



**GUAM
CONSOLIDATED
ANNUAL
PERFORMANCE AND
EVALUATION
REPORT (CAPER)**

PROGRAM YEAR 2014

OCTOBER 1, 2014 TO SEPTEMBER 30, 2015

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Guam's Program Year 2014 projects addressed several goals to include:

- Housing and Supportive Services
- Sports and Recreational Facilities
- Increase Homebuyer Opportunities
- Sustain Affordable Housing
- Substance Abuse Treatment Services
- Community Learning and Resource Centers
- Job Creation

These projects included:

1. Removal of Architectural Barriers to Accessibility
2. Guma Trankilidat ADA & Section 504 Compliance
3. Rehabilitation of the Sinajana Community Recreational Facility
4. Rehabilitation of the Agafa Gumas Community Recreational Facility
5. Rehabilitation of the Astumbo Gardens Community Recreational Facility
6. Rehabilitation of the Dededo Staff Housing
7. The Opportunity Initiative
8. Family Services Center
9. Lighthouse Recovery Center
10. Homeless Management Information System
11. Emergency Solutions Grant – Homeless Prevent & Rapid Re-Housing Services
12. Acquisition-Rehabilitation for Homebuyers
13. North Gate Commercial Center Section 108 Pre-Application

Of the PY2014 Community Development Block Grant (CDBG) funds received, Guam proposed to utilize 72% of its funds for Public Facilities and Improvement projects. These projects include: 1) Removal of Architectural Barriers to Accessibility; 2) Guma Trankilidat ADA & Section 504 Compliance; 3) Rehabilitation of the Sinajana Community Recreational Facility; 4) Rehabilitation of the Agafa Gumas Community Recreational

Facility; 5) Rehabilitation of the Astumbo Gardens Community Recreational Facility; and 6) Rehabilitation of the Dededo Staff Housing. The remaining 10% were utilized for Public Service activities such as 1) The Opportunity Initiative; 2) Family Services Center; 3) Lighthouse Recovery Center; and 4) Homeless Management Information System. Eighteen percent (18%) of the funds were utilized for Administrative and Planning activities which involve monitoring projects for regulatory compliance such as Fair Housing and Section 504, processing of payment requests, and implementation of proposed priorities and goals as established in the Consolidated Plan.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Learning and Resource Centers	Non-Housing Community Development	CDBG: \$150000	Other	Persons Assisted	1300	1300	100%	1300	1300	100%
Housing and Supportive Services	Homeless Non-Homeless Special Needs	CDBG: \$125147 / ESG: \$199109	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2000	3,055	153%%	1896	3,055	161%
Housing and Supportive Services	Homeless Non-Homeless Special Needs	CDBG: \$125147 / ESG: \$199109	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	418	510	122%	24	47	196%

Housing and Supportive Services	Homeless Non-Homeless Special Needs	CDBG: \$125147 / ESG: \$199109	Homelessness Prevention	Persons Assisted	162	204	126%	6	9	150%
Increase Homebuyer Opportunities	Affordable Housing	HOME: \$669909	Homeowner Housing Added	Household Housing Unit	10	10	100%	4	0	0.00%
Job Creation	Non-Housing Community Development Job Creation	CDBG: \$12000000	Jobs created/retained	Jobs	255	0	0.00%	255	0	0.00%
Sports and Recreational Facilities	Non-Housing Community Development	CDBG: \$1378820	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3000	541	180%	800	771	96%
Substance Abuse Treatment Services	Homeless Non-Homeless Special Needs	CDBG: \$177326	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1260	1169	93%	252	161	64%
Sustain Affordable Housing	Affordable Housing Non-Homeless Special Needs	CDBG: \$650000	Rental units rehabilitated	Household Housing Unit	10	20	200%	4	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

As mentioned above, Guam's Program Year 2014 projects addressed several goals to include:

- Housing and Supportive Services
- Sports and Recreational Facilities
- Increase Homebuyer Opportunities
- Sustain Affordable Housing
- Substance Abuse Treatment Services
- Community Learning and Resource Centers
- Job Creation

CDBG Ongoing Construction

Rehabilitation of the Sinajana Community Recreational Facility

Funds will be used to rehab the baseball field with minor improvements to the park across the GHURA main facility. Additionally, CDBG funds will be used to resurface the basketball court and construct a permanent canopy over the court. The proposed permanent canopy is to assist residents, especially the elderly, to keep protected from the heat. The court at times during the day when the temperature is at its hottest remains unused because of the heat. This will be the first basketball court to include such a design. This project will provide the residents of Sinajana with a safe, decent, and sanitary facility. The village is home 2,592 persons of which 53% are low/moderate income. This project will be managed by the Sinajana Mayor's Office. Anticipated completion is May 2016.

Rehabilitation of the Agafa Gumas Community Recreational Facility

Funds will be used to rehab the existing basketball court (resurfacing, mend or replace fencing, lighting, new backboards and rims); ADA accessibility; renovating existing pavilion. Guam reprogrammed funds in the amount of \$120,000 to incorporate additional rehab work to include constructing a full perimeter fence, construct a mini skate park with lighting, clearing and removal of debris and the construction of a restroom. This facility will be made available to the 19,827 total residents of Yigo of which 62% are low-and moderate-income. At the tract level where the project is located, 75% of residents are low-and moderate income. This project will be managed by the Yigo Mayor's Office.

Rehabilitation of the Astumbo Gardens Recreational Facility

Funds will be utilized to rehabilitate the baseball field and rehab fence around it; construct a new basketball court and enclose with a fence. Once completed this facility will serve the 44,409 residents residing in the village of Dededo of which 65% are low-and moderate-

income. At the tract level 72% are low-and moderate income; at the block group level, 63% are low-and moderate income. This project will be managed by the Dededo Mayor's Office.

CDBG Completed Construction Projects

Upgrade of the Richard DeGracia Naputi Sports Field

A ribbon cutting ceremony was held on September 3, 2015 marking the completion of the rehabilitation of the Richard DeGracia Naputi Multipurpose Sports Field. CDBG funds were utilized to rehabilitate an existing multipurpose sports field (baseball field). The rehabilitation included the design and construction of a perimeter fence with appropriate access gates, dugout facilities, backstop, electrical lighting, walking trail and grading/refurbishing the field. Reprogrammed funds were also utilized to address the change in the layout of the baseball field to accommodate additional league levels and provide the necessary lighting for the change. Guam utilized the survey methodology to determine the percent of low-and moderate income persons who reside in the service area identified that will benefit from the project. Upon completion of the survey, Guam found that 67% of the families residing in the service area surveyed were low-and moderate-income. Thus the project met the area benefit required by the CDBG program which mandates that projects serve at least 51% of persons who are low-and moderate-income. This project is managed by the Talofofo Mayor's Office.

Construction of the Kattan (Central) Youth Resource Center

A ribbon cutting ceremony was held on March 18, 2015 marking the completion of the rehabilitation of the Kattan (Central) Youth Resource Center. CDBG funds were used for the design and build of the Kattan (Central) youth Resource Center (PFI). The project provides programs and services for children, youths, and their families that promote social development and strengthens the family units as well as the communities of these families. Programs and services include, but are not limited to, after-school activities, mentoring, tutoring, computer labs, job training, case management and counseling services, 24-hour crisis intervention, individual and family counseling, adolescent and parent support groups, educational awareness presentations, sports and recreation, and other skills-building activities and workshops. The facility is managed by the Department of Youth Affairs (DYA).

Removal of Architectural Barriers to Accessibility

CDBG funds were used to make accessibility improvements by equipping GHURA's main facility with an elevator and rehabilitating the first floor public restroom to meet ADA requirements. The rehabilitated facility is made accessible for the island's disabled population of which 8% of Guam's total population of 159,358 is disabled. According to the 2010 Census, fifty-percent (50%) of disabled persons are identified with mobility impairments.

CDBG Public Services

All public service projects continue to provide ongoing services to low-and moderate-income persons. These projects provide an array of services to the community to include:

- Workplace readiness workshops and family literacy programs through the Big Brothers Big Sisters of Guam
- Homeless prevention and rapid re-housing financial assistance and housing relocation and stabilization services provided through The Salvation Army Family Services Center
- Substance abuse treatment services and outpatient services provided through The Salvation Army Lighthouse Recovery Center
- Case management software managed by the Homeless Management Information System that collects and records client level information on individuals and families served through various homeless assistance programs and those provided homeless prevention and rapid re-housing services

HOME Activities

Guam continued to utilize its HOME Investment Partnership Program Grant to benefit low-income homebuyers and low-income homeowners who seek to bring their homes into compliance with local building code standards.

