations run various programs, including assistance for homeless or near-homeless individuals, homeless prevention services, jobs placement and family enrichment programs for LMI individuals, and youth development programs.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	130	0	5
Black or African American	33	0	3
Asian	291	5	0
American Indian or American Native	13	0	3
Native Hawaiian or Other Pacific Islander	2,282	15	170
Total	2,749	20	181
Hispanic	2	0	0
Not Hispanic	2,747	20	181

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The number of persons assisted in Table 2 does not include “Multi-Racial,” a category that many of the sub-recipients tracked. Collectively, 328 individuals identified as “multi-racial”, bringing the total number of individuals assisted up from 2749 to a total of 3077.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	3,059,657	1,732,833
HOME	HOME	1,651,641	1,569,217
HOPWA	HOPWA		
ESG	ESG	234,233	202,328
Other	Other		

Table 3 - Resources Made Available

Narrative

This reporting year, Guam received an allocation of \$4,026,920 in Community Planning and Development (CPD) funds. Of the total funds awarded, \$3,043,965, in addition to program income, was utilized for Community Development Block Grant projects that included the ongoing construction of the Central Precinct Command, the rehabilitation of the Umatac Baseball field, and public services to assist low and moderate income families. ESG funds in the amount of \$234,233 have been awarded to provide homeless prevention and rapid re-housing services. 70% of funds will go toward rapid re-housing services to assist families living in emergency shelters or on the streets. In addition to reprogrammed funds, the HOME Program received funds in the amount of \$748,722, of which \$636,413 was allocated for the Homeowner New Construction Project. Guam planned to acquire private property for 3 single family units for sale to eligible first-time homeowners.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

Table 4 – Identify the geographic distribution and location of investments

Narrative

While Guam does not target specific geographic areas, prospective projects are evaluated based on feasibility, cost-effectiveness, impact in the community and need. The two Public Facilities projects meet HUD's requirements of benefiting low-and-moderate-income persons. Guam has allocated funds for the rehabilitation of the Umatac Baseball Field located in southern Guam, where the residents of the villages of Umatac and nearby Agat can utilize the project for recreation and physical fitness. Umatac and Agat qualify as LMI areas, and the Umatac project provides Guam's southern residents access to recreational facilities in their community. The funding of the Umatac Baseball Field project follows the rehabilitation of the Astumbo Gym in PY2015, which is located in northern Guam and is slated to be completed in PY2017. The Astumbo gym is located in Dededo, where 65% of village residents qualify as LMI

individuals. In prior project years, recreational facilities in the central village of Sinajana was rehabilitated, and another northern facility in Agafa Gumas was also rehabilitated. The Umatac project expands access to recreational facilities to LMI residents in southern Guam. The construction of the Central Precinct Command commenced in PY2016, and when completed, it will serve multiple villages in the central region of Guam. The total number of individuals served is approximately 28,004 individuals. The projects listed above (Central Precinct Command, Umatac Baseball Field) have not been completed during the reporting period; thus the Actual Percentage of Allocation per project is yet to be determined.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Resources from various funders provide additional support to Guam projects. These include corporate partners, federal agencies, and local government. Funding from federal agencies have come from the U.S. Dept. of Interior, U.S. Veterans Administration, U.S. Probation Office, U.S. Dept. of Health and Human Services, and the U.S. Dept. of Housing and Urban Development. Local government funding sources include Guam Behavioral Health and Wellness Center and Guam Dept. of Public Health and Social Services. Sub-recipients from the nonprofit sector contribute their resources that include private giving, corporate support and in-kind assistance.

Public Facilities and Infrastructure

Facilities constructed or rehabilitated with CDBG funds will be maintained by the respective organizations or government entities. This reporting year, construction on the Central Precinct Command and the Umatac Baseball Field commenced. The Guam Police Department will oversee the maintenance and upkeep of the Central Precinct Command, while the Umatac Mayor's Office will oversee their baseball field. Public Services sub-recipients involved in a CDBG public service program have all contributed additional resources to complete their projects. This reporting year, public services sub-recipients were all nonprofit organizations that reported leveraging funds from individual donations, corporate sponsorships, and funds from private foundations and other government grants.