Acquisition and Rehabilitation for Homebuyers

Guam proposed to acquire and rehabilitate an additional four (4) single family units and make them available for sale to low and moderate income qualified and eligible homebuyers. This program year, Guam did not acquire any homes. HOME funds were used to continue the rehabilitation of homes that were acquired in the previous reporting year. In total Guam acquired 11 homes. This program year, program staff successfully closed the loans for 8 eligible first-time homebuyers through the Renewal Homes program.

ESG Homeless Prevention and Rapid Re-Housing

This reporting year, Guam utilized Emergency Solutions Grant funds to provide financial assistance such as security and utility deposits, rental and utility arrears, and up to 24 months of rental and utility payments. Funds were also utilized to provide housing search and placement, housing stability case management, and credit repair to individuals and families experiencing homelessness to move into permanent housing and achieve housing stability. A total of 56 households, representing a total of 186 persons were assisted during this reporting year.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	105		10
Black or African American	25		1
Asian	150	5	15
American Indian or American Native	3		0
Native Hawaiian or Other Pacific Islander	2724	2	159
Multiple Race	50		1
Other	0	1	0
Total	3057	8	186
Hispanic	36	0	0
Not Hispanic	3021	8	186

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

This reporting year, Guam utilized its Community Planning and Development funds to assist a total of 3,057 low-and moderate-income persons. Of the total assisted, 94% were provided assistance through one of the island’s public service projects to include the Big Brothers Big Sisters of Guam, the Lighthouse Recovery Center, Family Services Center, and Homeless Management Information System. Less than 1% were assisted through the HOME Investment Partnership Program. A total of 8 eligible homebuyer households successfully closed on their loan to purchase one of GHURA’s affordable housing units. Under the ESG program, a total of 186 persons representing 56 households were assisted with rapid re-housing and homeless prevention services. Of the 56 households, 47 were homeless households transitioning from one of Guam’s emergency shelters or were taken directly off the streets and into permanent housing.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	Federal & Local	\$3,025,967	2,191,808.88
HOME	Federal & Local	\$788,128	530,733.16
ESG	Federal	\$215,209	190,303.42

Table 3 - Resources Made Available

Narrative

Guam receives an allocation of approximately \$4M annually. This reporting year, Guam spent a total of \$2.9M CPD funds. Of the total CDBG funds spent, 6% represent Program Income funds and 40% of HOME funds comprised of Program Income. Program Income consists of funds received from the sale or rental of HOME or CDBG assisted units which must be disbursed before grant or entitlement funds are spent.

Of the CDBG funds expended, 78% were utilized for Public Facilities and Improvement projects. These projects consisted of the new construction of the Central Youth Resource Center (Kattan), the rehabilitation of the Richard DeGracia Naputi Sports Field, the Removal of Architectural Barriers to Accessibility for the GHURA Main Office, and the rehabilitation of the Agafa Gumas Community Recreational Facility in Yigo and the Sinajana Community Recreational Facility.

Thirty-five percent (35%) of HOME funds were utilized to rehabilitate homes acquired for sale to eligible first-time homebuyers. This reporting year, Guam closed on eight (8) loans make affordable homeownership a reality for eight (8) first-time eligible homebuyers.

Guam continues to utilize its ESG funds to provide homeless prevention and rapid re-housing services. Guam places greater emphasis on rapid re-housing services as these funds help move homeless individuals and families quickly from emergency shelters or directly off the streets into permanent housing. Sixty-nine percent (69%) of the ESG funds expended during PY14 was utilized to rapidly re-house homeless families who were living in an emergency shelter or on the streets.

For a detailed description of expenditure of funds, please refer to Appendix A.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Talofofo – Service Area	15%	22%	In PY14, Guam proposed to use 15% of its CDBG funds to rehabilitate the Richard DeGracia Naputi Sports Field. The rehabilitated field will provide the community of Talofofo with a safe, decent, and sanitary facility. Approximately 200 households were identified in the service area. Once the income survey was completed, there was a total of 181 households of which 122, or 67%, were low-and moderate-income.

Table 4 – Identify the geographic distribution and location of investments

Narrative

In PY2014, Guam proposed to use 15% of its CDBG funds to rehabilitate the Richard DeGracia Naputi Sports Field. Based on the scope of the work to be completed and the layout of the village, GHURA and the Talofofo Mayor’s Office identified a service area that comprised of households that could easily benefit from the project. Once completed, however, this project serves to benefit all residents of the village of Talofofo.

During the identification of PY2014 projects, Guam did not have the HUD-approved 2010 Census that identified the low-and moderate-income levels of the villages. The lack of data impacted Guam’s ability to qualify projects that benefit an area. This resulted in Guam having to implement the survey methodology to qualify projects that benefited an area. The rehabilitation of the Richard DeGracia Naputi Sports Field was the first project to implement the survey methodology. Guam’s proposed methods were approved by HUD and when the survey was completed, Guam found that the service area identified in the village of Talofofo met CDBG’s national objective of serving an area where at least 51% of residents are low-and moderate-income. In the case of Talofofo, 67% of families in the service area that were surveyed were found to be of low-and moderate-income.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Additional Resources

Guam receives additional monies from a variety of funders to include U.S. Dept. of Health and Human Services, U.S. Dept. of Interior, U.S. Dept. of Justice, U.S. Internal Review Service, U.S. Veterans Administration, Federal Emergency Management Administration, and the U.S. Dept. of Housing and Urban Development. Locally, the island contributes funds from the Guam Behavioral Health and Wellness Center and Dept. of Public Health and Social Services. Non-profit organizations also contribute their own resources to include funds from their parent organization, associated organizations and charitable contributions, and community donations.

CDBG PFI Projects

All facilities constructed or rehabilitated with CDBG funds will be maintained by the respective organizations or government entities. This reporting year, Guam's completed projects include the Kattan (Central) Youth Resource Center which will be managed by the Department of Youth Affairs; the Richard DeGracia Naputi Sports Field which will be managed by the Talofofo Mayor's Office; and the Removal of Architectural Barriers which will be managed by GHURA.

CDBG PS Projects

All public service projects continue to leverage their HUD funded projects with other sources such as private foundation grants, other federal/local grants, charitable contributions, community donations, and funds from their parent organizations.

Matching Requirements

Guam is waived from any HOME or ESG matching requirements.

This reporting year, Guam utilized 1% of its CDBG funds to match a Continuum of Care (CoC) Program funded project, namely the Homeless Management Information System (HMIS). The HMIS is a shared human services database that is mandated by HUD which allows authorized personnel at homeless shelters and social service providers throughout the island to enter, track, and report on information concerning clients. The HMIS is the database used to issue reports such as the Annual Progress Reports and other customized reports to HUD and the community as a whole. These reports are utilized each year to determine whether organizations submitting their project applications for renewal under the CoC are approved or denied for continued funding. As part of its role as the administrator of HUD funds, GHURA utilizes these reports to monitor the progress of its subrecipients.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$0
2. Match contributed during current Federal fiscal year	\$0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$0
4. Match liability for current Federal fiscal year	\$0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$0

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$	\$	\$	\$	\$
0	0	0	0	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period

	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	0					
Dollar Amount	\$0.00					
Sub-Contracts						
Number	0					
Dollar Amount	\$0.00					
	Total	Women Business Enterprises	Male			
Contracts						
Number	0					
Dollar Amount	\$0.00					
Sub-Contracts						
Number	0					
Dollar Amount	\$0.00					

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted

	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0					
Dollar Amount	\$0.00					

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired	0					
Businesses Displaced	0					
Nonprofit Organizations Displaced	0					
Households Temporarily Relocated, not Displaced	0					
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0					
Cost	\$0.00					

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of homeless households to be provided affordable housing units	24	47
Number of non-homeless households to be provided affordable housing units	18	9
Number of special-needs households to be provided affordable housing units	0	0
Total	42	56

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through rental assistance	30	56
Number of households supported through the production of new units	0	0
Number of households supported through the rehab of existing units	12	0
Number of households supported through the acquisition of existing units	4	0
Total	46	56

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Guam's goal was to provide affordable housing to approximately 24 homeless households and 18 non-homeless households. A total of 56 households were provided rental assistance through the Emergency Solutions Grant program.

Guam has rehabilitated 20 former teacher staff housing for use as affordable rental. Ten units are located in Yigo and Dededo and the remaining 10 in Talofofo and Merizo. Guam has experienced several setbacks which have led to the inability to successfully rent these units. Several families seeking affordable rental found that the units were too far from their employment and other centralized locations. Also, after the units were rehabilitated other structural issues were identified that prevented families from moving in or families who were already occupying the unit had to be relocated. Units remain vacant until the issues have been addressed.

Furthermore, Guam did not acquire additional units to rehabilitate and sell as affordable housing. In total, Guam has acquired 11 homes, of which 2 are new construction. The remaining homes require minimal or extensive rehabilitation. Once the units are rehabilitated,

the homes are sold to eligible first-time homebuyers at an affordable price. Of the 11 units, only 1 unit is left that needs to be rehabilitated. Thus far, Guam has successfully closed on one of these homes. Of the remaining 9 homes, 3 are on a Lease with Option to Purchase (LWOTP).

Discuss how these outcomes will impact future annual action plans.

Guam continues to see the need for homeless prevention and rapid re-housing services provided through the Emergency Solutions Grant. Guam’s CoC continues to identify these services as priorities with greater emphasis on rapid re-housing as these services focus on moving individuals and families quickly from emergency shelters or off the streets into permanent housing. Furthermore, Guam is currently renewing its FY2015 Continuum of Care program grant which will fund the renewal of eight (8) homeless assistance programs. HUD recently announced additional funds made available through the CoC Permanent Housing Bonus in the amount of \$201,922. This funding cycle, Guam’s CoC has identified that the best use of bonus funds to provide rapid re-housing services. The new project is anticipated to begin within a year.

Guam continues to successfully close on its affordable housing units under the HOME program. Other than the units acquired and/or rehabilitated through the Acquisition and Rehabilitation program, GHURA manages 10 affordable units in Machanao/Machanaonao under the Renewal Homes program. Of the 10 Machanao/Machanaonao homes, 7 families have successfully closed on their loans and are now first-time homeowners. The remaining three units are on a LWOTP.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	2865	0
Low-income	184	1
Moderate-income	8	7
Total	3057	8

Table 13 – Number of Persons Served

Narrative Information

Based on the table above, Guam assisted a total of 3,057 low-and moderate-income persons. Of that total, 97% were extremely-low income persons, 6% were low-income, and less than 1% were moderate-income. An example of an extremely-low income household, for example, is a family of four who are earning less than \$18,601 a year, as per HUD’s FY2015 Income Limits.

Of those assisted under the HOME program, seven of the eight households who closed on their home loans were moderate-income.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Annual Homeless Passport to Services

Guam conducts an annual homeless outreach event called the Homeless Passport to Services. This event comprises of a variety of mainstream services, non-government and government agencies/organizations, and private sector business who come together to provide information and services such as vaccinations to persons who are homeless or at-risk for becoming homeless. Homeless persons are also given assistance to apply for services such as housing during the event. Guam's CoC intends to expand their outreach events to northern and southern Guam as prior events have always been held in the central parts of the island.

Homeless Point-in-Time Count

Guam's Point-in-Time Count is also utilized as a source to distribute information regarding available services on island. The Count gives service providers the opportunity to know where to find and provide services to persons who need assistance who would otherwise not be able to avail themselves of such programs for reasons such as lack of transportation or not being aware that such services exist.

Addressing the emergency shelter and transitional housing needs of homeless persons

Emergency Shelters

Guma San Jose

The Guma San Jose shelters providing temporary housing for males and families. One of the shelters was constructed with CDBG funds from a prior allocation. Both shelters receive local funds from the Department of Public Health and Social Services (DPHSS) for the operations of these facilities. Although non-HUD funded, information collected from persons served are inputted into the HMIS and are utilized for various reporting purposes. Guam is proposing to change the use of 5 former teacher staff housing units in Dededo which have been rehabilitated with CDBG funds to provide affordable rental housing to income eligible individuals and families. Funding through the DPHSS has been identified to support the maintenance and operations of the added emergency shelter facilities which will target homeless families.

Catherine's Home/Alee Shelter (Women)

This facility was constructed with CDBG funds from PY2010 to house adult females and their children who are victims of domestic violence. The project will be able to provide services to at least 350 women and children annually.

Alee Shelter (Children)

This 24-hour emergency receiving home takes in children ages birth to seventeen who are victims of abuse and/or neglect. These children are referred by Child Protective Services (CPS), a division of the DPHSS.

Emergency Receiving Home

Constructed with CDBG funds, the Guma Serenidad, located in Agat, provides a 24-hour temporary shelter for victims of abuse who are elderly, 60 years and above, and for adults with a disability, 18 years and older. The construction of this project was completed during this reporting year. Guma Sagrada, located in Dededo, is also another 24-hour temporary shelter for victims of abuse who are elderly, 60 years and above, and for adults with a disability, 18 years and older.

COED Emergency Shelter

Sanctuary, Incorporated operates this shelter to provide temporary housing for youth ages 12 – 17. Youth are referred and for those who are self-referred, Sanctuary works closely with GPD and CPS to identify youth who are abandoned, neglected, and abused.

Transitional Shelters

Continuum of Care Program funds is utilized to fund one transitional shelter on Guam – the Oasis Empowerment Center. This program provides 6- month transitional housing to homeless women with disabilities who are seeking recovery from their addictions. Women are provided a variety of supportive services to assist them obtain mainstream services, job training and placement, education, and childcare.

Guam also provides 6- month transitional housing to homeless men with disabilities who are recovering from addiction through The Salvation Army's Lighthouse Recovery Center. Men are provided a variety of services to assist them in obtaining mainstream services, job training and placement, and education. This program is not funded with CoC funds but receives funding from U.S. Department of Veteran Affairs and local funds from the Guam Behavioral Health and Wellness Center.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals

and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Rapid Re-Housing

GHURA and Guam's Continuum of Care have continued to prioritize Emergency Solutions Grant funds to provide rapid re-housing and homeless prevention services. Rapid re-housing consists of quickly moving homeless persons who reside on the streets or in one of Guam's homeless shelters into permanent housing. Persons are given rental and utility assistance of up to 6 months while receiving housing relocation and stabilization services which consists of case management, counseling, and money management classes. Because the program is not long-term individuals and families are screened to ensure those who are entered into the program have the capacity to stabilize their housing once the program ends.

Continuum of Care Programs

Permanent Supportive Housing Programs

- 1. Housing First Rental Assistance Program/Aftercare Housing Program** – provides thirty-three (33) tenant-based rental assistance (TRA) to homeless adults with disabilities and their families. Under the TRA, homeless adults and their families will have the opportunity to choose affordable rental housing of their choice and will utilize available supportive services. *Managed by the Guam Housing & Urban Renewal Authority*
- 2. CARIDAD Supportive Services Program**- provides housing and case management for four (4) homeless adults with disabilities; address self-determination and self-sufficiency, life-skills training as needed, supportive counseling, employment training and eventual job placement in partnership with government and community organizations. *Managed by Sanctuary, Inc.*
- 3. Guma Hinemlo** – provides a group home for nine (9) homeless adults with serious mental illness. Services include case management services, counseling, psychiatric, psychological, behavior analyst, and other therapeutic services, such as occupational and physical therapy management to assist its residents develops skills and strength in areas of coping and problem solving. The program also provides educational and vocational training and assistance in finding main stream housing. *Managed by the Guam Behavioral Health & Wellness Center*
- 4. Empowered Together** – provides four (4) apartment units for homeless, disabled women with children, as well as supportive services and case management. The

program address the issues of homelessness and recovery among women by addressing the issues contributing to addiction such as helping clients obtain & remain in permanent housing, overcome addiction, promoting health and stabilization leading to greater self-determination. *Managed by the Elim Pacific Ministries*

5. **Forrester's Refuge** - provides a group home for four (4) homeless young adults with dual diagnose disability. The program combines life skills training and supportive counseling to more effectively help young people in homeless situations refocus their lives and become contributing members of the community. *Managed by Sanctuary, Inc.*

6. **Y' Jahame Permanent Housing Program** – an 8-unit, 14-bed project based permanent housing program for homeless seniors & homeless persons with disabilities, with priority given to those with the longest histories of homelessness. Support services and assistance based on disability is also provided. *Managed by the Catholic Social Services*

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Homeless Prevention

Emergency Solutions Grant

GHURA and Guam's Continuum of Care have continued to prioritize Emergency Solutions Grant funds to provide rapid re-housing and homeless prevention services. Homeless prevention consists of providing rental and utility assistance of up to 6 months to eligible individuals and families who are on the verge of becoming homeless. Eligible households receive housing relocation and stabilization services which consists of case management, counseling, and money management classes. Because the program is not long-term, individuals and families are screened to ensure those who enter the program have the capacity to stabilize their housing once the program ends.