Matching Requirements

Guam is waived from HOME and ESG matching requirements.

In this reporting year, Guam allocated less than 1% of its CDBG funds to match the Continuum of Care (CoC) Program's database project, the Homeless Management Information System (HMIS). The HMIS is a HUD-required tool that allows human service providers to enter, track and report on information on homeless clients. HMIS also allows GHURA to track its sub-recipients' progress on HUD-funded projects.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
282,404	305,672	175,202	0	412,874

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	336,200	0	336,200	0	0	0
Number	1	0	1	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	336,200	336,200	0			
Number	1	1	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	32	54
Number of Non-Homeless households to be provided affordable housing units	16	8
Number of Special-Needs households to be provided affordable housing units	1	0
Total	49	62

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	40	54
Number of households supported through The Production of New Units	3	8
Number of households supported through Rehab of Existing Units	1	0
Number of households supported through Acquisition of Existing Units	0	0
Total	44	62

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

In PY2016, Guam set out to provide 49 households with affordable housing through the HOME and ESG programs. 62 households were placed in affordable housing, exceeding the annual goal. The outlook for the next program period looks even more promising. Guam anticipates a further increase in Households Supported in the next program year as the inventory of affordable housing will increase. The increase is the result of the completion of several projects including the HOME Acquisition/Rehabilitation known as the AsTumbo 5 project and a 66-unit LIHTC development. Outside of CDBG, HOME and ESG, increases in

other resources will allow for more individuals to be served. For example, Guam is slated to receive additional resources through the HUD Veterans Affairs Supportive Housing program (HUDVASH). Partners working with the Dept. of Veteran’s Affairs report that Guam is anticipating 5 additional HUDVASH vouchers, bringing Guam’s total to 46 vouchers. Moreover, Guam’s program partners in the Continuum of Care have received non-HUD funding to expand their services to homeless youth to provide housing and treatment services.

Discuss how these outcomes will impact future annual action plans.

Future annual action plans will include the ongoing prioritization of affordable housing. Partner organizations, working through the Continuum of Care, likewise will continue to work on rapid rehousing and housing prevention programs. Given the additional resources that have become available to Guam’s homeless population, Guam may have to adjust or increase its targets in future annual action plans.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	0
Low-income	0	8
Moderate-income	0	0
Total	0	8

Table 13 – Number of Households Served

Narrative Information

Under the HOME program, a total of 8 individuals were served. 7 individuals qualified as low-income (at the 80% income limit) and were placed in affordable housing. 1 individual qualified as very low-income (at the 50% income limit) and was placed in a home under the Neighborhood Stabilization Program.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Continuum of Care's Guam Homeless Coalition continued providing outreach and services to homeless persons throughout PY2016. The following events and services took place during the reporting period:

Annual Homeless Passport to Services: The annual Passport to Services provides a variety of mainstream services such as vaccinations and employment services to those who are homeless and at-risk for becoming homeless. The event is facilitated by government, nongovernment and private sector entities that provide information and services. At this outreach, homeless persons are given assistance to apply for programs such as housing or veterans' benefits.

Homeless Point-in-Time Count: The Point-in-Time count gives service providers the opportunity to know where to find and provide services to homeless persons who otherwise would not avail themselves of such programs, either because of lack of transportation or lack of knowledge of programs. Dozens of volunteers helped to canvass the island to document the number of sheltered and unsheltered homeless individuals on Guam.

Service Providers: Guam's service providers, including the CoC, conduct regular outreaches to engage homeless, including those who are chronically homeless. Service providers of beds ensure their occupancy is at 100% by conducting regular outreaches. In addition to providing beds, services are often coupled with treatment for those with substance abuse issues. Organizations in the healthcare field also regularly engage homeless populations by visiting underserved areas and conducting outreaches in areas where homeless persons are known to congregate.