Discharge Planning

Foster Care:

The CoC continues to work closely with Guam's Child Protective Services (CPS) program as they continue to struggle to find foster care homes for children in their custody. In the meantime,

CPS works closely with the CoC's temporary shelter programs for children on island. All children under the care of CPS are the responsibility of the Government of Guam who is ultimately responsible for the safe and secure placement of all children. CPS screens and licenses the island's foster homes and provides routine monitors of homes and placement. Homeless veterans who seek a "home-like" environment can access services through the Medical Foster Home Program. Primary health care is provided to veterans who are partnered with caregivers along with the program's Home Based Primary Care team.

Health Care:

Guam CoC ensures that individuals with health care issues are not routinely discharged into homelessness by making appropriate housing arrangements prior to the individuals release from the hospital. Patient's discharge needs are assessed at admission and discharge plan is developed using a collaborative approach in meeting the patient's needs for discharge into the community. This process is implemented as follows: All initial discharge assessments will be completed upon admission. Staff will be responsible for making appropriate referrals and coordinating all follow up care. Staff is responsible for collecting and entering the information, reviewing and finalizing the Discharge Plan. The completed Discharge Assessment must be printed, signed and placed in the patient's chart.

Mental Health:

The Guam Behavioral Health and Wellness Center (GBHWC) Residential Recovery Program's manual identifies that consumers that are being discharged have met the criteria established by the GBHWC ensuring that follow-up services that are required are in place. Consumers who are discharged are allowed to return within thirty (30) days if additional residential recovery services are needed.

Corrections:

Before complete application for any homeless services, a detailed list of all resources, including possible housing options is prepared and reviewed by case management teams who then seek to expand on limited options and take advantage of current resources. Further, due to a few current federal and local statutes, local service providers are prevented from discharging a person who is homeless into an unsuitable environment. The local CoC model ensures that a discharge into homelessness is not an option. Emergency shelters, transitional living programs, and recovery programs, including those not funded by HUD, are other local resources that help prevent discharge into the streets.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Guam recently updated its Five-Year and Annual Plan for the Public Housing and Section 8 Programs and the Capital Fund Program Five Year Action Plan. This Plan detailed the proposed goals and objectives for FY2014 to FY2018. Guam identified the following goals in this Plan:

- Increase the availability of decent, safe, and affordable housing and provide more choices in housing
- Improve community quality of life and economic vitality
- Promote self-sufficiency and asset development of families and individuals
- Ensure equal opportunity in housing for families living in assisted housing
- Serve the needs of child and adult victims of domestic violence, dating violence, sexual assault, or stalking

This reporting year, Guam rehabilitated 32 units. Total cost to address the rehab needs of these units was \$737,610.59. Guam is currently seeking bidders to address the Section 504 accessibility needs of the public housing units.

Guam continues to apply for renewal funding under the Family Self Sufficiency (FSS) program. The purpose of this program is to assist Section 8 tenants and Public Housing residents secure employment, increase wages and accumulate assets. During this reporting period, four (4) FSS participants completed their Individual Training Service Plan.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

According to the Five-Year and Annual Plan for the Public Housing and Section 8 Programs and the Capital Fund Program Five Year Action Plan, the realignment of Public Housing to asset management resulted in the discontinuance of the RAB. GHURA Property Site Management continues to outreach to residents of each property site to seek and encourage resident participation.

Actions taken to provide assistance to troubled PHAs

GHURA is not designated as a troubled PHA.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

To address barriers impacting affordable housing availability, affordability, and sustainability requires a coordination of effort. The effects of the barriers are felt by developers' large and small, public or private, for profit or not. As is the case in many stateside jurisdictions, an aging infrastructure system limits opportunity for development to the thresholds of system capacity. System development charges (SDCs) implemented by the Guam Waterworks Authority are intended to generate revenues to be used to address the need to improve existing infrastructure. However, the same SDCs have resulted in increased costs to all development efforts, including affordable housing. Over the past several years, the Guam Legislature has entertained several legislative proposals to mitigate the financial impact of SDCs on affordable housing through outright elimination or implementation of a sliding scale reduction based on certain qualifying factors. To date, these efforts have been unsuccessful. Efforts to pursue such reliefs continue both through legislative and administrative avenues.

Guam's lack of additional infrastructure systems capacity impedes the ability to service large tract areas owned and administered by Guam's government housing trust organization, the Chamorro Land Trust Commission (CLTC), for areas intended for housing development. Guam continues to explore opportunities to improve these areas including participation in the USDA Rural Development (RD) SUTA program and other financing opportunities. Of greatest interest and potential at this time is SUTA, which is the Substantially Underserved Trust Areas program. SUTA implementation is part of the USDA's long-term strategy to assist eligible tribal communities to meet their utility infrastructure needs and improve economic development of historically underserved areas. Based on the findings of the 2010 Guam Decennial Census, the USDA reconsidered the urban designation for Dededo. With the rural designation now in place, financing opportunities for homes in Dededo are once again available. Dededo is Guam's most populated village. For over a decade, Dededo had been out of the market. Increasing the availability of affordable financing for low/mod income homebuyers is an important aspect of addressing barriers. The island anticipates a slow but eventual increase in home loan interest rates. Increased interest rates negatively impact borrowers by reducing buying power.

The Qualifying Certificate Program created under Public Law 8-80 and amended under PL 20-178 and PL 22-159, is administered by the Guam Economic Development Authority. Guam's Qualifying Certificate (QC) Program could affect developer decisions to construct large-scale development of affordable housing. The local government is reviewing the programmatic benefit of the QC program and its impact on government revenue. The QC program, administered by the Guam Economic Development Authority, provides local tax breaks to qualified entities. In general, the program promotes business growth for new entries or growing sectors of Guam's market. Guam continues to monitor proposed actions relative to the QC program for their potential impacts on affordable housing development and employment.

The lack of reliable island-wide public transportation impedes the development of housing and communities in Guam generally, and more specifically for development in southern Guam. With civilian employment sectors generally concentrated in central Guam (and to an increasing measure, in northern Guam), working families of modest income are electing to live closer to the workplace. Sustained increases in the cost of fuel, travel time, medical issues, and proximity to services frequently play a role in determining where to build or buy. The Guam Rapid Transit Authority (GRTA) had increased area coverage, expand hours of operations, and upgrades to the fleet under a Pilot Project. However, the agency lacked sufficient funding to continue the increased coverage and hours of operation.

The 33rd Guam Legislature has currently introduced Bill No. 85-33 that will allow the GRTA to enter into a long term Public Private contract and to authorize the investment incentives to attract prospective private sector participation.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

This reporting year Guam addressed obstacles to meeting underserved needs by meeting the following Consolidated Plan goals for 2010 – 2014:

- Increased homebuyer opportunities for low-and moderate-income individuals
 - Guam was successful in closing the homeowner loans of eight (8) eligible first-time homebuyers through the Renewal Homes program.
- Construct or rehabilitate facilities to serve low-and moderate-income communities and special needs populations: Community learning and resource centers
 - Guam completed construction of the Kattan (Central) Youth Resource Center which provides a variety of programs and services for children, youth, and their families that promote social development and strengthens the family units as well as the communities of these families.
- Construct or rehabilitate facilities to serve low-and moderate-income communities and special needs populations: Community centers, sports, and recreational facilities
 - Guam completed the rehabilitation of the Richard DeGracia Naputi Sports Field. The rehabilitation included the design and construction of a perimeter fence with appropriate access gates, dugout facilities, backstop, electrical lighting, walking trail, and grading/refurbishing the field. Additional CDBG funds were reprogrammed to address the change in the layout of the baseball field to accommodate additional league levels and provide the necessary lighting for this change.
- Operational support of facilities providing residential substance abuse treatment and recovery programs
 - Guam continued to fund the Lighthouse Recovery Center, a 28-bed transitional substance abuse facility that provides evidence-based substance abuse

treatment to homeless, low-and moderate-income, and at-risk men seeking recovery services. Outpatient services are also provided to men and women who need treatment but do not require residential services or a recovery environment.