Medical Outreach: Several community organizations participated in an annual medical outreach to serve homeless individuals. Services provided included immunization, HIV/STI screenings, diabetes screenings, and eligibility screenings for Public Health and Dept. of Labor programs. The University of Santo Tomas Alumni Association organized the outreach, which was held at the Catholic Social Services campus on November 2016.

Addressing the emergency shelter and transitional housing needs of homeless persons

Guam has several emergency shelters and transitional housing programs to address the needs of homeless persons. The available resources are listed below:

Guma San Jose Guma San Jose consists of two shelters that provide temporary housing for males and families. One of the shelters was constructed with CDBG funds from a prior allocation. Both shelters receive local funds from the Department of Public Health and Social Services (DPHSS) for the operations of these facilities. Although non-HUD funded, information collected from persons served are inputted into the HMIS and are utilized for various reporting purposes. In addition, five homes previously renovated with CDBG funds in the village of Dededo located beside the Guma San Jose shelter has been repurposed. The five homes were renovated to provide affordable rental housing to income eligible individuals and families. Guam then reconsidered the target population, and although the units remain as permanent housing, they are now made available to homeless families and managed by Guma San Jose. Funding through the DPHSS has been identified to support the maintenance and operations of these homes which target homeless persons.

Catherine's Home/Alee Shelter (Women) This facility was constructed with CDBG funds from PY2010 to house adult females and their children who are victims of domestic violence. The project provides services to at least 350 women and children annually. Alee Shelter (Children) This 24-hour emergency receiving home takes in children ages birth to seventeen who are victims of abuse and/or neglect. These children are referred by Child Protective Services (CPS), a division of the DPHSS.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

In past CAPERs, Guam has reported on the lack of housing for children in the care of Child Protective Services as a challenge. During this program year, some progress has been made on this front. In June 2017, the Government of Guam announced the building of a foster care home funded by the Dept. of Interior and to be completed by March 2018. The facility will assist several of the island's temporary shelter programs that have often been used to house foster care children.

The Emergency Solutions Grant continues to assist homeless and near-homeless individuals by providing rapid rehousing and homeless prevention services. ESG grants cover rental and utility assistance for persons and individuals on the verge of becoming homeless. Eligible households can also receive housing relocation and stabilization services such as counseling, case management and money management classes.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Help for homeless persons transition to a more stable life has come in various forms. For one, the use of HMIS has improved the quality of data collection significantly, thus allowing for improved services to homeless persons. HMIS has allowed for better coordination of data collection not just from CoC members, but has included data from non-HUD funded service providers, thus making services more streamlined and efficient.

GHURA and Guam's Continuum of Care have continued to prioritize Emergency Solutions Grant funds to provide rapid re-housing and homeless prevention services. Rapid re-housing consists of quickly moving homeless persons who reside on the streets or in one of Guam's homeless shelters into permanent housing. Persons are given rental and utility assistance of up to 6 months while receiving housing relocation and stabilization services which consists of case management, counseling, and money management classes. Because the program is not long-term individuals and families are screened to ensure those who are entered into the program have the capacity to stabilize their housing once the program ends.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Guam has successfully utilized tax credits to assist large developers in their projects as in prior years. In 2016, GHURA awarded over \$2.17M in tax credits to Summer Town Estates III, LLC to support the development of 66 rental units that include 33 three-bedroom/2 bathroom units and thirty-three 4-bedroom/2 bathroom units in Lada, Dededo. These units will income eligible individuals and their families.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Guam's public housing residents can prepare for homeownership through the Family Self-Sufficiency (FSS) program. The program links Section 8 and public housing residents with services to help build their financial assets while working toward personal goals that include home ownership, improving credit scores and finding employment. During the program year, Guam enrolled 117 participants, and of this total, 42 individuals are contributing to an escrow account in anticipation of purchasing a home.

Guam engages public housing residents in management through the appointment of one public housing tenant to GHURA's Board of Commissioners. This program year, there is one such representative serving as a Resident Commissioner.

Public housing residents are also encouraged to be involved in management through participation in the Resident Advisory Board (RAB). Two out of the four public housing properties have established RABs that meet monthly. Recruitment for the RABs are ongoing. Members take an active role in deciding what improvements need to occur, such as capital improvements or long-term self-sufficiency programs. For example, the agenda for an upcoming RAB meeting will include a discussion on the smoke-free policy and plans to designated smoking areas on the property site.

Actions taken to provide assistance to troubled PHAs

N/A

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Since 2016, Guam has been impacted by the shift in the U.S. Dept. of Labor's processing of temporary alien workers under the H-2B visa program. The U.S. Dept. of Labor has not approved H-2B visa petitions since 2016, and this visa program typically includes skilled and semi-skilled tradesmen for the construction industry. The lack of visa approvals has slowed construction projects significantly. The Guam business community is pursuing a legal resolution, and lawmakers are working with Guam's congressional delegate to address the matter. The visa rejections has not only affected commercial development and home construction, but has impacted other sectors such as the health industry.

Guam has successfully utilized tax credits to assist large developers in their projects as in prior years. In 2016, GHURA awarded over \$2.17M in tax credits to Summer Town Estates III, LLC to support the development of 66 rental units that include thirty-three 3-bedroom/2 bathroom units and thirty-three 4-bedroom/2 bathroom units in Lada, Dededo. These units will target income-eligible individuals and their families.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Special Needs and Low-to-Moderate Income Housing:

- Guam was successful in closing the homeowner loans of 8 eligible first-time homebuyers through the Renewal Homes Program. The Marianas Community Development Corporation was successful in placing an additional 13 homeowners in affordable homes under the Renewal Homes Program.
- 1 household completed the renovations to their home to bring it up to code compliance. Assistance was made available under the Homeowner Rehabilitation Program.

Public Facilities and Improvement

- Guam acquired property for the Central Precinct Command project, and began construction during the program year. A ground-breaking ceremony was held June 14, 2017 in the village of Sinajana. Construction continues into PY2017.
- Rehabilitation of the Astumbo Gym was ongoing in PY2016.

- Rehabilitation of the Umatac Baseball Field commenced in PY2016.

Community Enhancement

- Guam funded the following Public Services projects under Community Enhancement: *the Salvation Army's Lighthouse Recovery Center*, an evidence-based substance abuse treatment for homeless and low-and-moderate income, at-risk men seeking treatment services; *Big Brothers*; *Big Sisters of Guam's Opportunity Initiative*, a project to provide enrichment activities, work readiness and literacy skills trainings, and jobs placement; *Ayuda Foundation's Kurason Ysengson*, a program to provide youth leadership and development activities and other educational and recreational programs; *the Salvation Army's Family Services Center* to prevent homelessness among individuals and families with rapid rehousing and emergency and transitional housing; *the Homeless Management Information System* that captures client level information on persons served through various homeless programs.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Guam complies with local and federal statutes pertaining to lead-based paint. Additionally, Guam contractors are required to comply with 40 CFR Part 745. The federal law requires contractors performing renovation, repair and painting projects that disturb lead-based paint in homes, child care facilities and schools built before 1978 to be certified and to follow specific work practices to prevent lead contamination.

GHURA maintains an allocation of approximately 2,500 housing vouchers under the Housing Choice Voucher Program (HCVP). New HCVP tenants attend an initial briefing as part of their entrance into the program. Participants are instructed on the hazards of lead-based paint and are provided HUD-approved materials on the subject. HCVP building inspectors conduct lead-based paint inspections to ensure safety compliance prior to permitting occupancy for an individual or family participating in the program. An equivalent process is maintained for the 750 units of Public Housing (PH) administered by GHURA. PH administration is mandated to comply with all federal laws regarding the operation and upkeep of units receiving federal funds. Guam conducts inspections also for CoC funded tenant-based rental assistance units, housing units acquired through HOME funds for acquisition and rehabilitation, homeowner units under the HOME-funded Homeowner Rehabilitation Program, and for facilities that are acquired and rehabilitate where children will occupy the building. Families who are occupying units that are built prior to 1980 that have been inspected and passed are provided a Lead-Based Paint brochure that discusses the hazards of lead-based paint poisoning. These inspections are part of Guam's housing policies and procedures.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Guam has taken actions to reduce the number of poverty-level families by working toward the goals set