- Support the work of organizations providing assistance to very-low and low-income individuals, and special needs populations
 - Funds were utilized to provide services to increase economic and educational opportunities through on-site enrichment activities and curriculum-based programs to enhance work readiness and literacy skills for adults and youth through the Opportunity Initiative which is managed by the Big Brothers Big Sisters of Guam. CDBG funds also supported the match requirement of the HMIS database system that captures client level information on persons served through various homeless programs. Funds also supported the operations of the ESG program which is administered by The Salvation Army to provide homeless prevention and rapid re-housing services.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Guam continues to ensure compliance with Federal and Local statues concerning lead based paint. Guam contractors are required to comply with 40 CFR Part 745. The federal law requires contractors performing renovation, repair and painting projects that disturb lead-based paint in homes, child care facilities and schools built before 1978 to be certified and to follow specific work practices to prevent lead contamination. Guam continues to address the dangers of lead-based paint in existing and future housing by facilitating the availability of information to homeowners and homebuyers of all income levels. Guam ensures that procedures are implemented to provide information to families and individuals on the dangers of lead-based paint before they commence the search for rental housing.

GHURA maintains an allocation of approximately 2,500 housing vouchers under the Housing Choice Voucher Program (HCVP). New HCVP tenants attend an initial briefing as part of their entrance into the program. Participants are instructed on the hazards of lead-based paint and provided HUD-approved materials on the subject. HCVP building inspectors conduct lead-based paint inspections to ensure safety compliance prior to permitting occupancy for any individual or family participating in the program. An equivalent process is maintained for the 750 units of Public Housing (PH) administered by GHURA. PH administration is mandated to comply with all federal laws regarding the operation and upkeep of units receiving federal funds. GHURA staff are trained in Lead Safety for Renovation, Repair, and Painting. Through these trainings GHURA now has four (4) Certified Renovators on staff; GHURA will continue to ensure certification is kept up to date. The Housing First Voucher Program (HFVP) is a tenant-based voucher programs for individuals with disabilities in need of rental housing assistance. A total of 33 vouchers are made available to homeless individuals and families with disabilities. The HFVP mirrors the compliance monitoring activities of the HCVP to ensure safety against the hazards of lead-based paint of its program participant voucher recipients.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Guam reduced the number of poverty-level families by addressing the following Consolidated Plan 2010 – 2014 goal:

- Support the work of organizations providing assistance to very-low and low-income individuals, and special needs populations
 - Funds were utilized to provide services to increase economic and educational opportunities through on-site enrichment activities and curriculum-based programs to enhance work readiness and literacy skills for adults and youth through the Opportunity Initiative which is managed by the Big Brothers Big Sisters of Guam. The program is still ongoing and has served 145 persons thus far. Of the persons assisted, 95% were extremely-low income families.
 - CDBG funds also supported the match requirement of the HMIS database system that captures client level information on persons served through various homeless programs. The HMIS has increased its capacity to serve other service providers such as the PATH program and the Northern Marianas Housing Corporation for their ESG program. Of the participants entered into the HMIS, 94% are extremely-low income.
 - Funds also supported the operations of the ESG program which is administered by The Salvation Army to provide homeless prevention and rapid re-housing services. This program is ongoing and has assisted a total of 186 persons which represent 56 households. This program targets households who are at the 30% AMI and below, or extremely-low income households.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Guam is committed to enhancing and improving the institutional structure supporting the administration of Guam's Annual Action Plan. Routine review and assessment of policies, practices and management of CPD funded subrecipients and grantees are performed to strengthen the processes and infrastructure utilized to administer and execute the activities of the ConPlan through each Annual Action Plan year. Guam also participates in yearly technical assistance provided by HUD. This reporting year, actions taken include:

- The CPD Fiscal section continued its efforts to improve its financial management system to meet the current and future demands in administering federal funds. CPD Fiscal will improve on its financial responsibilities in providing key reports to Planners for the management and oversight of subrecipient activities and construction projects. Refinement of key reports will improve on assessment of subrecipient performance to positively impact management's ability to administer CPD and other funds effectively.

- CPD Fiscal section continues assess its internal controls and revise its policies and streamline its accounting processes.
- Staff attended trainings and seminars to keep informed about new standards, regulations, and other reporting requirements relevant to effective fiscal and programmatic administration of federal funds.
- Planning staff and accounting staff continues to explore ways to improve the reporting of activities in HUD's IDIS (Integrated Disbursement and Information System) reporting system. Staff will continue to improve on the timely closeout of completed activities in the IDIS reporting system.
- Planning staff availed themselves of opportunities to improve grant management skills through the use of online or attendance at trainings, seminars, conferences, meetings, etcetera. Planning staff will continue their efforts to improve their skills in project management, strategic management, grant administration, performance monitoring, self-assessment, records management, pre-award assessment, and the review of best practices in risk management.
- Subrecipients and project sponsors were afforded technical assistance and training in administering activities and projects funded by the various CPD grants. Planning and Fiscal staff conducted workshops, individual or organizational meetings and trainings, (as necessary) to afford subrecipient and project sponsors the greatest assistance practicable.
- Lastly, planning staff, accounting staff, and public housing management staff attended LIHTC Compliance Workshop that detailed related rules pertaining to the administration of the LIHTC, determining household income, identification of assets and income from assets, rent limits, initial lease-up and first year credits, and state inspections and avoiding recapture.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

GHURA serves as the PHA and the Collaborative Applicant for Guam's CoC. Given its role as a housing provider, the Collaborative Applicant, and the designated administrator of CPD funds, great emphasis is placed on the agency to collaborate with other housing and social service agencies to address the housing needs of the various populations on island such as the elderly, disabled, victims of abuse, homeless, and the low-income.

Recently Guam renewed its Five-Year Consolidated Plan which identifies the goals and priorities for program years 2015 – 2019. These goals and priorities were established based on the collaboration and the review of various state plans for other housing and social service agencies such as the:

- Guam Homeless Coalition
- Dept. of Public Health and Social Services
- Guam Police Department
- Dept. of Youth Affairs
- Mayor's Council of Guam
- Dept. of Public Works
- Judiciary of Guam
- Guam Regional Transit Authority
- Catholic Social Services
- Oasis Empowerment Center
- The Salvation Army
- Big Brothers Big Sisters of Guam
- Ayuda Foundation

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

In 2011, Guam conducted an Analysis of Impediments to Fair Housing Choice. This report identified five impediments to include: 1) Difficulty Enforcing Fair Housing (FH) Laws Due to Guam's Landlord and Tenant Code; 2) Guam's Fair Housing Law not Substantially Equivalent to Federal Fair Housing Law; 3) The Lack of a Fair Housing Enforcement Organization on Guam; 4) The Public Does Not Understand the Complaints System; and 5) Difficulty Understanding Fair Housing Laws, Rights and Resources.

Guam will engage and consult with island lawmakers and policy makers to address Impediments 1 through 3 through legislative action (1&2) and executive decree (3). In the past two months, the Guam Legislature has taken up the question of Landlord-Tenant relationships and protections on a scope limited. Two bills have been introduced to increase protections to victims of domestic violence and to address notification of the presence in multi-family housing of a registered sex offender. Although not intended to address comprehensive Landlord-Tenant issues by any means, there now exists, through these proposed bills, an improved opportunity to have a policy-level discussion to craft broad based legislation to address impediments identified above. Addressing the first 3 impediments will eliminate the 4th impediment as the laws will be amended to reflect Federal FH Law and will be enforced by passing of law and through the development of a FH Enforcement organization, office, or certified FH officer.

Guam will address impediments 3 & 4 through its continued partnership with organizations representing the elderly and persons with special needs to coordinate FH-relevant presentations, and related materials for events sponsored by GHURA partners which provide venues to conduct FH outreach to their populations. Included in this outreach is an education of how to navigate to and through the complaint system. GHURA's partners in this effort are the Guam Division of Senior Citizens (Dept. of Public Health and Social Services), the Guam Developmental Disabilities Council, the Dept. of Integrated

Services of Individuals with Disabilities (DISID), the Guam Homeless Coalition, the Guam Behavioral Health and Wellness Center, and the Mayor's Council of Guam.

FH materials are not available in all languages needed in Guam. Guam will continue efforts to pursue opportunities to provide accurate translation of FH materials to ensure information is provided in a meaningful way. GHURA will support efforts initiated by the Unified Courts of Guam to bring certification training for working translators and transcribers of needed languages. GHURA publishes the FH Coordinator's contact information for persons seeking assistance regarding possible fair housing issues or concerns. In its efforts to provide information to persons with limited English in a meaningful way, Guam has taken part in several Culturally and Linguistically Appropriate Services trainings provided through the Guam Office of Minority Health, Department of Public Health and Social Services.