forth in the 2015-2019 ConPlan.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Guam dedicates time and resources to ensure that its existing policies and routine practices concur with federal and local requirements. And when necessary, staff will make the requisite revisions to policies and procedures. Similarly, when relevant opportunities for training and development arise, Guam participates by ensuring appropriate staff participate.

The following trainings were undertaken in the current program year to develop GHURA's institutional structure:

- Ethics training conducted by Lora Han, Chief Counsel, HUD
- Fair Housing and Equal Opportunity training conducted by Jelani Madaraka, Lead Civil Rights Analyst, HUD Honolulu Field Office
- CDBG HUD Monitoring Visit by Brian Johnson, CPD Sr. Representative, HUD Honolulu Field Office
- 5-day Quadel Certification Training and Testing for Public Housing Management (PHM), Uniform Physical Condition Standards (UPCS), and Public Housing Assessment System (PHAS)
- Staff attended various webinars offered through HUD Exchange and Novogradac; Board of Commissioners attended webinars on ethics and board fundamentals offered through the National Association of Housing and Redevelopment Officials, HUD and the Government of Guam.
- Resident Opportunities and Self-Sufficiency (ROSS) staff attended a national conference for service coordinators.
- Procurement training for management staff

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

GHURA maintains a strong network among social service providers in its capacity as the Public Housing Authority and as the Collaborative Applicant for Guam's Continuum of Care. These providers work in partnership to address populations facing homelessness, domestic violence, mental illness, disabilities, financial hardship, among other challenges. In engaging these social service providers, GHURA is also working to advance the goals and priorities identified in the Five-Year Consolidated Plan (2014-2019).

These organizations include the following:

Ayuda Foundation
Big Brothers Big Sisters of Guam
Catholic Social Services
Dept. of Public Health and Social Services
GovGuam Association of Retired Persons
Guahan Academy Charter School
Guam Association of Realtors
Guam Behavioral Health and Wellness Center
Guam Dept. of Education
Guam Division of Integrated Services for Individuals with Disabilities
Guam Facilities Foundation Inc. (GFFI)
Guam Fire Department
Guam Homeless Coalition
Guam Police Department
Habitat for Humanity Guam
Mayors' Council of Guam
Micronesia Community Development Corp. (MCDC)
Oasis Empowerment Center
Sanctuary Guam, Inc.
The Salvation Army
University of Guam
U.S. Dept. of Veterans Affairs
Victim Advocates Reaching Out
Westcare Pacific

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Guam's latest Analysis of Impediments to Fair Housing, conducted in 2011, cites the lack of understanding of the fair housing complaint system as one barrier to fair housing. Since that finding, Guam has regularly taken the lead to conduct outreach and informational sessions to improve the public's understanding of fair housing. The information sessions have incorporated how to identify and report complaints. In the past program year, Guam facilitated two fair housing trainings that included a broad audience of public housing personnel, real estate agents, nonprofit LIHTC property managers, veterans groups and other members of the community. A similar training also took place in partnership with the Guam Association of Realtors.

To further improve understanding of fair housing rights and resources among non-English speakers, Guam through its network of community partners, has sought assistance from multi-lingual interpreters who help cross linguistic barriers to provide information assistance about housing issues.