Lastly, HUD has released the Affirmatively Furthering Fair Housing (AFFH) final rule which replaces the Analysis of Impediments requirement with the Assessment of Fair Housing (AFH). The AFH is intended to be data-driven to assist states and local governments who receive CDBG, HOME, ESG, HOPWA, and PHA in the development of the fair housing planning process and to ensure that agencies are fulfilling the requirements of the Fair Housing Act to affirmatively further fair housing. Once completed the AFH will include a summary of the fair housing issues and capacity, analysis of data, assessment of fair housing issues, identification of fair housing priorities and goals, strategies and actions to implement those priorities and goals, a summary of community participation, and a review of progress achieved since the submission prior to the current AFH. As per HUD, Guam will need to submit no later than 270 calendar days prior to the start of the program year beginning January 1, 2018.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Physical Inspections

GHURA's Architect and Engineering (A&E) Division continue to physically monitor the CDBG and HOME-funded construction activities. A&E staff conducts site visits prior, during, and after the completion of a project to ensure strict compliance. These visits ensure construction timelines and quality requirements throughout the construction process are met.

The A&E Division continues to monitor completed CDBG and HOME-funded projects to ensure use of the buildings is in compliance with HUD regulations. These activities continue on an annual basis.

Program Monitoring

GHURA continues to conduct monitoring activities such as desk-reviews and on-site reviews throughout the program year. These activities allow GHURA to administer the funded programs effectively and ultimately improve services rendered to the community. GHURA continues to increase efforts to educate, train, and work in partnership with subrecipients and stakeholders to ensure compliance in accord with the laws and regulations governing the use of funds. Additionally, improving monitoring activities is a goal the agency continues to build upon to effectively administer funded activities/programs.

In PY2014, Guam engaged in the following monitoring activities:

- Guam conducted on-site monitoring of all construction-related activities throughout the construction process. Monitoring will include compliance with applicable local and federal laws.
- CDBG Public Service activities (current active awards) were monitored for performance measured against programmatic and financial requirement.
- CDBG public facilities constructed through past awards were physically inspected for continued compliance with HUD regulatory requirements. As government-owned public facilities are held to compliance in perpetuity, monitoring is continual.
- On-site and remote monitoring of HOME activities is conducted for homebuyer and homeowner programs.
- Guam conducts desk reviews or on-site monitoring of homeless program activities of the Continuum of Care Program and the Emergency Solutions Grant on an annual basis.

The lead agency views the process of providing technical assistance, training and monitoring as individual components of a process intended to ensure a strong program. The following activities occurred in the coming year.

- New subrecipients and grantees will attend a mandatory initial orientation workshop at the start of the program year.
- Planners and Program Coordinators assume full responsibility for comprehensive oversight of individual projects, as assigned.
- Individualized training was provided, as necessary.
- Technical assistance was provided, as requested or when deemed necessary.
- The lead agency continues to monitor for enforcement the submission of expenditure reimbursement requests within 60 calendar days of the close of the period the expenses were incurred. Subrecipients and grantees are advised that non-compliance of material deadlines may result in delays to future reimbursement processing until the pending documents are submitted or the issue at hand is corrected.
- The lead agency continues to hold subrecipients and grantees responsible for the timely submission of periodic reports in compliance with the terms of award.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

According to Guam's Citizen Participation Plan (CPP), the public must be provided at least 30 days to review the CAPER. Guam conducts a public hearing that allows for the public to provide oral commentary. This is normally conducted at least 15 days after the CAPER has been published for public review. Guam's CPP requires a notice to the public of at least 24 hours prior to holding a public hearing. Written comments are also received during the 30 day public comment period. A deadline is given to the public to provide any written comments. Comments are then incorporated into the report prior to Guam's final submission to HUD. Guam's Notice to the Public ad details the purpose of the report, important dates and timelines concerning public comment period, public hearing and deadline to receive written comments. This ad is published a total of 3 times in the newspaper of general circulation. This Report is submitted to Guam's State Clearinghouse as part of the inter-governmental review process.

This report will be made available for public review and comment beginning October 30, 2015. Guam had indicated three publish dates for this report: 10/30/2015, 11/16/2015, and 11/24/2015. The public will be given until November 30, 2015 to submit written comments. Oral comments may be provided during the public hearing which is anticipated for 11/17/2015. The report will also be made available via GHURA's website at www.ghura.org; a hard copy will be made available via the Main Office.

Guam received oral comments from five (5) representatives from the Guam Police Department, Dededo Mayor's Office, Sinajana Mayor's Office, and the Ayuda Foundation/Island Girl Power. All entities have either had projects funded through past funding cycles or are recipients of the recent Program Year funding cycle. The comments received from the various entities included:

- Building facilities in areas where the people need the service
- Entertain possibly changing the use of existing facilities to serve the current needs of the population

- Address smaller recreational parks/facilities that are located in certain blocks
- Maximize the use of current facilities by incorporating other services and engaging non-profit organizations and other groups that might need a facility to provide their services

All entities supported their projects which have been funded with CPD funds namely CDBG to include: 1) New Construction of the GPD Central Command Precinct, 2) Upgrade/Rehabilitation of the Astumbo Gym, 3) Kurason Ysengsong, and 4) the Rehabilitation of the Sinajana Recreational Facility.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Of the projects identified in the Program Year 2014 Annual Action Plan, the following projects is placed on hold until the island assesses the continued need to pursue what was initially proposed:

- The Guma Trankilidat ADA and Section 504 Compliance project was proposed to address the health and safety issues of 5 accessible dwelling units of the Guma Trankilidat.
- The Dededo Staff Housing Rental Rehabilitation project was proposed to add an additional four (4) units to Guam's inventory of affordable rental under the Renaissance Rental program. These four units are adjacent to the island's emergency shelter which the island sees as a possible deterrent leaving the units unoccupied and vacant.
- The Santa Rita Community Recreational Facility project was proposed to address the poor condition of the baseball field. The Santa Rita Mayor's Office was required to implement the survey methodology to gather essential information about the families who reside in the service area. A survey was conducted on October 10, 2015. Unfortunately, the survey results did not meet their 80% response rate. Additional surveys are needed at this time in order to meet the 80% response rate. Thereafter, an assessment of the survey results will determine whether the project meets HUD's area benefit requirement.

Funds will be reprogrammed to other ongoing projects, if necessary.

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

Guam is not a BEDI grantee.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Guam continues to conduct on-site inspections for the following projects:

- GHURA Public Housing
- Housing Choice Voucher Program/Section 8/HUDVASH
- CoC Housing First Rental Assistance Program
- CoC Y Jahame Permanent Housing Program
- Guma Trankilidat

Any issues found that will prevent the move in of households are addressed by landlords. Follow-up inspections are conducted to ensure units pass HQS or UPCS requirements.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Guam ensures the inclusion of minority business enterprise and women business enterprise through publicly posting its advertisements for bid through The Post, a newspaper of general circulation. The Pacific Daily News is also another outlet used, if needed. As per HUD-2516, Guam awarded three contracts under the CDBG program to minority business enterprise owners and two of these businesses were also women-owned.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

Based on our reports, Guam expended a total of \$213,338.92 of program income funds. The breakdown is as follows:

- \$1,350.63 – CDBG Program Income
 - Program income funds under the CDBG were utilized to pay for staff salaries to verify work completed for the following construction projects:
 - Kattan (Central) Youth Resource Center

- Upgrade of Richard DeGracia Naputi Sports Field
 - Rehab of the Sinajana Community Recreational Facility
 - Rehab of the Agafa Gumas Community Recreational Facility
- \$211,988.29 – HOME Program Income
 - Program income funds under the HOME program were utilized for the following projects:
 - Acquisition-Rehabilitation for Homebuyers – staff salaries to verify work completed for units acquired and rehabilitated for sell as affordable housing.
 - Homeowner Rehabilitation Loan Program – deferred assets – rehab loans
 - Building Homes, Hope, and Guam – managed by Habitat For Humanity, Guam to build one single-family affordable housing unit

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

HUD-Assisted Housing Programs

As per Guam’s Consolidated Plan 2015 – 2019, GHURA administers 750 public housing units to include 49 project-based units to qualified low-income families. Target populations are individuals, families, and elderly at or below 80% of HUD’s AMI level. Currently, 93% of the public housing units are in use. In addition to GHURA’s public housing, the agency administers over 2,500 Housing Choice Vouchers (HCV)/Section 8. Of the HCV available, 93% is currently being utilized. Of the vouchers in use, 86% represent tenant-based rental vouchers, 1% are Veteran Affairs Supportive Housing vouchers (HUDVASH), and 6% are Family Unification Program (FUP) Vouchers, and 7% are specifically for adults with disabilities. HUDVASH is a combination of Housing Choice Voucher rental assistance for homeless veterans which GHURA administers and case management and clinical services provided by the U.S. Department of Veteran Affairs (VA). A total of 41 HUDVASH vouchers are administered by GHURA. Thirty HUDVASH vouchers are currently being utilized. The FUP provides a total of 133 Housing Choice Voucher rental assistance vouchers for families who lack adequate housing and for which such housing is a factor in the reunification of a child or children to their families. In these types of cases, GHURA partners with the Department of Public Health and Social Services’ Child Protective Services division. Another 175 vouchers benefit disabled persons through the Governments Non-Elderly Disabled Voucher program (formerly known as the Mainstream Program).