Another barrier cited in the 2011 report is the difficulty in enforcing fair housing laws due to Guam's landlord and tenant code. Guam has taken steps to address this impediment by consulting with the Guam Legislature and its working group to provide input on a tenants' bill of rights. This bill of rights will encompass fair housing practices, among other concerns. Additionally, Guam is enlisting guidance from HUD to form a broad network of service providers who could provide referral services and basic guidance on fair housing issues.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

During this project year, the following monitoring activities took place:

- Guam conducted onsite monitoring of all construction-related activities throughout the construction process. Monitoring includes compliance with applicable federal and local laws.
- CDBG Public Service projects were monitored for performance measured against programmatic and financial requirements.
- CDBG public facilities constructed through past awards were physically inspected for continued compliance with HUD regulatory requirements. As government-owned public facilities are held to compliance in perpetuity, monitoring is continual.
- On-site and remote monitoring of HOME activities is conducted for homebuyer and homeowner programs.

Standards and Procedures for Monitoring Activities:

To achieve a strong program, Guam regularly provides technical assistance, training and monitoring of sub-recipients and grantees. The following activities took place during the program year:

- Mandatory orientation for new grantees and sub-recipients at the start of the program year
- Planners and program coordinators are assigned full responsibility for oversight of individual projects
- Technical assistance is provided upon request
- GHURA monitors the timely submission of expenditure reimbursement request, which is within 60 calendar days of the close of the period the expenses were incurred. Sub-recipients and grantees are informed that non-compliance with deadlines may result in delays to future reimbursement processing
- GHURA holds sub-recipients and grantees responsible for timely submission of periodic reports in compliance with the terms of the award.

Physical Inspections

GHURA's Architect and Engineering (A/E) Division regularly monitors the CDBG and HOME –funded construction activities. A/E staff conducts physical inspections of project sites prior, during and after the

completion of a project to ensure strict compliance. These visits ensure construction timelines and quality requirements throughout the construction process are met. The monitoring process continues annually once CDBG and HOME-funded projects are completed to ensure the use of the buildings is in compliance with HUD regulations.

Program Monitoring

GHURA continues to conduct monitoring activities such as desk-reviews and on-site reviews throughout the program year. These activities allow GHURA to administer the funded programs effectively and ultimately improve services rendered to the community. GHURA continues to increase efforts to educate, train and work in partnership with sub-recipients and stakeholders to ensure compliance with the laws and regulations governing those funds. Additionally, improving monitoring activities is a goal the agency continues to build upon to effectively administer funded activities /programs.

Guam conducts desk reviews or on-site monitoring of homeless program activities of the Continuum of Care Program and the Emergency Solutions Grant on an annual basis.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Guam conducts a public hearing to allow the public to provide oral and written commentary on the draft performance report, or the Consolidated Annual Performance Evaluation Report (CAPER). Comments are then incorporated into the report prior to Guam's final submission to HUD. Guam publishes a total of three advertisements, or Notice to the Public ads, that include details of the purpose of the report, important dates and timelines concerning the public comment period, public hearing and deadline to receive written comments. The ads are placed in a newspaper of general circulation. The CAPER is also submitted to the Guam State Clearinghouse as part of an inter-governmental review process.

This CAPER was made available for public review and comment on November 24, 2017 to December 12, 2017. Guam advertised the availability of the report on two different dates: November 24, 2017 and December 11, 2017 in the Guam Daily Post. Guam held a public hearing on December 12, 2017 to give the public an opportunity to provide oral comments. The report was also published online on the GHURA website, www.ghura.org, and hard copies were made available at GHURA's Main Office located at 117 Bien Venida Ave., Sinajana, Guam 96910.

The following oral/written comments were received:

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There are no changes to the program objectives for the reporting period.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

GHURA on-site inspections are conducted for the following programs:

Public Housing

Housing Choice Voucher Program/Section 8/HUDVASH

CoC Housing First Rental Assistance

CoC Y'Jahame Permanent Housing Program

CoC Empowered Together

HOME - Acquisition and Rehabilitation

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Guam ensures widespread access to information about affordable housing programs by publicly posting notices in the Guam Daily Post, a newspaper of general circulation, and through posts on the GHURA website. Other publications are also used to ensure broader circulation of HOME programs. This includes purchasing ads in the Pacific Daily News and the U Matuna, a faith-based publication. Guam utilizes similar marketing actions to advertise construction projects funded through HOME funds.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

During PY2016, Guam utilized CDBG Program Income funds in the amount of \$15,692 toward the Construction of the Central Precinct Command project. Situated in Sinajana, this facility will increase access to public safety services for residents from multiple villages in Guam's central region. Also during PY2016, Guam utilized HOME Program Income funds in the amount of \$149,994.62 toward acquisition and rehabilitation activities for the Renewal Program.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

Guam continues to support the development of new affordable rental housing through the issuance of annual federal tax credits as allowed through Internal Revenue Service's regulations. The LIHTC developments on Guam have benefited individuals at or below 60% area median gross income, elderly through the Project Based Voucher program, and veterans in the HUD VASH program.

During this reporting period, Guam awarded over \$2.17M in tax credits to Summer Town Estates III, LLC to support the development of 66 rental units that includes 33 three-bedroom/two-bathroom units and 33 four-bedroom/two-bathroom units for income-eligible families.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	GUAM
Organizational DUNS Number	855031519
EIN/TIN Number	960001279
Identify the Field Office	HONOLULU
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Ms
First Name	Katherine
Middle Name	E
Last Name	Taitano
Suffix	0
Title	Chief Planner

ESG Contact Address

Street Address 1	117 Bien Venida Avenue
Street Address 2	0
City	Sinajana
State	GU
ZIP Code	-
Phone Number	6714751322

CAPER

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Extension 0
Fax Number 6713007565
Email Address katherine@ghura.org

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 10/01/2016
Program Year End Date 09/30/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: GUAM
City: Sinajana
State: GU
Zip Code: 96910, 3643
DUNS Number: 855031519
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 216666

Subrecipient or Contractor Name: The Salvation Army
City: Tiyan
State: GU
Zip Code: 96913,
DUNS Number: 855033457
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17567

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	19
Children	4
Don't Know/Refused/Other	0
Missing Information	0
Total	23

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	73
Children	71
Don't Know/Refused/Other	0
Missing Information	0
Total	144

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	92
Children	75
Don't Know/Refused/Other	0
Missing Information	0
Total	167

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	73
Female	94
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	167

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	75
18-24	14
25 and over	78
Don't Know/Refused/Other	0
Missing Information	0
Total	167

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	5	3	2	0
Victims of Domestic Violence	2	0	2	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	1	0	1	0
Persons with Disabilities:				
Severely Mentally Ill	12	6	6	6
Chronic Substance Abuse	9	4	4	0
Other Disability	21	13	8	0
Total (Unduplicated if possible)	42	23	19	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

As the administrator of HUD funds, GHURA in collaboration with the local Continuum of Care (CoC) developed the performance standards for the project outcomes. Guam utilized the Homeless Management Information System (HMIS) to measure the performance of all homeless programs inclusive of the ESG. The various components that are evaluated include client eligibility, services rendered, compliance with the households Individual Service Plan or the Housing Stability and Affordability Plan, and progress of households receiving assistance such as permanent placement of housing. General performance standards such as housing are also reported and evaluated.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	22,612	16,722	10,006
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	1,556	3,162	4,307
Expenditures for Housing Relocation & Stabilization Services - Services	20,550	44,101	19,837
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	44,718	63,985	34,150

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	71,734	87,244	88,358
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	14,503	12,509	30,945
Expenditures for Housing Relocation & Stabilization Services - Services	45,755	63,684	27,559
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	131,992	163,437	146,862

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	0
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	0

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	13,593	18,796	21,317
Administration	0	0	0

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
638,850	190,303	246,218	202,329

Table 29 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	0

Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	0

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
638,850	190,303	246,218	202,329

Table 31 - Total Amount of Funds Expended on ESG Activities