LIHTC

Guam continues to administer the LIHTC program and is currently accepting applications with \$2.6M of program credits available for 2015. Applicants are given preference points for serving the lowest

income tenants and projects obligated to serve qualified tenants for the longest periods. Thus far, Guam has injected a total of 395 affordable rental units through the LIHTC program. By the end of 2015, Guam will add to its inventory an additional 240 units into the market specifically for elderly persons 62 years and older. Of the 395 in service, 100% are identified as family units.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	GUAM
Organizational DUNS Number	855031519
EIN/TIN Number	960001279
Identify the Field Office	HONOLULU
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	GUAM_GU-500

ESG Contact Name

Prefix	Mr.
First Name	Michael
Middle Name	James
Last Name	Duenas
Suffix	
Title	Executive Director

ESG Contact Address

Street Address 1	117 Bien Venida Avenue
Street Address 2	
City	Sinajana
State	Guam
ZIP Code	96910-
Phone Number	671-475-1378
Extension	
Fax Number	671-300-7565
Email Address	mjduenas@ghura.org

ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

2. Reporting Period—All Recipients Complete

Program Year Start Date	10/01/2014
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Program Year End Date

09/30/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name

The Salvation Army Guam

City

Tiyan

State

Guam

Zip Code

96913

DUNS Number

855033457

Is subrecipient a victim services provider

No

Subrecipient Organization Type

Faith-Based/Non-Profit Organization

ESG Subgrant or Contract Award Amount

\$215,209

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	12
Children	13
Don't Know/Refused/Other	0
Missing Information	0
Total	25

Table 14 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	73
Children	88
Don't Know/Refused/Other	0
Missing Information	0
Total	161

Table 15 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	85
Children	101
Don't Know/Refused/Other	0
Missing Information	0
Total	186

Table 18 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	88
Female	98
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	186

Table 19 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	101
18-24	7
25 and over	78
Don't Know/Refused/Other	0
Missing Information	0
Total	186

Table 20 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	2	0	2	0
Victims of Domestic Violence	3	0	3	0
Elderly	5	1	4	0
HIV/AIDS	0	0	0	0
Chronically Homeless	5	0	5	0
Persons with Disabilities:				
Severely Mentally Ill	6	1	5	0
Chronic Substance Abuse	4	0	4	0
Other Disability	14	3	11	0
Total (unduplicated if possible)	26	4	22	0

Table 21 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nighths available	0
Total Number of bed - nights provided	0
Capacity Utilization	0

Table 22 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

As the administrator of HUD funds, GHURA in collaboration with the local CoC developed the performance standards for the project outcomes. Guam utilized the Homeless Management Information System (HMIS) to measure the performance of all homeless programs inclusive of the ESG. The various components that are evaluated include client eligibility, services provided, compliance with the households Individual Service Plan or the Housing Stability and Affordability Plan, and progress of households assisted such as permanent placement of housing. General performance standards such as households coming from emergency shelters or the streets that are rapid re-housed into permanent housing are also reported and evaluated.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	\$35,900.00	\$35,284.00	\$22,612.33
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$15,978.00	\$1,350.00	\$1,556.00
Expenditures for Housing Relocation & Stabilization Services - Services	\$35,492.00	\$45,777.00	\$20,549.62
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	\$7,022.00	\$12,525.00	\$0.00
Subtotal Homelessness Prevention	\$94,392.00	\$94,936.00	\$44,717.95

Table 23 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	\$15,815.00	\$145,385.00	\$71,734.22
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$5,835.00	\$17,666.00	\$14,503.45
Expenditures for Housing Relocation & Stabilization Services - Services	\$16,344.00	\$66,256.00	\$45,754.68
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	\$0.00	\$0.00	\$0.00
Subtotal Rapid Re-Housing	\$37,994.00	\$229,307.00	\$131,992.35

Table 24 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Essential Services	\$20,323.00	\$0	\$0
Operations	\$74,673.00	\$8,301.00	\$0
Renovation	\$0	\$0	\$0
Major Rehab	\$0	\$0	\$0
Conversion	\$0	\$0	\$0
Subtotal	\$94,996.00	\$8,301.00	\$0

Table 25 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Street Outreach	\$0	\$0	\$0
HMIS	\$0	\$0	\$0
Administration	\$14,421.00	\$20,377.00	\$13,593.12

Table 26 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2012	2013	2014
	\$241,803.00	\$352,921.00	\$190,303.42

Table 27 - Total ESG Funds Expended

11f. Match Source

	2012	2013	2014
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	0

Table 28 - Other Funds Expended on Eligible ESG Activities

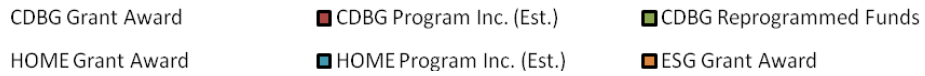
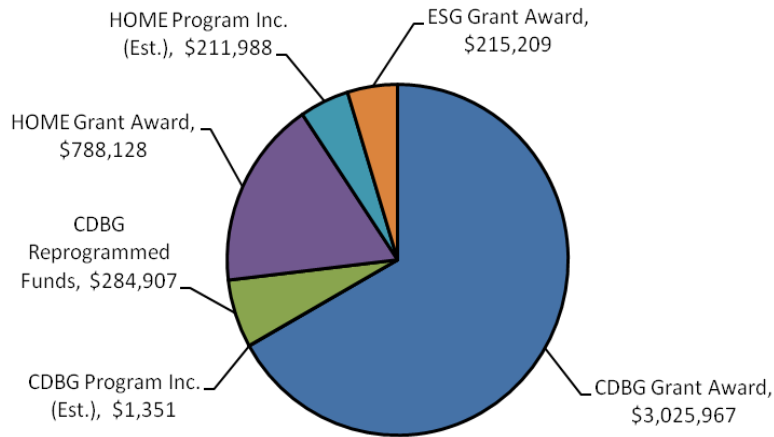
11g. Total

Total Amount of Funds Expended on ESG Activities	2012	2013	2014
	\$241,803.00	\$352,921.00	\$190,303.42

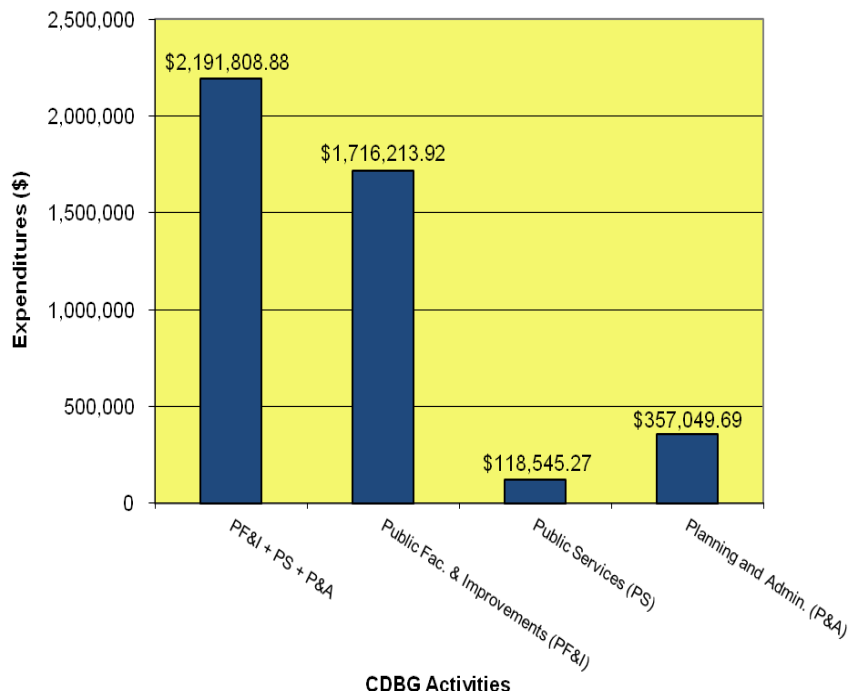
Table 29 - Total Amount of Funds Expended on ESG Activities

APPENDIX A: CPD and CoC Expenditures

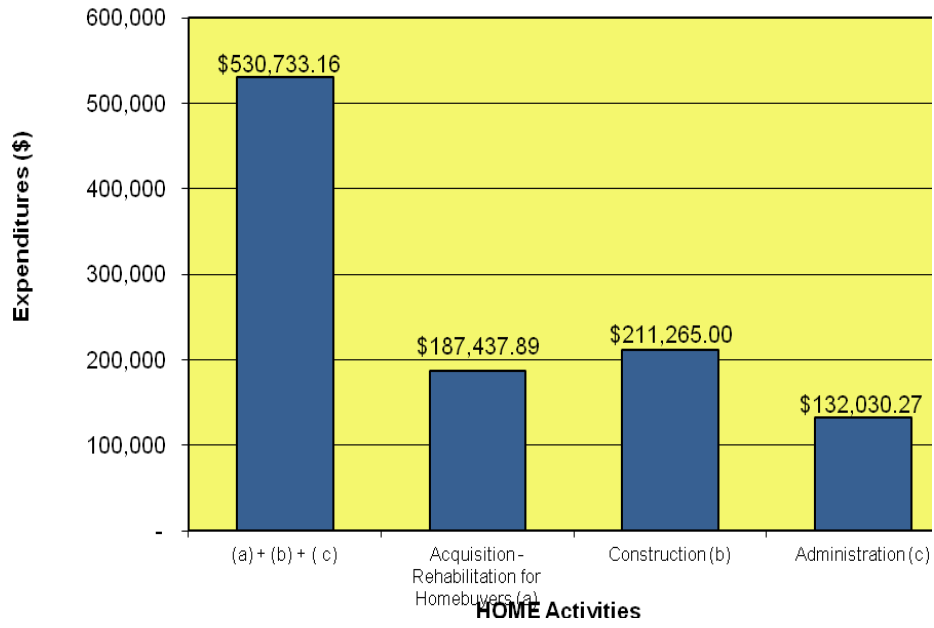
HUD CPD Funds Authorized PY14



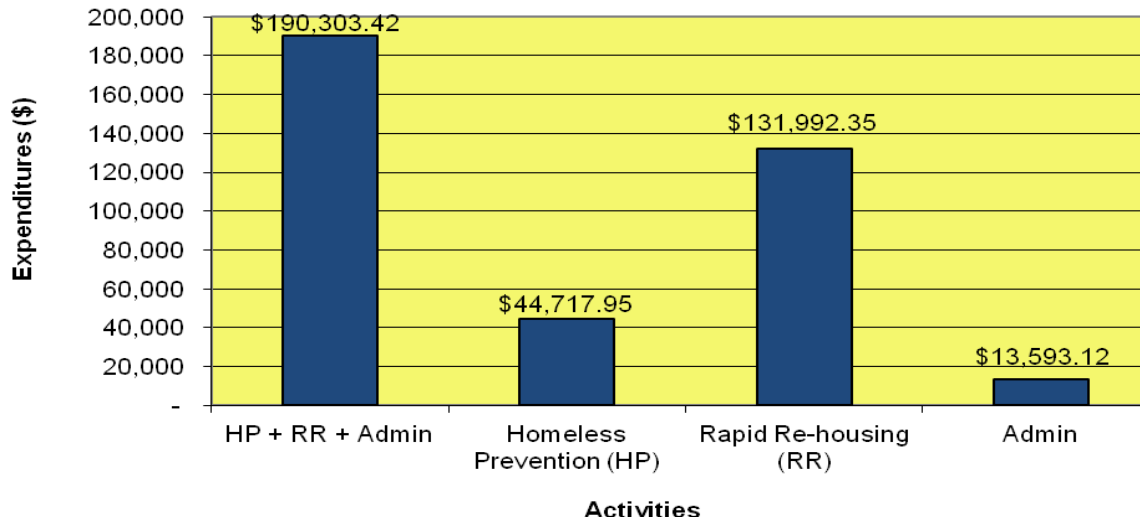
CDBG Expenditures PY14



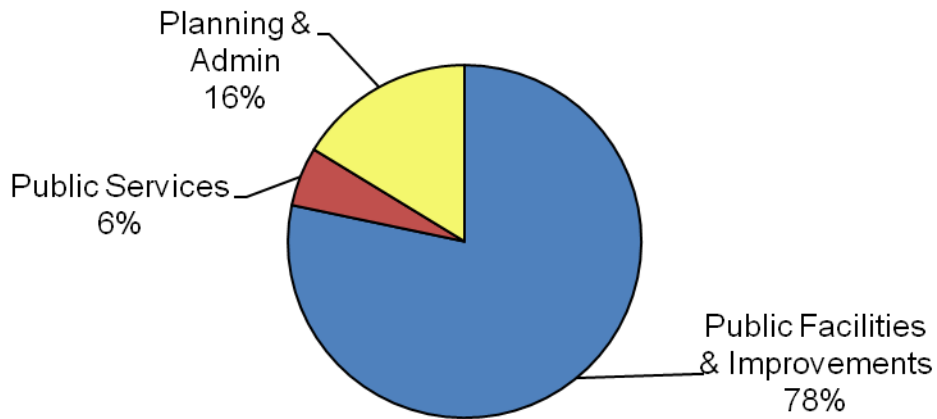
HOME Expenditures PY14



Emergency Solutions Grant Expenditures PY14



Community Development Block Grant Expenditures (by Activity Category)



■ Public Facilities & Improvements
 ■ Public Services
 ■ Planning & Admin

CPD and CoC funds expended in PY14

CDBG (Entitlement and Reprogramming)	\$ 2,190,458.25
CDBG (Program Income (actual))	\$ 1,350.63
HOME (Entitlement and Reprogramming)	\$ 318,744.87
HOME (Program Income (actual))	\$ 211,988.29
ESG (Solutions)	\$ 190,303.42
Continuum of Care (CoC)	\$ 1,199,300.19
Funds Expended - Total	<u>\$ 4,112,145.65</u>

ESG (Solutions) Activity	ESG (Solutions) Expenditures
Homeless Prevention	\$ 44,717.95
Rapid Re-housing	\$ 131,992.35
Administration	\$ 13,593.12
TOTAL	<u>\$ 190,303.42</u>

CDBG Activity	CDBG Expenditures
Public Facilities and Improvements	
Central Youth Resource Center (Kattan)	\$ 983,822.69
Richard DeGracia Naputi Sports Field	\$ 474,776.45
Removal of Architectural Barriers to Accessibility	\$ 150,000.00
Agafa Gumas Community Recreational Facility	\$ 71,136.36
Sinajana Community Recreational Facility	\$ 19,774.00
Homeowner Rehab	\$ 11,257.39
Emergency Receiving Home	\$ 4,832.60
Kurason Ysengsong	\$ 614.43
Subtotal	\$ 1,716,213.92
PUBLIC SERVICES	
Lighthouse Recovery Center	\$ 79,596.78
One Stop Homeless Assistance Center	\$ 20,887.50
Big Brothers Big Sisters of Guam (The Opportunity Initiative)	\$ 13,651.57
HMIS	\$ 4,409.42
Subtotal	\$ 118,545.27
ADMIN AND PLANNING	
ConPlan Administration, General Administrative Costs, and Planning	\$ 357,049.69
Subtotal	\$ 357,049.69
TOTAL	\$ 2,191,808.88

HOME Activity	HOME Expenditures
Affordable Housing Construction	
Acquisition and Rehab program	\$ 186,714.60
Administration	\$ 132,030.27
Program Income	\$ 211,988.29
TOTAL	\$ 530,733.16

Appendix B:

Integrated Disbursement and Information System

Fiscal Year End Reports